# Advanced Algorithms - COMS31900 

## Pattern Matching part one

Suffix Trees

Raphaël Clifford

Slides by Benjamin Sach

## Exact pattern matching

Input A text string $T$ (length $n$ ) and a pattern string $P$ (length $m$ )


Goal: Find all the locations where $P$ matches in $T$
$P$ matches at location $i$ iff

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\text { for all } 0 \leqslant j \leqslant m \text { we have that } P[j]=T[i+j]
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- Many $O(n)$ time algorithms are known (for example KMP)


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P \quad \begin{array}{|l|l|l|}
\hline a & b & a \\
\hline & \longmapsto m & m-1
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$$
\text { e.g. } 4,6,10
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- A naive algorithm takes $O(n)$ query time (using KMP)
- We want a query time which depends only on $m$ and occ
- occ is the number of occurences (matches)
- We also want $O(n)$ space and fast preprocessing (prep.) time

The atomic suffix tree
$T \begin{gathered}\stackrel{|l| l|l| l|l| l \mid}{|b| a|n| a|n| a \mid s} \\ \longmapsto\end{gathered}$













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- Each leaf corresponds to a suffix (so there are $n$ leaves)

Searching in an atomic suffix tree

$T \begin{aligned} &$| $b\|a\| n\|a\| n\|a\| s$ |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\longmapsto$ | $n-$ |\end{aligned}



Searching in an atomic suffix tree



How do you find a pattern?

Searching in an atomic suffix tree




How do you find a pattern?

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How do you find a pattern?
start at the root and walk down the tree

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$P^{\prime}$| $n$ | $a$ | $b$ |
| :--- | :--- | :--- | :--- |
|  |  |  |



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$$
T \begin{array}{|l|l|l|l|l|l|l|}
\hline b & a & n & a & n & a & s \\
\longmapsto & & & & n & & \\
\hline
\end{array}
$$



| $P^{\prime}$ |
| :--- |
|  |
|  |$|$| $a$ |
| :--- |



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$T$


WARNING! How long does it take to find the correct child?
There could be $n$ edges here!
In this lecture we assume the alphabet size is a constant

This may be fine in some applications
(English text or DNA for example)
We can remove the assumption via the magic of hashing
$\square$
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How do you find a pattern?
start at the root and walk down the tree
... matches occur at the leaves of the subtree
We can decide whether $P$ matches somewhere in $O(m)$ time
how large is the atomic suffix tree?



There are at most $n$ leaves
how large is the atomic suffix tree?



There are at most $n$ leaves
that's good right?
how large is the atomic suffix tree?



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7 characters
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how large is the atomic suffix tree?
$\stackrel{\vdash 2 \dashv}{\stackrel{\rightharpoonup}{4} b}$
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## Compacted suffix trees

$T \stackrel{l}$$$
b|a| n|a| n|a| s \mid
$$$$

Why is the atomic suffix tree so big?


## Compacted suffix trees

$T$| $b\|l\| l\|l\| l\|l\| l \mid$ |
| :--- |
| $b$ |
| $\longmapsto$ |

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Main Idea replace each non-branching path with a single edge

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## Compacted suffix trees

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Compacted Suffix Tree of $T$


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Compacted Suffix Tree of $T$

- A rooted tree with $n$ leaves



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## Compacted suffix trees

Step one: Add a $\$$ (unique symbol) to $T$


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Searching in a compacted suffix tree


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start at the root and walk down the tree

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... matches occur at the leaves of the subtree

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$P^{\prime} \quad n \mid a$


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## Searching in a compacted suffix tree


$O$ (occ) because it has occ leaves
How do you find a pattern? (and each internal node has at least two children)
start at the root and walk down the tree
... matches occur at the leaves of the subtree

## Searching in a compacted suffix tree

$T$

how big is this subtree?
$O$ (occ) because it has occ leaves (and each internal node has at least two children)
start at the root and walk down the tree
... matches occur at the leaves of the subtree

We can find all the matches in $O(m+$ occ) time (by looking at the whole subtree)
you should
never actually Naively constructing a compacted suffix tree do it like this


Insert the suffixes one at a time (longest first)

- Search for the new suffix in the partial suffix tree
- Add a new edge and leaf for the new suffix
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we actually store this as $(0,7)$

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## Suffix tree summary



- The (compacted) suffix tree of a (length $n$ ) text uses $O(n)$ space
- Finding all matches of a pattern $P$ of length $m$ takes $O(m+$ occ $)$


## where occ is the number of matches

- Suffix trees can be built in $O(n)$ time
but we have only seen the $O\left(n^{2}\right)$ time method
do it like this (or build a suffix array instead)


## Multiple text indexing



$T_{2} \quad |$|  | $p\|l\| l \mid$ |
| :--- | :--- | :--- | :--- | :--- |

$\longmapsto n_{2}-1$

How can we index multiple texts?

## Multiple text indexing



How can we index multiple texts?

## Multiple text indexing



How can we index multiple texts?

## Multiple text indexing

```
\(T \quad\)\begin{tabular}{|l|l|l|l|l|l|l|l|l|l|l|l|l|l|l|}
\hline\(b\) & \(a\) & \(n\) & \(a\) & \(n\) & \(a\) & \(s\) & \(\$\) & \(a\) & \(p\) & \(p\) & \(l\) & \(e\) & \(s\) & \(\&\) \\
\hline
\end{tabular}
    \(\longmapsto \quad n \longrightarrow\)
```



```
\(T_{2} \xrightarrow{a|p| p|l| e|s| ⿴}\)
```

How can we index multiple texts?


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## Multiple text indexing

$T \quad \mid \overrightarrow{b a|n| a|n| a|s| \S|a| p|p| l|e| s \mid \&}$


How can we index multiple texts?

- Build a generalised suffix tree in $O\left(n_{1}+n_{2}\right)$ space


## Multiple text indexing



How can we index multiple texts?

- Build a generalised suffix tree in $O\left(n_{1}+n_{2}\right)$ space
- Using the linear time method (which we omitted), this takes $O\left(n_{1}+n_{2}\right)$ time


## Multiple text indexing



How can we index multiple texts?

- Build a generalised suffix tree in $O\left(n_{1}+n_{2}\right)$ space
- Using the linear time method (which we omitted), this takes $O\left(n_{1}+n_{2}\right)$ time
- Finding all matches of a pattern $P$ of length $m$ still takes $O(m+$ occ $)$ time

The suffix array - a sneak preview


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## The suffix array - a sneak preview



In lexicographical ordering we sort strings based on the first symbol that differs:

## The suffix array - a sneak preview



In lexicographical ordering we sort strings based on the first symbol that differs:

$$
\begin{array}{|l|l|}
\hline a & a \\
\hline
\end{array}<\begin{array}{|l|l|}
\hline b & a \\
\hline
\end{array}
$$

## The suffix array - a sneak preview



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In lexicographical ordering we sort strings based on the first symbol that differs:

$$
\begin{array}{|l|l|}
\hline a & a \\
\hline & \begin{array}{|l|l|}
\hline b & a \\
\hline
\end{array}<\begin{array}{|l|l|}
\hline b & c \\
\hline
\end{array} \mathbf{l} \\
\hline
\end{array}
$$

## The suffix array - a sneak preview



In lexicographical ordering we sort strings based on the first symbol that differs:

$$
\begin{array}{|l|l|}
\hline a & a \\
\hline & b \\
\hline
\end{array}
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\end{array} \begin{array}{|l|l|l|}
\hline b & c & a \\
\hline
\end{array}
$$

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In lexicographical ordering we sort strings based on the first symbol that differs:

$$
\begin{array}{|l|l|l|l|}
\hline a & a \\
\hline b & a \\
\hline b & c \\
\hline b & b & c & a \\
\hline
\end{array}
$$

## The suffix array - a sneak preview



In lexicographical ordering we sort strings based on the first symbol that differs:

$$
\left.\begin{array}{|l|l|}
\hline a & a \\
\hline b & a \\
\hline
\end{array} \begin{array}{|l|l|}
\hline b & c \\
\hline b & c
\end{array} \right\rvert\, \begin{array}{|l|l|}
\hline
\end{array}
$$

(in a 'tie', the shorter string is smaller)

## The suffix array - a sneak preview



In lexicographical ordering we sort strings based on the first symbol that differs:

$$
\begin{array}{|l|l|}
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\end{array} \begin{array}{|l|l|l|}
\hline b & c \\
\hline
\end{array} \quad \begin{array}{|l|l|l|}
\hline b & c & a \\
\hline
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$$

(in a 'tie', the shorter string is smaller)

## The suffix array - a sneak preview

- The symbols themselves must have an order throughout we will use alphabetical order

1

| $a$ | $n$ | $a$ | $n$ | $a$ | $s$ |
| :--- | :--- | :--- | :--- | :--- | :--- |


$3 \quad$| $a$ | $n$ | $a$ | $s$ |
| :--- | :--- | :--- | :--- |


$5 \quad$| $a$ | $s$ |
| :--- | :--- |


$0 \quad$| $b$ | $a$ | $n$ | $a$ | $n$ | $a$ | $s$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |


$2 \quad$| $n$ | $a$ | $n$ | $a$ | $s$ |
| :--- | :--- | :--- | :--- | :--- |

4 | $n$ | $a$ | $s$ |
| :--- | :--- | :--- |

$6 s$

In lexicographical ordering we sort strings based on the first symbol that differs:

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\begin{array}{|l|l|}
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| :--- | :--- | :--- | :--- | :--- | :--- | :--- |


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| :--- | :--- | :--- | :--- | :--- | :--- | :--- |


$2 \quad$| $n$ | $a$ | $n$ | $a$ | $s$ |
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## The suffix array - a sneak preview


just a fancy name for the order the strings would appear in a dictionary
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\end{array}<\begin{array}{|l|l|}
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\end{array} \begin{array}{|l|l|l|}
\hline b & c & a \\
\hline
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$$

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\begin{array}{|l|l|}
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\hline b & a \\
\hline b & \begin{array}{|l|l|l|l|}
\hline b & c \\
\hline b & c & a \\
\hline
\end{array} \mathbf{| l | l | l |} \begin{array}{|l|l|l|}
\hline b & \\
\hline
\end{array} \\
\hline
\end{array}
$$

(in a 'tie', the shorter string is smaller)

The suffix array - a sneak preview


The suffix array - a sneak preview


The suffix array - a sneak preview


Suffix Array

| 1 | 3 | 5 | 0 | 2 | 4 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |

The suffix array - a sneak preview


The suffix array - a sneak preview


Suffix Array

| 1 | 3 | 5 | 0 | 2 | 4 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |

The suffix array - a sneak preview


Suffix Array

| 1 | 3 | 5 | 0 | 2 | 4 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

The suffix array is much smaller than the suffix tree (in terms of constants)

The suffix array - a sneak preview


Suffix Array

| 1 | 3 | 5 | 0 | 2 | 4 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

The suffix array is much smaller than the suffix tree (in terms of constants)

Constructing the Suffix Array from the Suffix Tree

recall that we added a unique symbol \$ to make sure the tree exists

[^0]
## Constructing the Suffix Array from the Suffix Tree


recall that we added a unique symbol $\$$ to make sure the tree exists - the $\$$ is the smallest symbol in the alphabet

To get the Suffix array perform a depth-first search (in lexicographical order)

## Constructing the Suffix Array from the Suffix Tree


recall that we added a unique symbol \$ to make sure the tree exists - the $\$$ is the smallest symbol in the alphabet

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## Suffix tree summary



- The (compacted) suffix tree of a (length $n$ ) text uses $O(n)$ space
- Finding all matches of a pattern $P$ of length $m$ takes $O(m+$ occ $)$


## where occ is the number of matches

- Suffix trees can be built in $O(n)$ time

$$
\text { but we have only seen the } O\left(n^{2}\right) \text { time method }
$$


[^0]:    - the $\$$ is the smallest symbol in the alphabet

