

Lecture 10: Quicksort

COMS10007 - Algorithms

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Sorting Algorithms seen so far:

	Worst case	Average case	stable?	in place?
Insertion Sort	$O(n^2)$	$O(n^2)$	yes	yes
Mergesort	$O(n \log n)$	$O(n \log n)$	yes	no
Heapsort	$O(n \log n)$	$O(n \log n)$	no	yes
Quicksort	$O(n^2)$	$O(n \log n)$	no	yes

Quicksort

- Very efficient in practice!
- *In place version of Mergesort:*

```
A[0,  $\lfloor \frac{n}{2} \rfloor$ ] ← MERGESORT(A[0,  $\lfloor \frac{n}{2} \rfloor$ ])  
A[ $\lfloor \frac{n}{2} \rfloor + 1, n - 1$ ] ← MERGESORT(A[ $\lfloor \frac{n}{2} \rfloor, n - 1$ ])  
A ← MERGE(A)  
return A
```

recursive calls in mergesort

Mergesort versus Quicksort

- *Mergesort*: First solve subproblems recursively, then merge their solutions
- *Quicksort*: First partition problem into two subproblems in a clever way so that no extra work is needed when combining the solutions to the subproblems, then solve subproblems recursively

Divide and Conquer Algorithm:

- **Divide:** Chose a good *pivot* $A[q]$. Rearrange A such that every element $\leq A[q]$ is left of $A[q]$ in the resulting ordering and every element $> A[q]$ is right of $A[q]$ in the resulting ordering. Let p be the new position of $A[q]$.
- **Conquer:** Sort $A[0, p - 1]$ and $A[p + 1, n - 1]$ recursively.

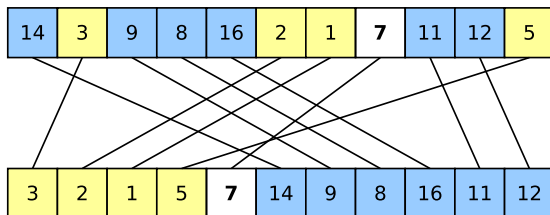
14	3	9	8	16	2	1	7	11	12	5
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				7						
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- **Combine:** No work needed

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---	---	---	---	----------	---	---	----	----	----	----

- **Combine:** No work needed

We need to address:

- 1 We need to be able to rearrange the elements around the pivot in $O(n)$ time
- 2 What is a good pivot? Ideally we would like to obtain subproblems of equal/similar sizes

The Partition Step

Partition Step:

- **Input:** Array A of length n
- **Output:** Partitioning around pivot $A[n - 1]$

```
Require: Array  $A$  of length  $n$   
 $x \leftarrow A[n - 1]$   
 $i \leftarrow -1$   
for  $j \leftarrow 0 \dots n - 1$  do  
  if  $A[j] \leq x$  then  
     $i \leftarrow i + 1$   
    exchange  $A[i]$  with  $A[j]$   
return  $i$ 
```

PARTITION

Pivot: Algorithm assumes pivot is $A[n - 1]$. Why is this okay?

Example

```
x ← A[n - 1]
i ← -1
for j ← 0 ... n - 1 do
  if A[j] ≤ x then
    i ← i + 1
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x:

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Invariant: At the beginning of the for loop, the following holds:

- 1 Elements left of i (including i) are smaller or equal to x :

$$\text{For } 0 \leq k \leq i : A[k] \leq x$$

- 2 Elements right of i (excluding i) and left of j (excluding j) are larger than x :

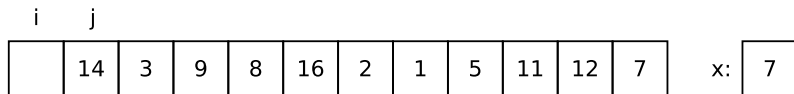
$$\text{For } i + 1 \leq k \leq j - 1 : A[k] > x$$

Proof of Loop Invariant

- 1 Left of i (including i):
smaller equal to x
- 2 Right of i and left of j (excl. j):
larger than x

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Initialization: $i = -1, j = 0$

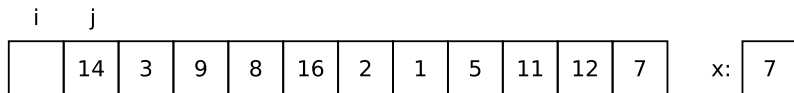


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```

Initialization: $i = -1, j = 0$ ✓



Proof of Loop Invariant (2)

- 1 Left of i (including i):
smaller equal to x
- 2 Right of i and left of j (excl. j):
larger than x

```
x ← A[n - 1]
i ← -1
for j ← 0 ... n - 1 do
  if A[j] ≤ x then
    i ← i + 1
    exchange A[i] with A[j]
```

Maintenance: Two cases:

- 1 $A[j] > x$: Then j is incremented ✓
- 2 $A[j] \leq x$: Then i is incremented, $A[i]$ and $A[j]$ are exchanged, and j is incremented



Proof of Loop Invariant (3)

- 1 Left of i (including i):
smaller equal to x
- 2 Right of i and left of j (excl. j):
larger than x

```
x ← A[n - 1]
i ← -1
for j ← 0 ... n - 1 do
  if A[j] ≤ x then
    i ← i + 1
    exchange A[i] with A[j]
```

Termination: (useful property showing that algo. is correct)

- $A[i]$ contains pivot element x that was located initially at position $n - 1$
- All elements left of $A[i]$ are smaller equal to x
- All elements right of $A[i]$ are larger than x

Proof of Loop Invariant (3)

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- 2 Right of i and left of j (excl. j):
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```
Require: array  $A$  of length  $n$   
if  $n \leq 10$  then  
    Sort  $A$  using your favourite sorting algorithm  
else  
     $i \leftarrow \text{Partition}(A)$   
    QUICKSORT( $A[0, i - 1]$ )  
    QUICKSORT( $A[i + 1, n - 1]$ )  
Algorithm QUICKSORT
```

Termination Condition

Observe that $n \leq 10$ is arbitrary (any constant would do)

What is the runtime of Quicksort?