

Advanced Algorithms – COMS31900

Pattern Matching part one

Suffix Trees

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Exact pattern matching

Input A text string T (length n) and a pattern string P (length m)



Goal: Find all the locations where $P \ {\rm matches}$ in T

P matches at location i iff

for all $0 \leq j \leq m$ we have that P[j] = T[i+j]

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- A naive algorithm takes O(nm) time
- Many O(n) time algorithms are known (for example KMP)



Preprocess a text string T (length n) to answer pattern matching queries...





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After preprocessing, a **query** is a pattern P (length m),

$$P \qquad \boxed{a \ b \ a} \\ \vdash m \dashv$$



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- A naive algorithm takes O(n) query time (using KMP)
- We want a query time which depends only on m and occ

- occ is the number of occurences (matches)



Preprocess a text string T (length n) to answer pattern matching queries...



After preprocessing, a **query** is a pattern P (length m),



the output is a list of all matches in T.

e.g. 4, 6, 10

- A naive algorithm takes O(n) query time (using KMP)
- We want a query time which depends only on m and occ

- occ is the number of occurences (matches)

• We also want O(n) space and fast preprocessing (prep.) time



The atomic suffix tree





The atomic suffix tree

























• The suffix tree contains every suffix of T as a root to leaf path



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- Every edge is labelled with a character from T



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- The suffix tree contains every suffix of T as a root to leaf path
- Every edge is labelled with a character from T
- No two edges leaving the same node have the same label
- Each leaf corresponds to a suffix (so there are *n* leaves)









6

S

4

Searching in an atomic suffix tree



How do you find a pattern?





How do you find a pattern?





How do you find a pattern?

start at the root and walk down the tree





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We can decide whether P matches *somewhere* in O(m) time





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that's good right?





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Unfortunately there can be *lots* of internal nodes





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Unfortunately there can be *lots* of internal nodes

7 characters





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Unfortunately there can be *lots* of internal nodes

7 characters 23 nodes





There are at most *n* leaves

that's good right?

Unfortunately there can be *lots* of internal nodes

7 characters 23 nodes that's not so bad, right?
































how large is the atomic suffix tree?





how large is the atomic suffix tree?















Main Idea replace each non-branching path with a single edge





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- edges are now labelled with substrings





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(instead of single characters)





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Compacted Suffix Tree of ${\cal T}$

• A rooted tree with *n* leaves







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Compacted suffix trees

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Searching in a compacted suffix tree \$ bananass T\$ n Sg na n6 0 nass Pha a | n |aS SS m \cdot $\mathbf{2}$ 54 `nas\$ S remember that an edge is actually stored as a pair 3 we're actually looking in ${\cal T}$

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... matches occur at the leaves of the subtree

We can find all the matches in O(m + occ) time (by looking at the whole subtree)





you should

never actually

do it like this

Insert the suffixes one at a time (longest first)

• Search for the new suffix in the partial suffix tree

(as if you were matching a pattern)

• Add a new edge and leaf for the new suffix





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Suffix tree summary



- The (compacted) suffix tree of a (length n) text uses O(n) space
- Finding all matches of a pattern P of length m takes O(m + occ)

where occ is the number of matches

• Suffix trees can be built in O(n) time

you should but we have only seen the $O(n^2)$ time method do it like this (or build a *suffix array* instead)

we assumed that the alphabet contained a constant number of symbols



Multiple text indexing



How can we index multiple texts?

Multiple text indexing

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How can we index multiple texts?
















How can we index multiple texts?

• Build a generalised suffix tree in $O(n_1 + n_2)$ space



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- Using the linear time method (which we omitted), this takes $O(n_1 + n_2)$ time



- Build a generalised suffix tree in $O(n_1 + n_2)$ space
- Using the linear time method (which we omitted), this takes $O(n_1 + n_2)$ time
- Finding all matches of a pattern P of length m still takes O(m + occ) time where occ is the number of matches









 $0 \quad b \quad a \quad n \quad a \quad n \quad a \quad s$

$$1 \quad a \quad n \quad a \quad n \quad a \quad s$$

$$2 \quad n \quad a \quad n \quad a \quad s$$

$$3 \quad \boxed{a \quad n \quad a \quad s}$$

$$4 \mid n \mid a \mid s \mid$$

$$5 \quad a \quad s$$

$$6 \quad s$$









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Sort the suffixes lexicographically

 $0 \quad b \quad a \quad n \quad a \quad n \quad a \quad s$

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$$2 \quad n \quad a \quad n \quad a \quad s$$

$$3 \quad a \quad n \quad a \quad s$$

$$4 \quad \left| n \right| a \left| s \right|$$

$$5 \quad a \quad s$$

$$6$$
 s































$$\begin{bmatrix} a & a \end{bmatrix} < \begin{bmatrix} b & a \end{bmatrix} < \begin{bmatrix} b & c \end{bmatrix}$$











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just a fancy name for the order the strings would appear in a dictionary In lexicographical ordering we sort strings based on the first symbol that differs:

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just a fancy name for the order the strings would appear in a dictionary In lexicographical ordering we sort strings based on the first symbol that differs:



(in a 'tie', the shorter string is smaller)

If the symbols don't have a natural order, we use their binary representation in memory





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$$3 \quad \boxed{a \quad n \quad a \quad s}$$

$$5 \quad a \quad s$$

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$$2 \quad \boxed{n \ a \ n \ a \ s}$$

$$4 \quad \boxed{n \quad a \quad s}$$

$$6 \quad s$$





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lexicographically



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The suffix array is much smaller than the suffix tree (in terms of constants)





 $1 \quad \boxed{a \quad n \quad a \quad n \quad a \quad s}$

$$3 \quad \boxed{a \quad n \quad a \quad s}$$

$$5 \quad a \mid s$$

$$0 \quad b \quad a \quad n \quad a \quad n \quad a \quad s$$

$$2 \quad \boxed{n \ a \ n \ a \ s}$$

$$4 \quad n \mid a \mid s$$

 $6 \quad s$



The suffix array is much smaller than the suffix tree (in terms of constants)


Constructing the Suffix Array from the Suffix Tree



recall that we added a unique symbol \$ to make sure the tree exists

- the \$ is the smallest symbol in the alphabet



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To get the Suffix array perform a depth-first search (in lexicographical order)

this takes O(n) time



Suffix tree summary



- The (compacted) suffix tree of a (length n) text uses O(n) space
- Finding all matches of a pattern P of length m takes O(m + occ)

where occ is the number of matches

• Suffix trees can be built in O(n) time

but we have only seen the ${\cal O}(n^2)$ time method

we assumed that the alphabet contains a constant number of symbols