

## **Advanced Algorithms – COMS31900**

#### Pattern Matching part two

Suffix Arrays

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Preprocess a text string T (length n) to answer pattern matching queries...





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$$P \qquad \boxed{a \ b \ a} \\ \vdash m \dashv$$



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e.g. 4, 6, 10



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• Queries take O(m + occ) time when the alphabet size is constant

- occ is the number of occurences (matches)



Preprocess a text string T (length n) to answer pattern matching queries...



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• Last lecture we saw the that **text indexing** problem can be solved using a suffix tree which uses O(n) space (when it's stored compacted)

• Queries take O(m + occ) time when the alphabet size is constant

- occ is the number of occurences (matches)

• Suffix trees can be constructed in O(n) time (but we only saw how to achieve  $O(n^2)$  time)









0 bnansaa1 nnsaaa $\mathbf{2}$  $\left| n \right|$ as $n \mid$ aТ Т

$$3 \quad a \mid n \mid a \mid s$$

$$4 \mid n \mid a \mid s \mid$$

$$5 \quad a \quad s$$









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$$3 \quad a \mid n \mid a \mid s$$

$$4 \mid n \mid a \mid s \mid$$

$$5 \quad a \quad s$$





Sort the suffixes lexicographically

0 b a n a n a s

$$1 \quad a \quad n \quad a \quad n \quad a \quad s$$

$$2 \quad n \quad a \quad n \quad a \quad s$$

$$3 \quad \boxed{a \quad n \quad a \quad s}$$

$$4 \quad \left| n \right| a \left| s \right|$$

$$5 \quad a \quad s$$

$$6$$
  $s$ 



Sort the suffixes lexicographically

• The symbols themselves must have an order throughout we will use alphabetical order



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$$\begin{bmatrix} a & a \end{bmatrix} < \begin{bmatrix} b & a \end{bmatrix} < \begin{bmatrix} b & c \end{bmatrix}$$



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$$\begin{bmatrix} a & a \end{bmatrix} < \begin{bmatrix} b & a \end{bmatrix} < \begin{bmatrix} b & c \end{bmatrix}$$





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$$\begin{bmatrix} a & a \end{bmatrix} < \begin{bmatrix} b & a \end{bmatrix} < \begin{bmatrix} b & c \end{bmatrix} < \begin{bmatrix} b & c & a \end{bmatrix}$$





In lexicographical ordering we sort strings based on the first symbol that differs:

$$\begin{bmatrix} a & a \end{bmatrix} < \begin{bmatrix} b & a \end{bmatrix} < \begin{bmatrix} b & c \end{bmatrix} < \begin{bmatrix} b & c & a \end{bmatrix}$$





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just a fancy name for the order the strings would appear in the dictionary In lexicographical ordering we sort strings based on the first symbol that differs:

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just a fancy name for the order the strings would appear in the dictionary In lexicographical ordering we sort strings based on the first symbol that differs:



(in a 'tie', the shorter string is smaller)

If the symbols don't have a natural order, we use their binary representation in memory





Sort the suffixes lexicographically

 $1 \quad \boxed{a \quad n \quad a \quad n \quad a \quad s}$ 

$$3 \quad \boxed{a \quad n \quad a \quad s}$$

$$5 \quad a \mid s$$

$$0 \quad b \quad a \quad n \quad a \quad n \quad a \quad s$$

$$2 \quad n \mid a \mid n \mid a \mid s$$

$$4 \quad n \mid a \mid s$$





Sort the suffixes lexicographically

 $1 \quad \boxed{a \quad n \quad a \quad n \quad a \quad s}$ 

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$$4 \quad n \mid a \mid s$$













Sort the suffixes lexicographically

 $1 \quad \boxed{a \quad n \quad a \quad n \quad a \quad s}$ 

$$3 \quad \boxed{a \quad n \quad a \quad s}$$

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$$4 \quad n \mid a \mid s$$










$$3 \quad \boxed{a \quad n \quad a \quad s}$$

$$5 \quad a \mid s$$

$$0 \quad b \quad a \quad n \quad a \quad n \quad a \quad s$$

$$2 \quad n \mid a \mid n \mid a \mid s$$

$$4 \quad n \mid a \mid s$$

 $6 \quad s$ 





The suffix array is much smaller than the suffix tree (in terms of constants)







$$3 \quad \boxed{a \quad n \quad a \quad s}$$

$$5 \quad a \mid s$$

$$0 \quad b \quad a \quad n \quad a \quad n \quad a \quad s$$

$$2 \quad n \mid a \mid n \mid a \mid s$$

$$4 \quad n \mid a \mid s$$

 $6 \quad s$ 





The suffix array is much smaller than the suffix tree (in terms of constants)



Recall that we can get get the Suffix Array from the Suffix Tree using depth-first search in O(n) time







































































Finding an occurrence of a pattern (length m) takes  $O(m \log n)$  time

Finding all occurrences takes  $O(m \log n + \operatorname{occ})$  time

where occ is the number of occurences





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This can be further improved to  $O(m + \log n + \operatorname{occ})$  time (using LCP queries which we will see in a future lecture)



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Do we really need to build the suffix tree to construct the suffix array?



## The DC3 method









 $B_1$  contains indices with  $i \mod 3 = 1$ 

The DC3 method



 $B_1$  contains indices with  $i \mod 3 = 1$ 

The DC3 method

 $B_2$  contains indices with  $i \mod 3 = 2$ 



0 1 2 3 4 5 6 7 8 9 10 11  

$$T = \begin{bmatrix} y & a & b & b & a & d & a & b & b & a & d & o \end{bmatrix}$$
The DC3 method

 $B_2$  contains indices with  $i \mod 3 = 2$ 





Introduce a new "filler symbol" \$.



The DC3 method

 $B_2$  contains indices with  $i \mod 3 = 2$ 





Introduce a new "filler symbol" \$.











Number the blocks in lexicographical order



а	b	b	а	d	а	b	b	а	d	0	\$ b	b	а	d	а	b	b	а	d	0	\$ \$
	1																				

Number the blocks in lexicographical order



а	b	b	а	d	а	b	b	а	d	0	\$ b	b	а	d	а	b	b	а	d	0	\$ \$
	1			2																	

Number the blocks in lexicographical order



Number the blocks in lexicographical order



1 2 4 3

Number the blocks in lexicographical order



1 2 4 5

Number the blocks in lexicographical order



Number the blocks in lexicographical order



Number the blocks in lexicographical order



This can be done by sorting the blocks in O(n) time using radix sort we assume that the bit representation of each symbol uses  $O(\log n)$  bits. (which is a common and realistic assumption)



Number the blocks in lexicographical order















а	b	b	а	d	а	b	b	а	d	0	\$	b	b	а	d	а	b	b	а	d	0	\$	\$
	1			2			4			6			4			5	)		3			7	
	N Ho	ow do	o we	com	pute	the	suffix	arra	ay for	· R' '	?			(3	\$ is	the	sma	llest	syn	nbol)	)		
		Re	curs	sion	(N	lotice	e tha	t R'	has	lengi	th $2r$	n/3)		6	4	5	3	7					
										(	0	1	2	3	4	5	6	7					
C	omp	oute	the	suff	ix ar	ray	of <i>F</i>	R':			0	1	6	4	2	5	3	7					





$B_1$ contains indices with $i \mod 3 = 1$	1	The	DC	3 me	ethoo	b	$B_2$ contains indices with $i \mod 3 = 2$							University of BRISTOL		
0 1 2	3 4 5	6 7	7 8	9	10 1	1										
$T = \begin{bmatrix} y & a \end{bmatrix} b$	b a d	a b	b	а	d d	D										
$R_1=$ a b	b a d	a b	a b b a d o \$ "filler sy									ce a new vmbol" \$.				
$R_2 =$ b	b a d	a b	b b	а	d d	<b>)</b> \$	\$	;								
Concatenate $R_1$ a 0 1	nd $\displaystyle \frac{R_2}{_2}$ to ob	otain <i>F</i> 3	₹: 3		4		5	į	6				7			
a b b a d a	b b a	d c	<b>)</b> \$	b	b a	a d	l a	ı b	b	b a		0	\$	\$		
1 $2$	4	(	5		4		5			3		7				
Number the blocks	in lexicogra	phical	order			(\$ is	s the	sma	allest	syn	nbol)	)				
	5	3	7													
			0	1 2	3	4	5	6	7	И	vhai		e bio	21		
compute the suffix a	rray of $R^{\prime}$ :		0	1 6	4	2	5	3	3 7			5 เ	1115	<b>f</b> !		

The DC3 method







	Cor	ncate	enat	e R	$l_1$ ar	nd 📕	$R_2$ to	o ob	tain	R:												
	0			1			2			3	-	4		-	5		-	6			7	
а	b	b	а	d	а	b	b	а	d	0	\$ b	b	а	d	а	b	b	а	d	0	\$	\$



The DC3 method







	Cor	ncate	Concatenate $R_1$ and $R_2$ to obtain $R$ :																				
	0			1			2			3		-	4			5			6			7	
а	b	b	а	d	а	b	b	а	d	0	\$	b	b	а	d	а	b	b	а	d	0	\$	\$

Take any two suffixes in  $B_1 \cup B_2$ 

The DC3 method

 $B_2$  contains indices with  $i \mod 3 = 2$ 





Concatenate  $R_1$  and  $R_2$  to obtain R: 5 0 2 3 6 7 1 4 \$ \$ b b a d b b d b b d b b d а а 0 а а а \$ 0 а

Take any two suffixes in  $B_1 \cup B_2$ 



The DC3 method

 $B_2$  contains indices with  $i \mod 3 = 2$ 





Take any two suffixes in  $B_1 \cup B_2$  and find them in R

University of BRISTOL  $B_2$  contains indices with  $B_1$  contains indices with The DC3 method  $i \mod 3 = 1$  $i \mod 3 = 2$ 9 0 3 5 8 10 11 6 T =b b b b d d a а а а 0 b b d b b d а а а а 0 d b 5 а b а d 0 Concatenate  $R_1$  and  $R_2$  to obtain R: 3 5 6 0 2 4 7 \$ \$ b a d b b d b b d b b d b а а 0 а а а \$ 0 а

Take any two suffixes in  $B_1 \cup B_2$  and find them in R

 $B_1$  contains indices with  $B_2$  contains indices with The DC3 method  $i \mod 3 = 2$  $i \mod 3 = 1$ 9 0 3 5 8 10 11 6 T =b b d b b a d а а а 0 b b b b d d а а а а 0 d b 5 а b а d 0 Concatenate  $R_1$  and  $R_2$  to obtain R: 3 5 6 7 0 2 4 \$ b b d b b d b \$ b b d а 0 а а b а d \$ а а а 0 \$ \$ b d b b b d b 0 b d b b d \$ а а а а а а а 0

Take any two suffixes in  $B_1 \cup B_2$  and find them in R

compute the suffix array of R':



what use

is this?!



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The DC3 method

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 $B_2$  contains indices with



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Take any two suffixes in  $B_1 \cup B_2$  and find them in R

their order is given by the suffix array of R':

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compute the suffix array of R':

The DC3 method

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compute the suffix array of R':

The DC3 method







	Concatenate $R_1$ and $R_2$ to obtain $R$ :																							
	0			1			2			3			4			5			6			7		
а	b	b	а	d	а	b	b	а	d	0	\$	b	b	а	d	а	b	b	а	d	0	\$	\$	

Take any two suffixes in  $B_1 \cup B_2$  and find them in R

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The DC3 method

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compute the suffix array of R':

The DC3 method

$$0 1 2 3 4 5 6 7 8 9 10 11$$
  
$$T = y a b b a d a b b a d o$$
  
$$2 b b a d a b b a d o$$
  
$$5 d a b b a d o$$

Concatenate  $R_1$  and  $R_2$  to obtain R: 0 3 5 6 7 1 2 4 \$ \$ b b a d b b d b d b b а а 0 b а а а d \$ а 0 \$ \$ b b d b b d а а а 0 b b \$ \$ d а а d 0

Take any two suffixes in  $B_1 \cup B_2$  and find them in R

their order is given by the suffix array of R':

what use

0 1 6 4 2 5 3 7



 $B_2$  contains indices with

 $i \mod 3 = 2$ 

The DC3 method

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Take any two suffixes in  $B_1 \cup B_2$  and find them in R

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compute the suffix array of R':

The DC3 method







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	0			1			2			3			4			5			6			7		
а	b	b	а	d	а	b	b	а	d	0	\$	b	b	а	d	а	b	b	а	d	0	\$	\$	



The DC3 method

 $B_2$  contains indices with  $i \mod 3 = 2$ 















we have the suffix array of just the suffixes from  $B_1 \cup B_2$ 

The DC3 method

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Suffix array for just  $B_1 \cup B_2$ 

The DC3 method

 $B_2$  contains indices with  $i \mod 3 = 2$ 





Suffix array for just  $B_1 \cup B_2$ 

The DC3 method

$$B_2$$
 contains indices with  $i \mod 3 = 2$ 





The DC3 method 
$$B_2$$
 contains indices with  
 $i \mod 3 = 2$   
6 7 8 9 10 11  
a b b a d o



5

3

2



Suffix array for just  $B_1 \cup B_2$ 

How do we find the ordering of the suffixes from  $B_0$ ? (where  $i \mod 3 = 0$ )





Suffix array for just  $B_1 \cup B_2$ 

The DC3 method 
$$B_2$$
 contains indices with  
 $i \mod 3 = 2$   
6 7 8 9 10 11  
a b b a d o



5

3

2



Suffix array for just  $B_1 \cup B_2$ 

How do we find the ordering of the suffixes from  $B_0$ ? (where  $i \mod 3 = 0$ )



The DC3 method

 0
 1
 2
 3
 4
 5
 6
 7

 1
 4
 8
 2
 7
 5
 10
 11

Suffix array for just  $B_1 \cup B_2$ 

How do we find the ordering of the suffixes from  $B_0$ ? (where  $i \mod 3 = 0$ )



 $B_2 \ {\rm contains} \ {\rm indices} \ {\rm with}$ 

 $i \mod 3 = 2$ 









We then sort in O(n) time using radix sort

rank: Suffix array for just  $B_1 \cup B_2$ 1() 



We then sort in O(n) time using radix sort

 rank:
 0
 1
 2
 3
 4
 5
 6
 7

 Suffix array for just  $B_1 \cup B_2$  1
 4
 8
 2
 7
 5
 10
 11



We then sort in O(n) time using radix sort

rank: Suffix array for just  $B_1 \cup B_2$ 





We then sort in O(n) time using radix sort

$$\cup B_2$$
 1 4

ro mla

Suffix array for just  $B_1 \cup B_2$


Each suffix  $i \in B_0$  is represented by (T[i], r) where r is the rank of suffix (i + 1)(the ranks are given by the array below)

We then sort in O(n) time using radix sort

rank:

Suffix array for just  $B_1 \cup B_2$ 

Suffix array for just  $B_0$ 



Each suffix  $i \in B_0$  is represented by (T[i], r) where r is the rank of suffix (i + 1)(the ranks are given by the array below)

We then sort in O(n) time using radix sort

rank:

Suffix array for just  $B_1 \cup B_2$ 

Suffix array for just  $B_0$ 

The DC3 method

 $B_2$  contains indices with  $i \mod 3 = 2$ 







The DC3 method



 $i \mod 3 = 2$ 



Merge them like in mergesort...



The DC3 method

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 $B_2$  contains indices with

 $i \mod 3 = 2$ 

5 9 3 6 8 10 11 2 0 4 T =b b b b d d а а а а 0

Merge them like in mergesort...

which is smaller, suffix 1 or 6 ?

The DC3 method

University of BRISTOL

0 1 2 3 4 5 6 7 8 9 10 11  

$$T = y a b b a d a b b a d o$$

Merge them like in mergesort...

which is smaller, suffix 1 or 6 ?

$$6 = a + 7$$
  
 $1 = a + 2$ 

Suffix array for just  $B_1 \cup B_2$ Suffix array for just  $B_0$ 

ge these?

 $B_2$  contains indices with

 $i \mod 3 = 2$ 

The DC3 method

University of BRISTOL

 $B_2$  contains indices with

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0 1 2 3 4 5 6 7 8 9 10 11  

$$T = y a b b a d a b b a d o$$

Merge them like in mergesort...

which is smaller, suffix 1 or 6 ?

6 = a + 7 
$$(a, 4)$$
  
1 = a + 2  $(a, 3)$ 

The DC3 method

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0 1 2 3 4 5 6 7 8 9 10 11  

$$T = y a b b a d a b b a d o$$

Merge them like in mergesort...

which is smaller, suffix 1 or 6 ?

6 = 
$$a + 7$$
 (a, 4)  
1 =  $a + 2$  (a, 3)

It takes O(1) time to decide that 1 is smaller

these?

 $B_2$  contains indices with

 $i \mod 3 = 2$ 

The DC3 method





Merge them like in mergesort...

which is smaller, suffix 1 or 6 ?

6 = 
$$a + 7$$
 (a, 4)  
1 =  $a + 2$  (a, 3)

It takes O(1) time to decide that 1 is smaller

 $B_2$  contains indices with

 $i \mod 3 = 2$ 

Suffix array for just  $B_1 \cup B_2$ Suffix array for just  $B_0$ 

1

The DC3 method

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 $B_2$  contains indices with

 $i \mod 3 = 2$ 



Merge them like in mergesort...

which is smaller, suffix 4 or 6 ?





The DC3 method

 $B_2$  contains indices with  $i \mod 3 = 2$ 





Merge them like in mergesort...

which is smaller, suffix 4 or 6 ?

$$6 = a + 7$$
  
 $4 = a + 5$ 

The DC3 method

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 $B_2$  contains indices with

 $i \mod 3 = 2$ 

0 1 2 3 4 5 6 7 8 9 10 11  

$$T = \begin{bmatrix} y & a & b & b & a & d & a & b & b & a & d & o \end{bmatrix}$$

Merge them like in mergesort...

which is smaller, suffix 4 or 6 ?

6 = 
$$a + 7$$
 (a, 4)  
4 =  $a + 5$  (a, 5)

 $B_1$  contains indices with  $B_2$  contains indices with The DC3 method  $i \mod 3 = 1$ 5 8 9 10 11 3 6 T =b b d b b d а а а а 0 Merge them like in mergesort... which is smaller, suffix 4 or 6 ? Again, it takes O(1) time to decide

is smaller that 6

Suffix array for just  $B_1 \cup B_2$ Suffix array for just  $B_0$ 

How do we merge these?

 $i \mod 3 = 2$ 

6 = 
$$a + 7$$
 (a, 4)  
4 =  $a + 5$  (a, 5)

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The DC3 method

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 $B_2$  contains indices with

 $i \mod 3 = 2$ 



Merge them like in mergesort...

1	6
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The DC3 method



 $B_2$  contains indices with

 $i \mod 3 = 2$ 



Merge them like in mergesort...



which is smaller, suffix 4 or 9 ?





The DC3 method





Merge them like in mergesort...

 $i \mod 3 = 2$ 

which is smaller, suffix 4 or 9 ? (4 is smaller)

Suffix array for just 
$$B_1 \cup B_2$$
  
Suffix array for just  $B_0$ 

The DC3 method

 $B_2$  contains indices with  $i \mod 3 = 2$ 





Merge them like in mergesort...



The DC3 method





Merge them like in mergesort...

 $B_2$  contains indices with

 $i \mod 3 = 2$ 

which is smaller, suffix 8 or 9 ?





The DC3 method





Merge them like in mergesort...

1 6 4

which is smaller, suffix 8 or 9 ?

9 = 
$$a + 10$$
  
8 =  $b + 9$ 

Suffix array for just  $B_1 \cup B_2$ Suffix array for just  $B_0$ 

How do we merge these?

 $B_2$  contains indices with

 $i \mod 3 = 2$ 

The DC3 method





Merge them like in mergesort...

1 6 4

which is smaller, suffix 8 or 9 ?

$$9 = a + 10$$
  
 $8 = b + 9$ 

Uh oh! how do we compare 9 to 10 ?

Suffix array for just  $B_1 \cup B_2$ Suffix array for just  $B_0$ 

How do we merge these?

 $B_2$  contains indices with

 $i \mod 3 = 2$ 



The DC3 method

 $B_2$  contains indices with  $i \mod 3 = 2$ 





Merge them like in mergesort...

1	6	4
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Overall this merging phase takes O(n) time (because processing each suffix takes O(1) time)





# The DC3 method

#### Theorem

The DC3 algorithm constructs a suffix array in O(n) time.

# The DC3 method

#### Theorem

The DC3 algorithm constructs a suffix array in O(n) time.

### Proof

Suppose T(n) is the running time. We have

T(n) = T(2n/3) + O(n)





# The DC3 method

#### Theorem

The DC3 algorithm constructs a suffix array in O(n) time.



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# The DC3 method

#### Theorem

The DC3 algorithm constructs a suffix array in O(n) time.



# The suffix array



Finding an occurrence of a pattern (length m) takes  $O(m \log n)$  time

Finding all occurrences takes  $O(m \log n + \operatorname{occ})$  time

where occ is the number of occurences

This can be further improved to  $O(m + \log n + \operatorname{occ})$  time

(using LCP queries which we will see in a future lecture)

We can construct the suffix array in O(n) time