

# Lecture 11: Runtime of Quicksort

## COMS10007 - Algorithms

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```
Require: array  $A$  of length  $n$   
if  $n \leq 10$  then  
    Sort  $A$  using your favourite sorting algorithm  
else  
     $i \leftarrow \text{Partition}(A)$   
    QUICKSORT( $A[0, i - 1]$ )  
    QUICKSORT( $A[i + 1, n - 1]$ )
```

Algorithm QUICKSORT

```
Require: array  $A$  of length  $n$   
if  $n \leq 1$  then  
    return  $A$   
else  
     $i \leftarrow \text{Partition}(A)$   
    QUICKSORT( $A[0, i - 1]$ )  
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Algorithm QUICKSORT

**Partition  $A$  around a Pivot:**

|    |   |   |   |    |   |   |          |    |    |   |
|----|---|---|---|----|---|---|----------|----|----|---|
| 14 | 3 | 9 | 8 | 16 | 2 | 1 | <b>7</b> | 11 | 12 | 5 |
|----|---|---|---|----|---|---|----------|----|----|---|

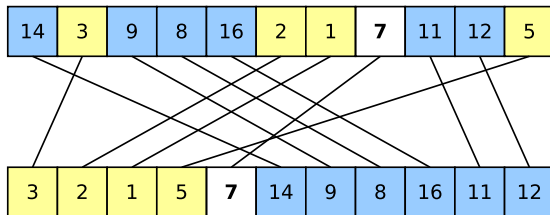
|  |  |  |  |          |  |  |  |  |  |  |
|--|--|--|--|----------|--|--|--|--|--|--|
|  |  |  |  | <b>7</b> |  |  |  |  |  |  |
|--|--|--|--|----------|--|--|--|--|--|--|

# Quicksort

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Algorithm QUICKSORT

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|   |   |   |   |          |   |   |    |    |    |    |
|---|---|---|---|----------|---|---|----|----|----|----|
| 1 | 2 | 3 | 5 | <b>7</b> | 8 | 9 | 11 | 12 | 14 | 16 |
|---|---|---|---|----------|---|---|----|----|----|----|

# Runtime of Quicksort

**Runtime:**  $T(n)$ : worst-case runtime on input of length  $n$

$$T(1) = O(1) \quad (\text{termination condition})$$

$$T(n) = O(n) + T(n_1) + T(n_2),$$

where  $n_1, n_2$  are the lengths of the two resulting subproblems.

**Observe:**  $n_1 + n_2 = n - 1$

**Worst-case:**

- Suppose that pivot is always the largest element
- Then,  $n_1 = n - 1, n_2 = 0$

**Best-case:**

- Suppose pivot splits array evenly, i.e., pivot is the median
- Then,  $n_1 = \lfloor \frac{n-1}{2} \rfloor, n_2 = \lceil \frac{n-1}{2} \rceil$

# Quicksort: Worst case

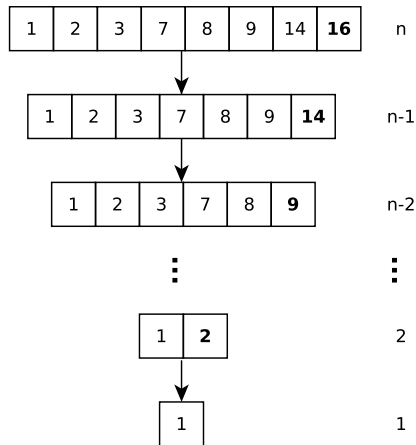
**Partition:** Suppose Partition() runs in time at most  $Cn$ , for a constant  $C$

**Recurrence:**

$$T(n) \leq Cn + T(n-1)$$

**Total Runtime:**

$$\begin{aligned} T(n) &\leq \sum_{i=1}^n Ci = C \sum_{i=1}^n i \\ &= C \frac{(n+1)n}{2} \\ &= \frac{C}{2}(n^2 + n) = O(n^2). \end{aligned}$$





# Quicksort: Best case

**Best Case:**  $n_1, n_2 \leq \frac{n}{2}$

**Number of Levels:**  $\ell$

- Last level:  $n = 1$

$$\frac{n}{2^{\ell-1}} \leq 1$$

$$\log(n) + 1 \leq \ell$$

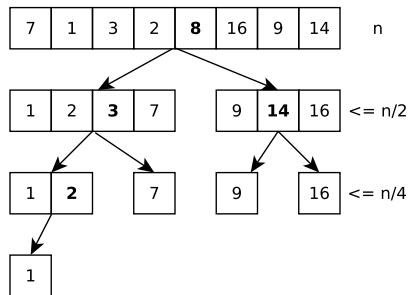
- Last but one level:  $n = 2$

$$\frac{n}{2^{\ell-2}} > 1 \text{ which implies } \log(n) + 2 > \ell$$

- Hence, there are  $\ell = \lceil \log(n) \rceil + 1$  levels

**Total Runtime:**

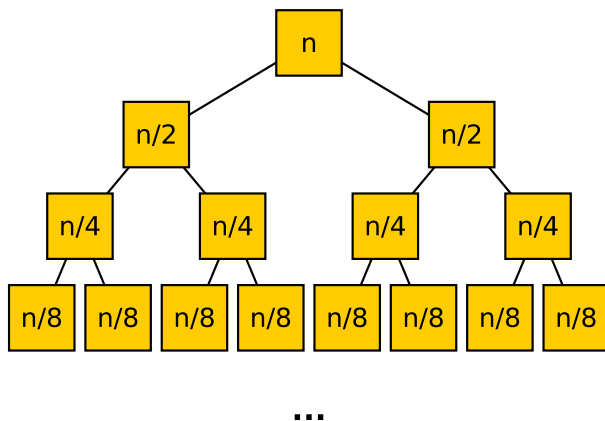
- Observe: Total runtime of Partition() in a level:  $O(n)$
- Total runtime:  $\ell \cdot O(n) = O(n \log n)$  .



## Good versus Bad Splits:

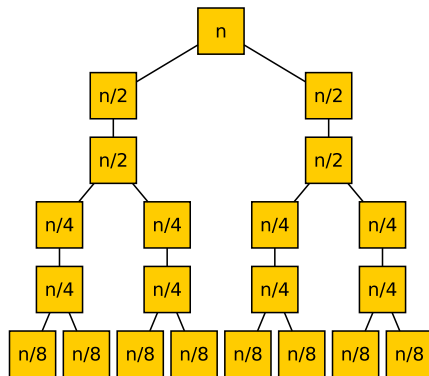
- It is crucial that subproblems are *roughly* balanced
- In fact, enough if  $n_1 = \frac{1}{1000}n$  and  $n_2 = n - 1 - n_1$  to get a runtime of  $O(n \log n)$
- Even enough if subproblems roughly balanced *most of the time*
- In practice, this happens most of the time, QUICKSORT is therefore usually very fast

# Good versus Bad Splits: Intuition and Rough Analysis



**Only good splits:** Recursion tree depth  $\lceil \log n \rceil + 1$

# Good versus Bad Splits: Intuition and Rough Analysis



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**Good & bad splits alternate:** Recursion tree depth  $2 \cdot (\lceil \log n \rceil + 1)$

**Ideal Pivot:** Median

## Pivot Selection

- To obtain runtime of  $O(n \log n)$ , we can spend  $O(n)$  time to select a good pivot
- There are  $O(n)$  time algorithms for finding the median
- They are complicated and not efficient in practice
- However, using such an algorithm gives  $O(n \log n)$  worst case runtime!

**Idea that works in Practice:** Select Pivot at random!

(Implementation: exchange  $A[n - 1]$  with a uniform random element  $A[i]$ )

## Randomized Algorithm

- Randomized pivot selection turns Quicksort into a *Randomized Algorithm*
- Worst-case runtime: still  $O(n^2)$  (we may be unlucky!)
- *Expected runtime*: Since we introduce randomness, the runtime of the algorithm becomes a random variable

### Definition (Bad Split)

A split is *bad* if  $\min\{n_1, n_2\} \leq \frac{1}{10}n$ .

If we select the pivot randomly, how likely is it to have a bad split?

## Probability of a Bad Split

- Bad split if element chosen as pivot is either among smallest 0.1 fraction of elements or among largest 0.1 fraction
- Since our choice is random, this happens with probability 0.2
- Hence, in average only 1 out of 5 splits is bad
- Hence, 4 out of 5 times the algorithm makes enough *progress*

**Random Pivot Selection:** QUICKSORT runs in expected time  $O(n \log n)$  if the pivot is chosen uniformly at random