

Advanced Algorithms – COMS31900

Hashing part two

Static Perfect Hashing

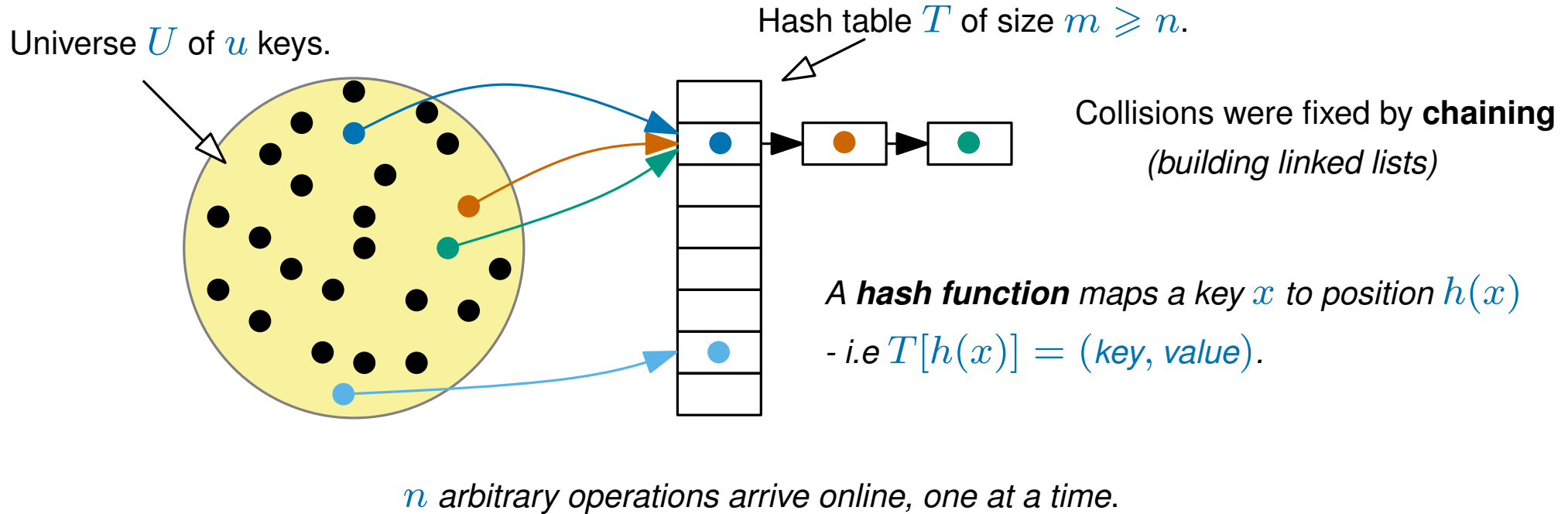
Raphaël Clifford

Slides by Benjamin Sach

Dictionarys and Hashing recap

► A **dynamic dictionary** stores $(key, value)$ -pairs and supports:

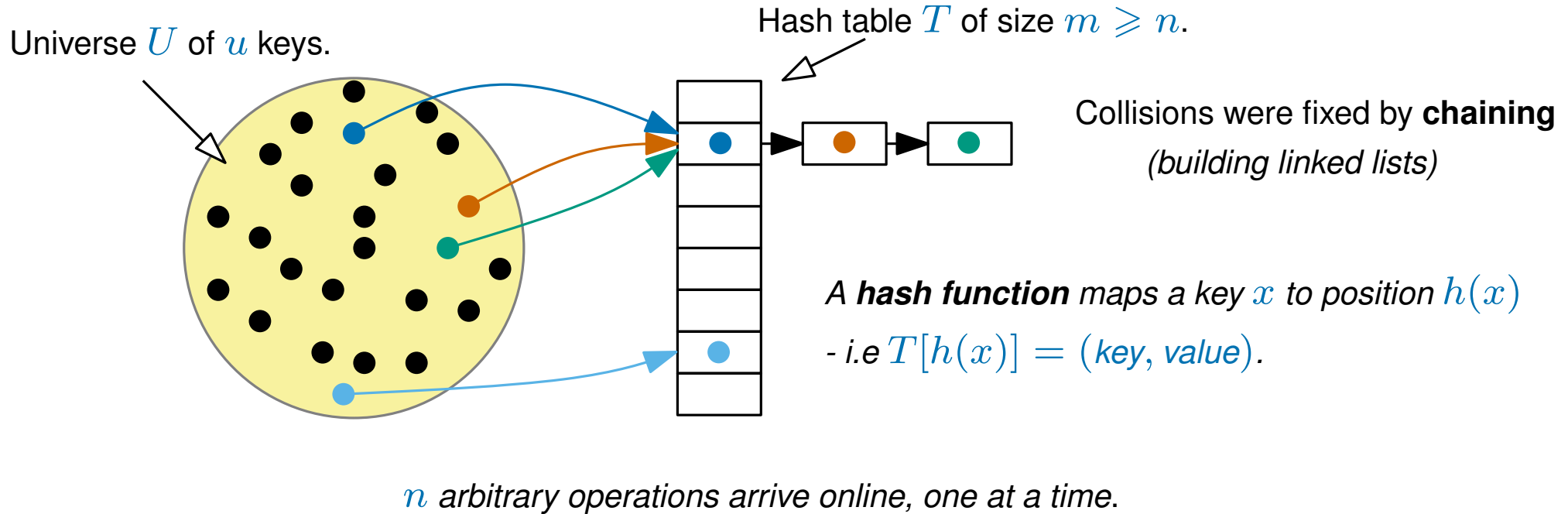
$add(key, value)$, $lookup(key)$ (which returns $value$) and $delete(key)$



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two keys $x, y \in U$ (with $x \neq y$),

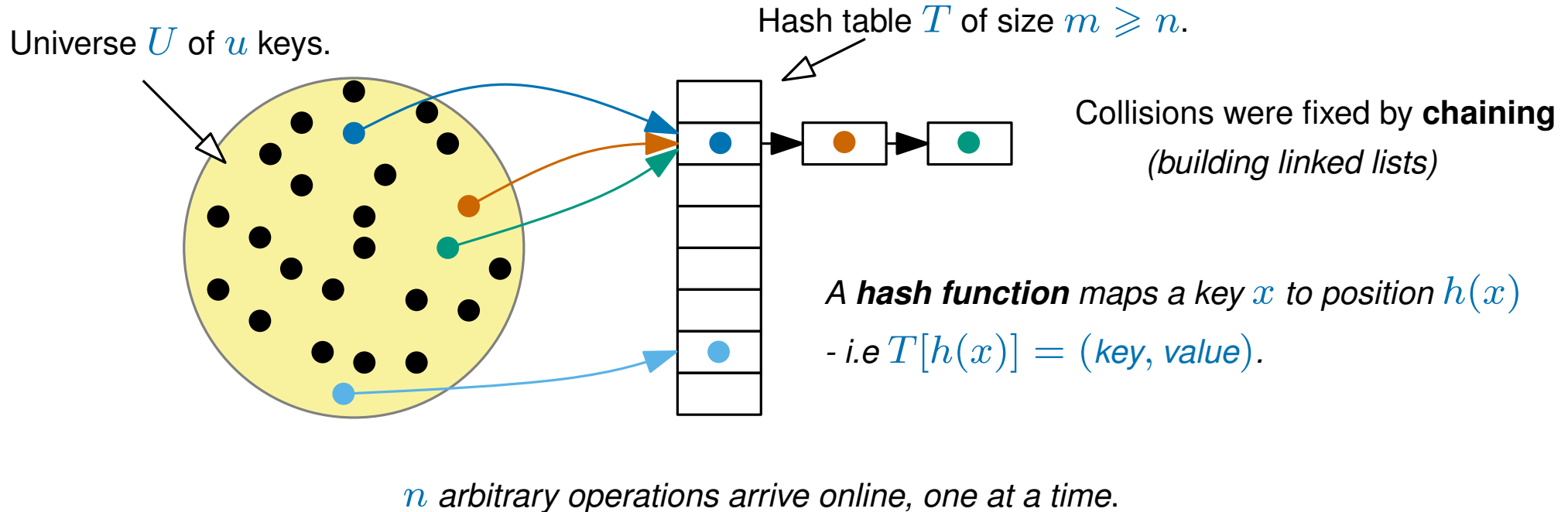
$$\Pr (h(x) = h(y)) \leq \frac{1}{m}$$

(h is picked uniformly at random from H)

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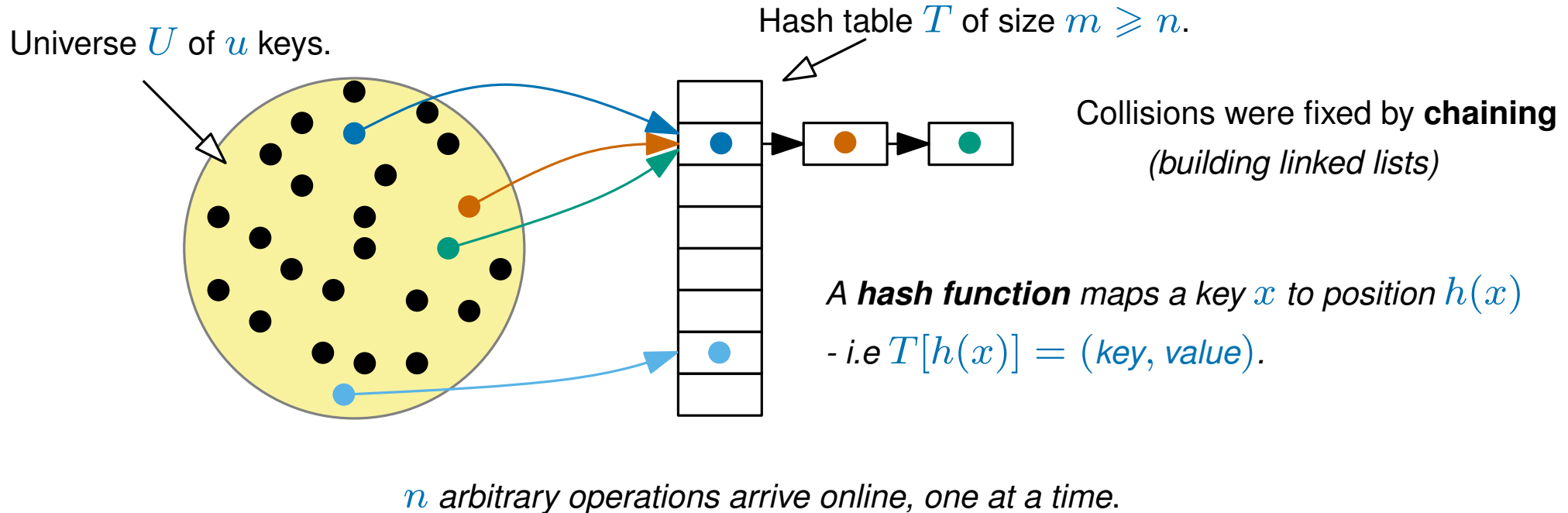
Using weakly universal hashing:

For any n operations, the *expected* run-time is $O(1)$ per operation.

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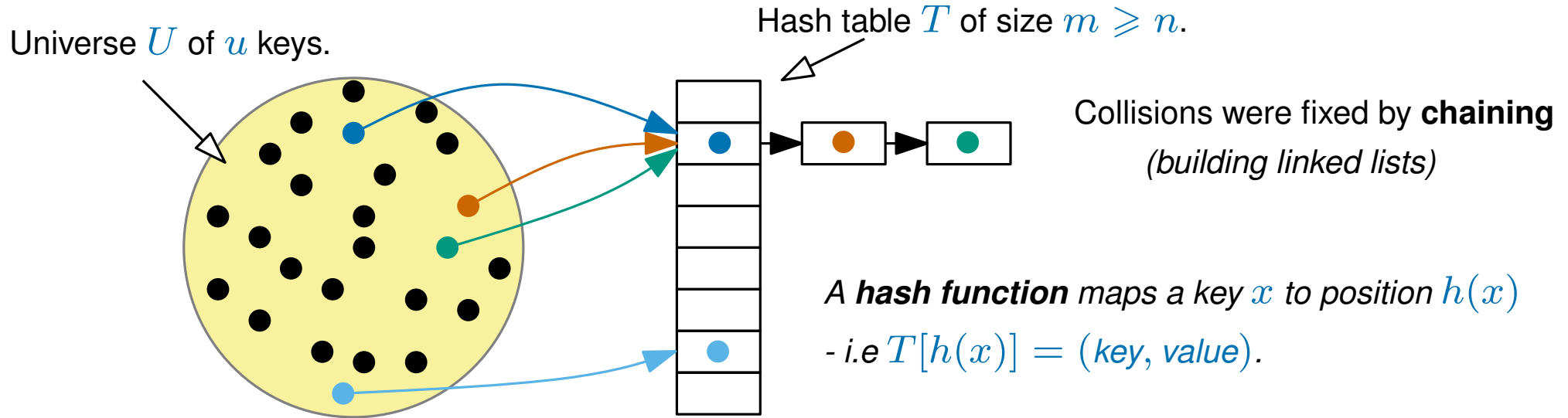
For any n operations, the *expected* run-time is $O(1)$ per operation.

But this doesn't tell us much about the *worst-case behaviour*

Static Dictionaries and Perfect hashing

- ▶ A **static dictionary** stores $(key, value)$ -pairs and supports:

$lookup(key)$ (which returns $value$) - *no inserts or deletes are allowed*

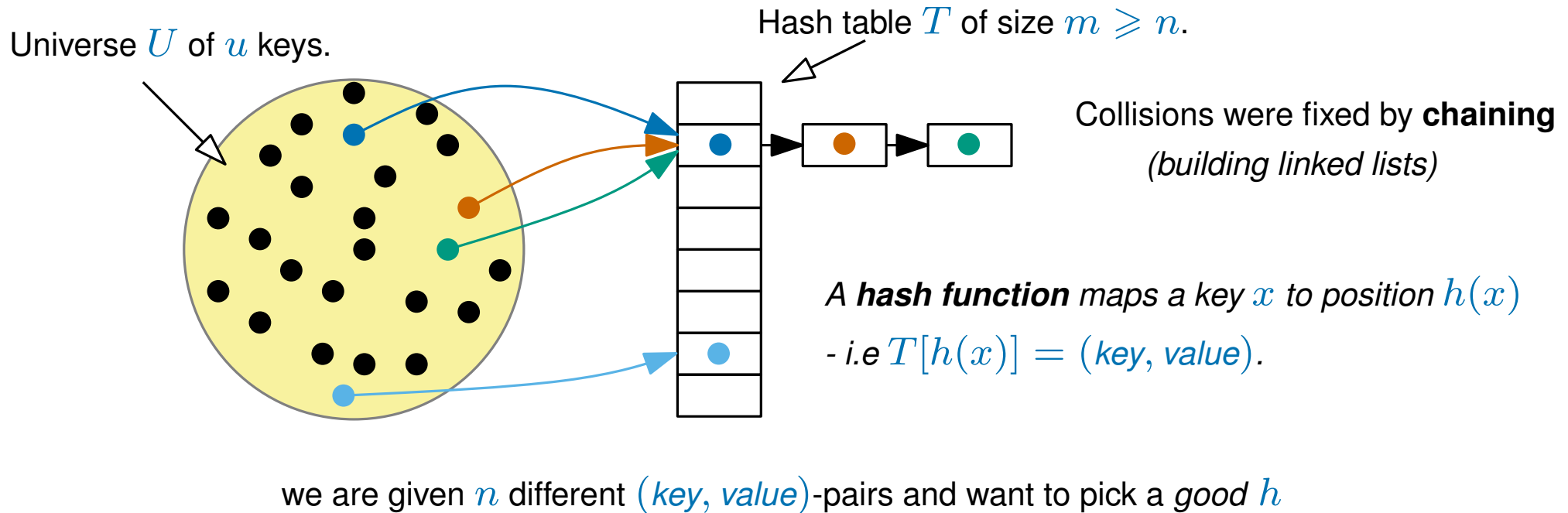


we are given n different $(key, value)$ -pairs and want to pick a *good* h

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THEOREM

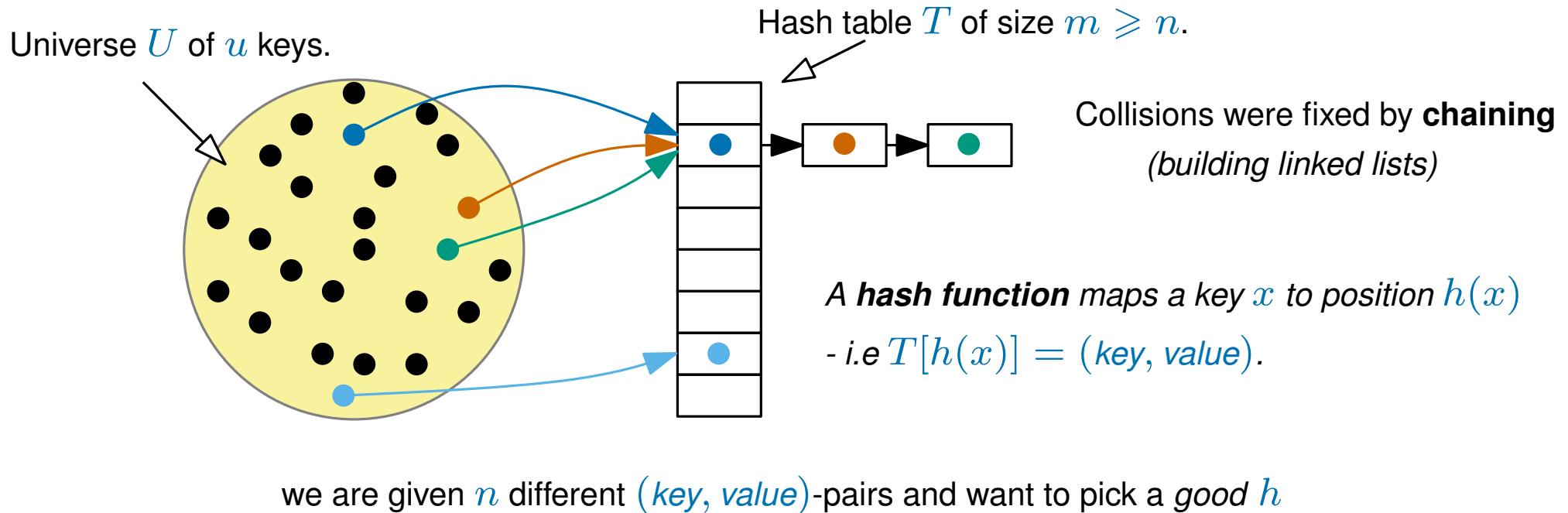
The FKS hashing scheme:

- Has no collisions
- Every **lookup** takes $O(1)$ *worst-case* time,
- Uses $O(n)$ space,
- Can be built in $O(n)$ expected time.

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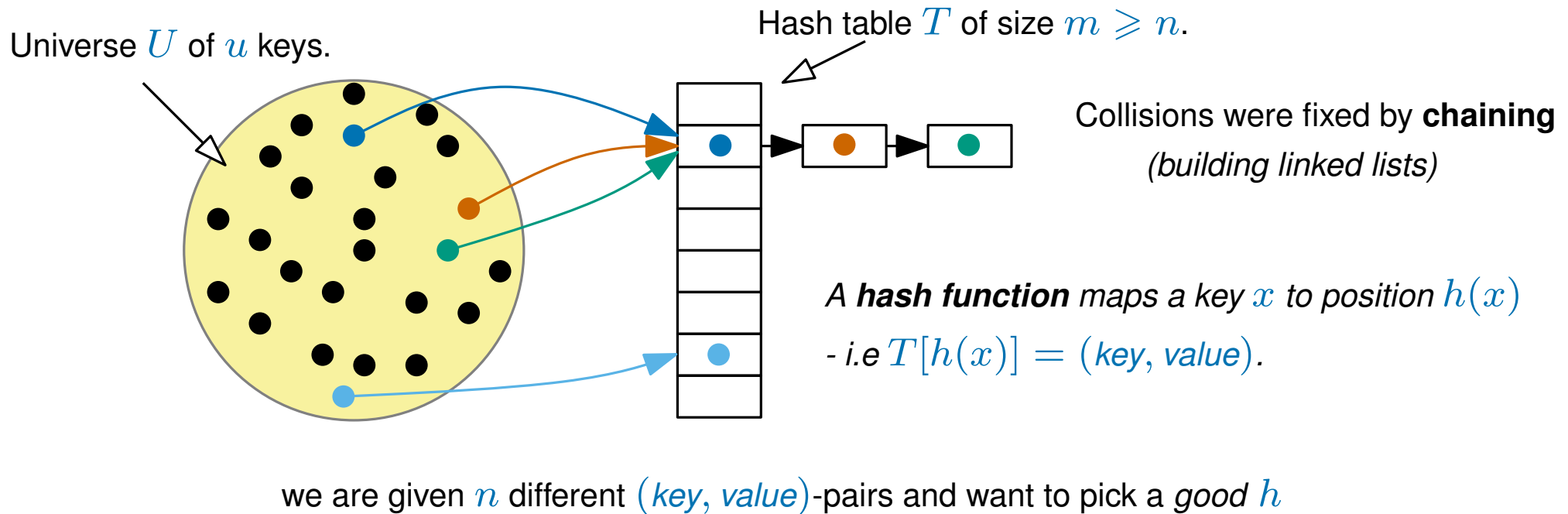
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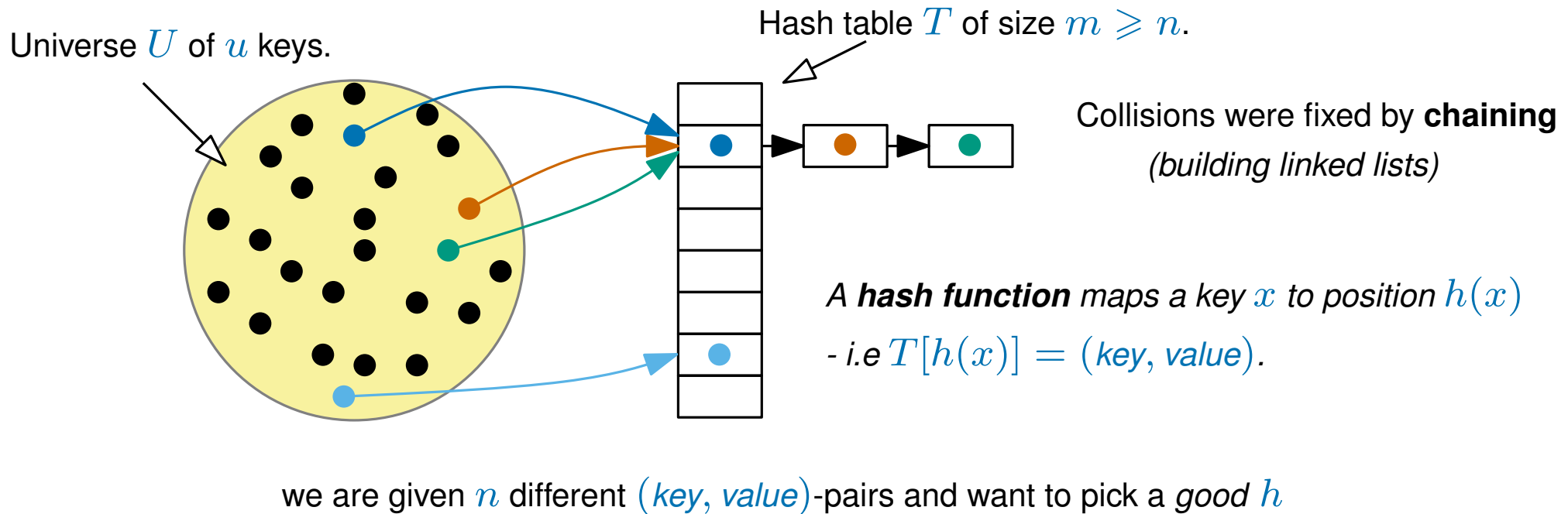
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The construction is based on weak universal hashing

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The construction is based on weak universal hashing

(with an $O(1)$ time hash function)

Perfect hashing - a first attempt

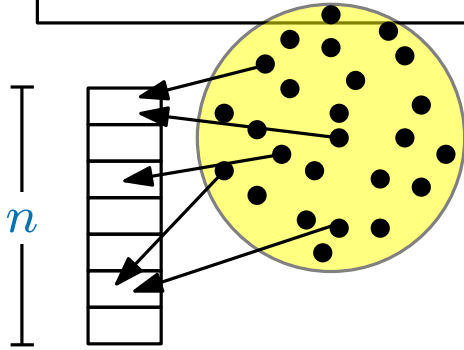
A set H of hash functions is **weakly universal** if for any two keys $x, y \in U$ ($x \neq y$),

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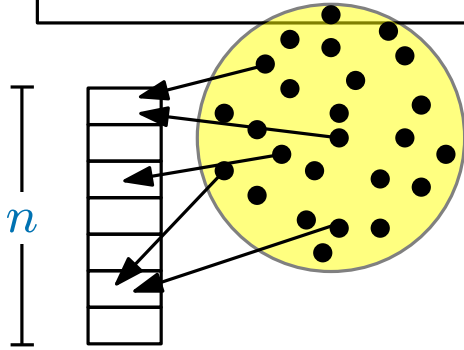


Step 1: Insert everything into a hash table of size $m = n$
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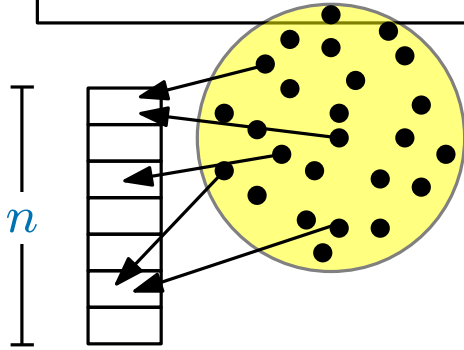
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(where any $h(x)$ can be computed in $O(1)$ time)

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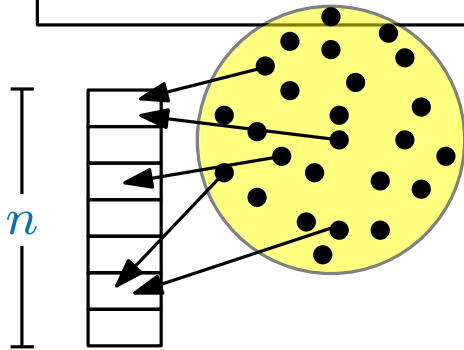


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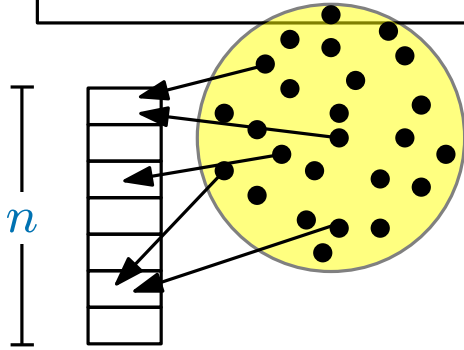
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Step 2: Check for collisions

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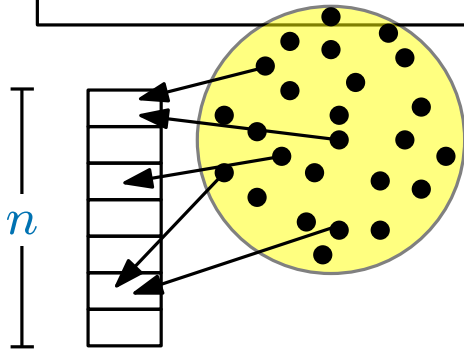
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Step 3: Profit!

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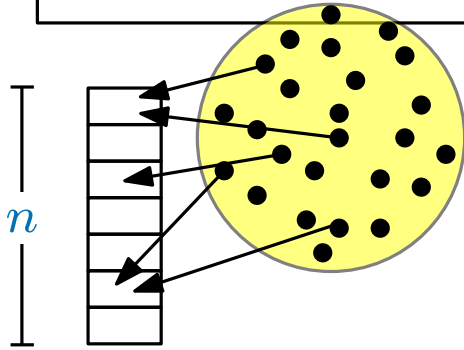
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Step 3: *Repeat if necessary*

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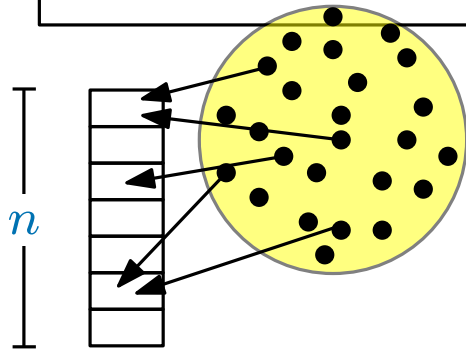
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number of
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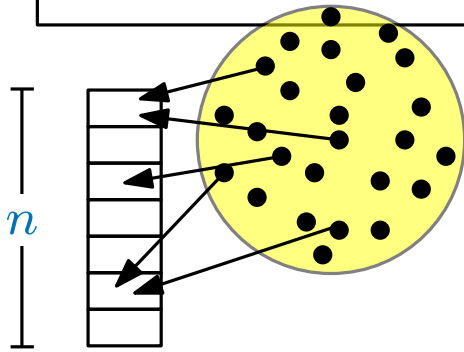
$$\mathbb{E}(C) = \mathbb{E}\left(\sum_{x,y \in T, x < y} I_{x,y}\right)$$

where indicator random variable $I_{x,y} = 1$ iff $h(x) = h(y)$.

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Linearity of Expectation

Let Y_1, Y_2, \dots, Y_k be k random variables. Then

$$\mathbb{E}\left(\sum_{i=1}^k Y_i\right) = \sum_{i=1}^k \mathbb{E}(Y_i)$$

number of collisions

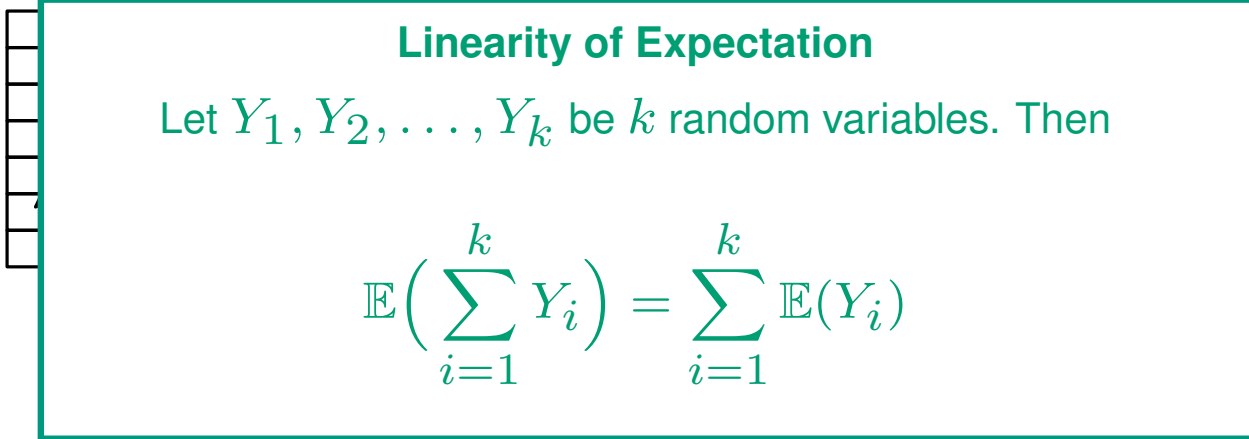
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set of size $m = n$
weakly universal hash function

average?

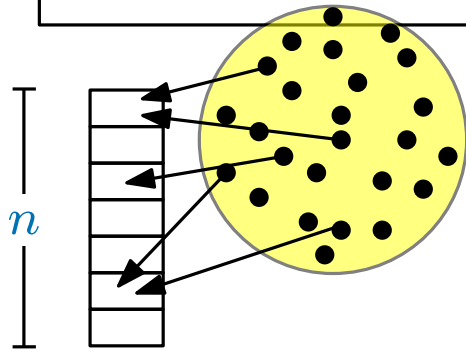
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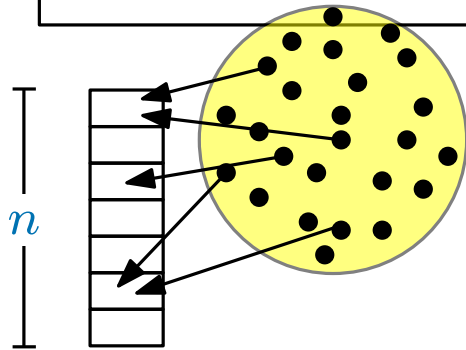


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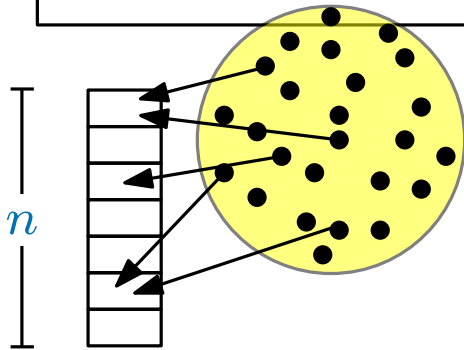


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definition of expectation

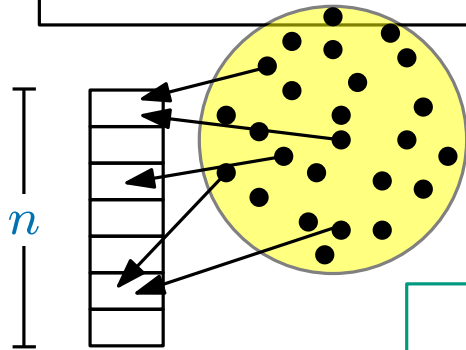


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Step 1: Insert everything into a hash table of size $m = n$ using a weakly universal hash function

Step 2: Check for collisions

By the definition of expectation...

$$\mathbb{E}(I_{x,y}) = 1 \cdot \Pr(I_{x,y} = 1) + 0 \cdot \Pr(I_{x,y} = 0) \leq \frac{1}{m}$$

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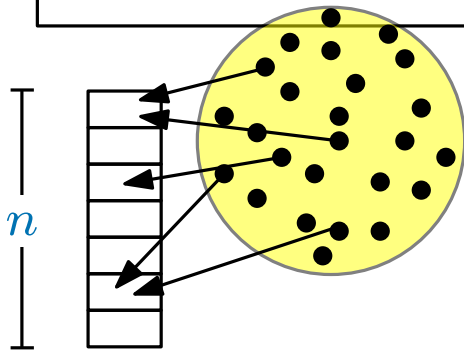


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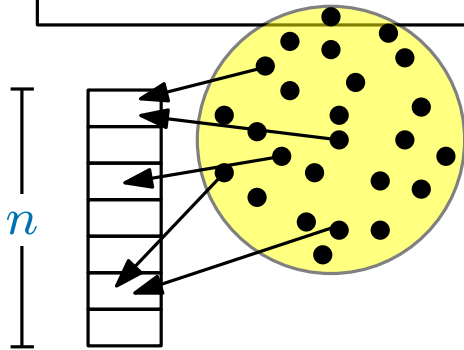


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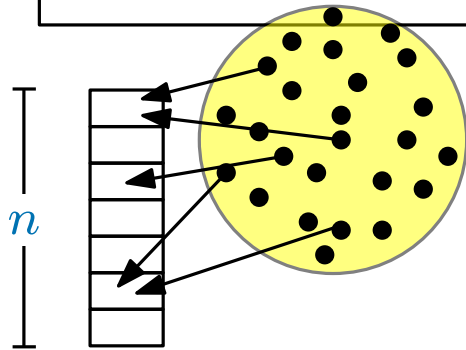


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$$= \sum_{x,y \in T, x < y} \mathbb{E}(I_{x,y})$$

definition of expectation



$$\leq \sum_{x,y \in T, x < y} \frac{1}{m}$$

$$= \binom{n}{2} \cdot \frac{1}{m}$$

$$\binom{n}{2} = \frac{n(n-1)}{2}$$

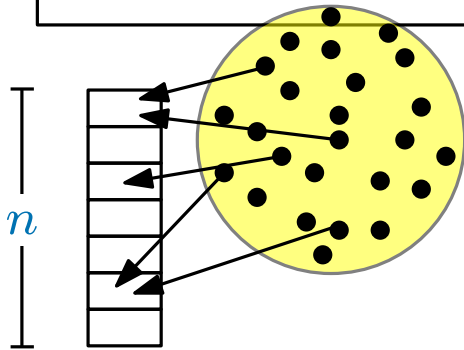


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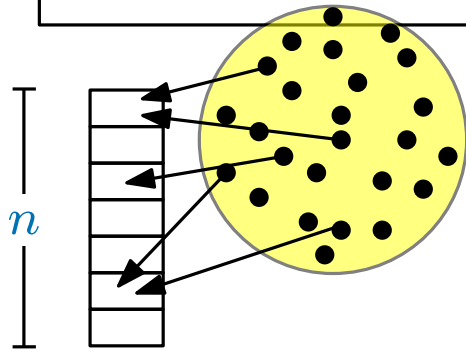
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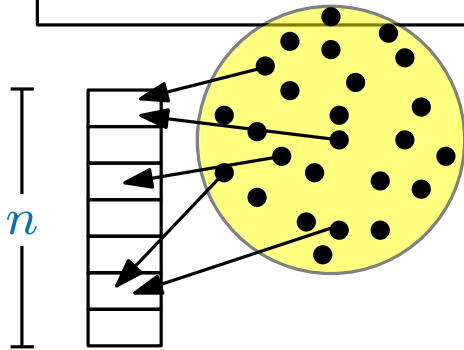
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 \mathbb{E}(C) = \mathbb{E}\left(\sum_{x,y \in T, x < y} I_{x,y}\right) = \sum_{x,y \in T, x < y} \mathbb{E}(I_{x,y}) \leq \sum_{x,y \in T, x < y} \frac{1}{m} = \binom{n}{2} \cdot \frac{1}{m} \leq \frac{n^2}{2m} \leq \frac{n}{2}
 \end{array}$$

linearity of expectation
definition of expectation

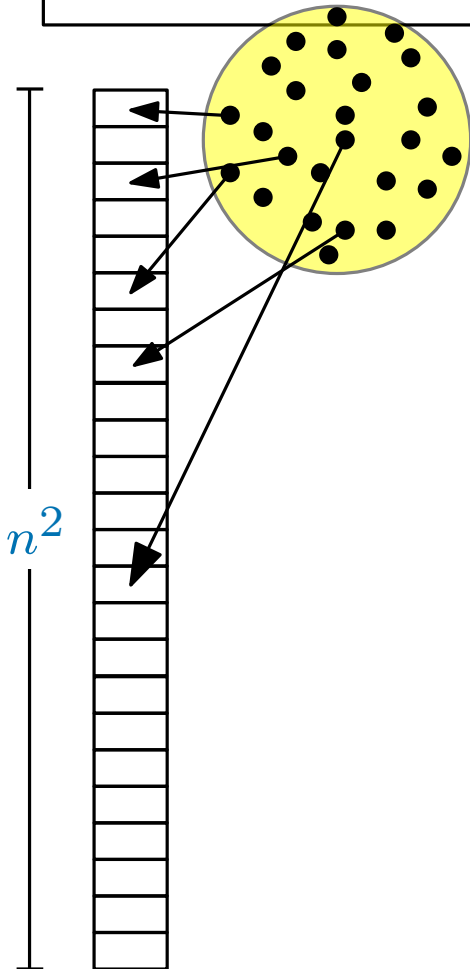
$\leq n^2/2$

where indicator random variable $I_{x,y} = 1$ iff $h(x) = h(y)$.

Perfect hashing - a second attempt

A set H of hash functions is **weakly universal** if for any two keys $x, y \in U$ ($x \neq y$),

$$\Pr (h(x) = h(y)) \leq \frac{1}{m} \quad \text{where } h \text{ is picked uniformly at random from } H$$



Step 1: Insert everything into a hash table of size $m = n^2$
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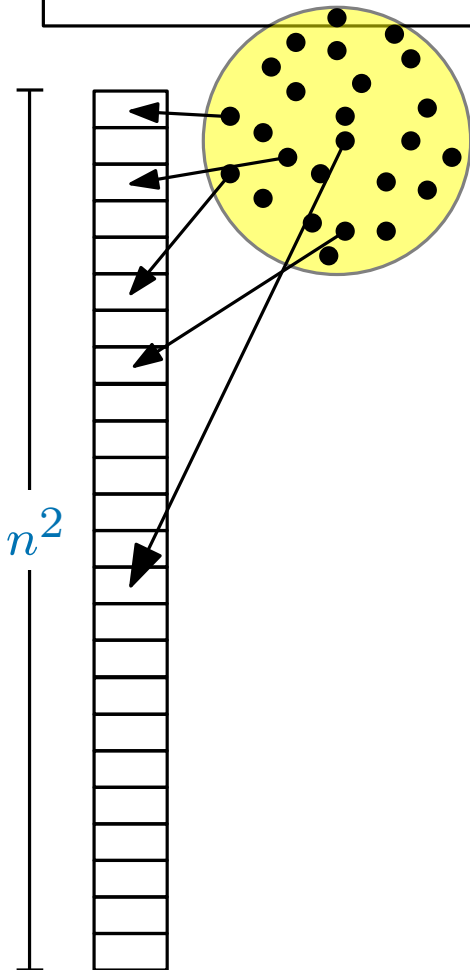
Step 2: Check for collisions

Step 3: *Repeat if necessary*

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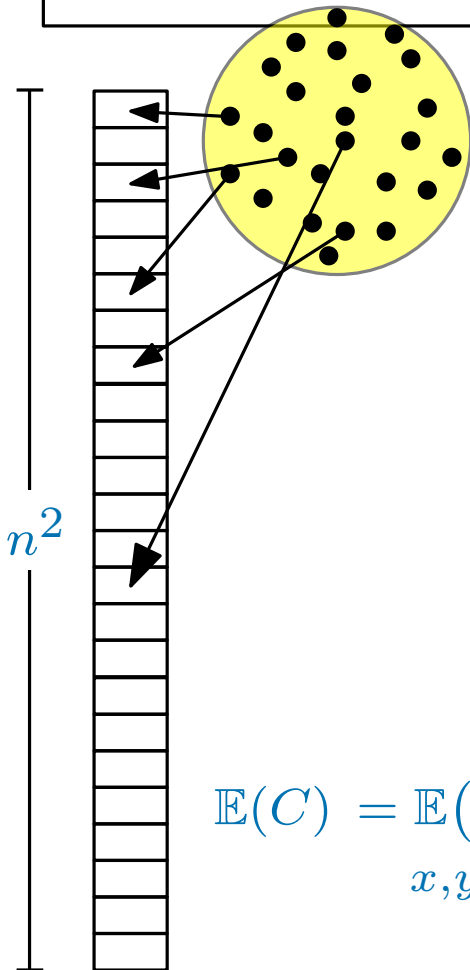
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How many collisions do we get on average?

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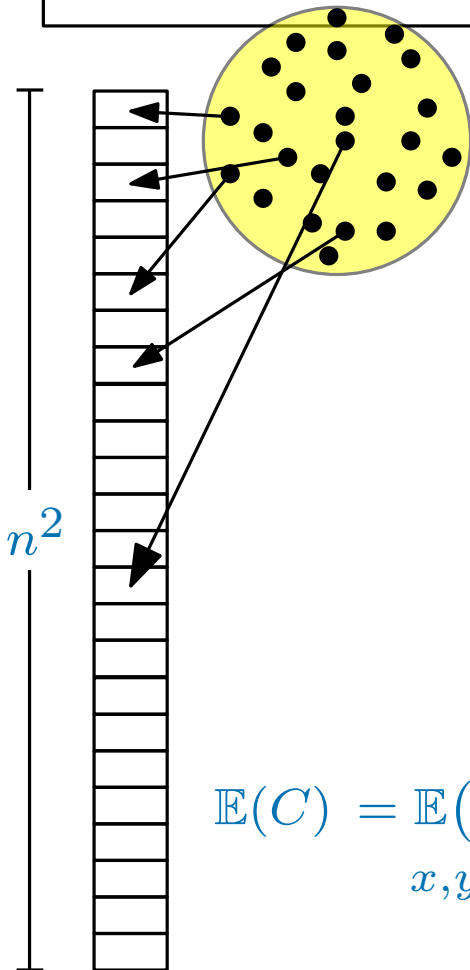
$$\mathbb{E}(C) = \mathbb{E}\left(\sum_{x,y \in T, x < y} I_{x,y}\right) \stackrel{\text{number of collisions}}{\downarrow} = \sum_{x,y \in T, x < y} \mathbb{E}(I_{x,y}) \stackrel{\text{linearity of expectation}}{\downarrow} \leq \sum_{x,y \in T, x < y} \frac{1}{m} \stackrel{\text{definition of expectation}}{\downarrow} = \binom{n}{2} \cdot \frac{1}{m} \leq \frac{n^2}{2}$$

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number of collisions

linearity of expectation

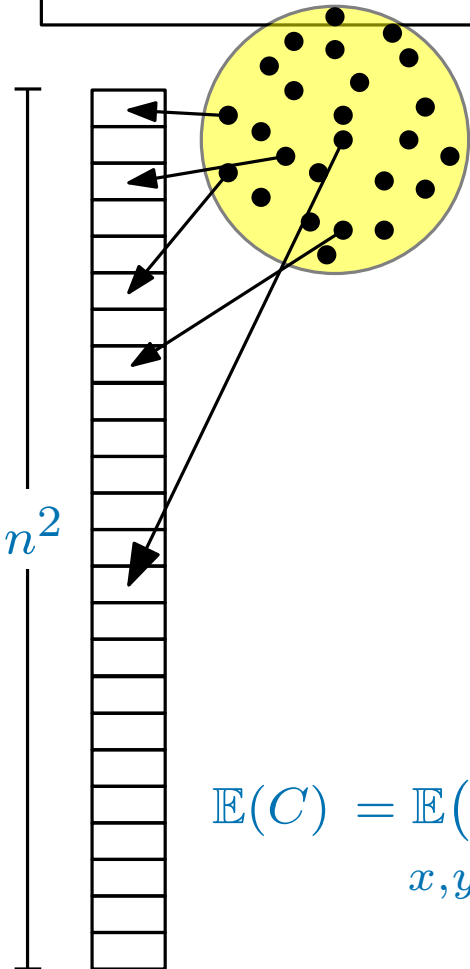
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$\leq n^2/2$

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much better!



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Step 1: Insert everything into a hash table of size $m = n^2$ using a weakly universal hash function

Step 2: Check for collisions

Step 3: Repeat if necessary

(except we cheated)

How many collisions do we get on average?

number of collisions

linearity of expectation

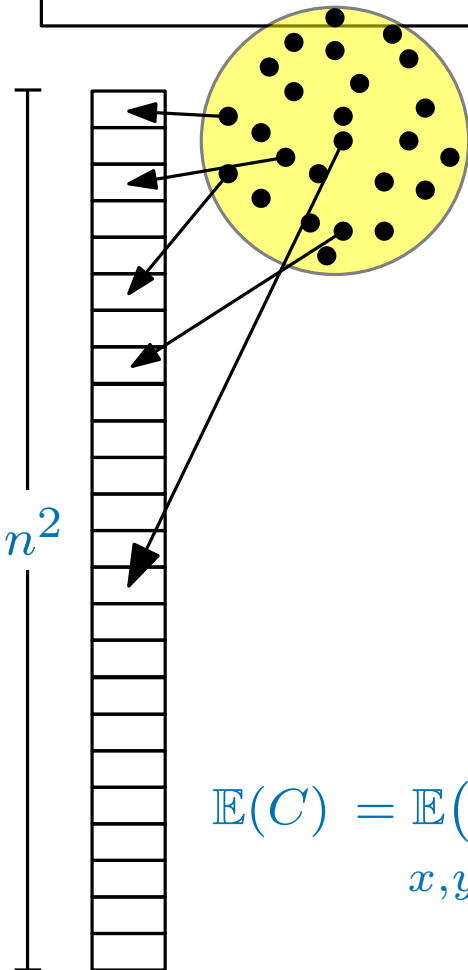
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Expected construction time

Step 1: Insert everything into a hash table of size $m = n^2$
using a weakly universal hash function

Step 2: Check for collisions

Step 3: *Repeat if there was a collision*

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How many times do we repeat on average?

Expected construction time

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How many times do we repeat on average?

The expected number of collisions: $\mathbb{E}(C) \leq \frac{1}{2}$

The probability of at least one collision: $\Pr(C \geq 1) \leq \frac{1}{2}$

Expected construction time

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If X is a non-negative r.v., then for all $a > 0$,

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How n

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... and then the look-up time is always $O(1)$

(because any $h(x)$ can be computed in $O(1)$ time)

Expected construction time

Step 1: Insert everything into a hash table of size $m = n$
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Step 3: *Repeat if there are more than n collisions*

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**This looks rubbish but
it will be useful in a bit!**

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The expected number of collisions: $\mathbb{E}(C) \leq \frac{n}{2}$

The probability of at least n collisions: $\Pr(C \geq n) \leq \frac{1}{2}$ (where $a = n$)

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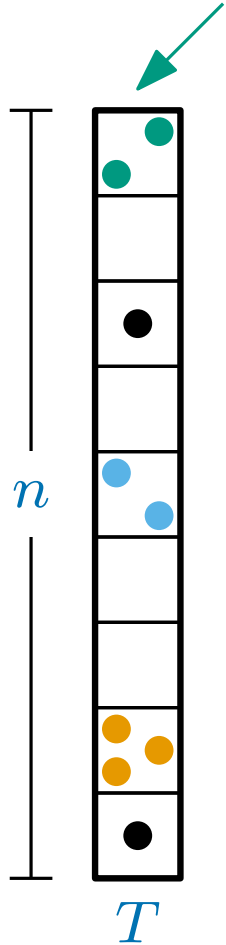
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... but the look-up time could be rubbish (lots of collisions)

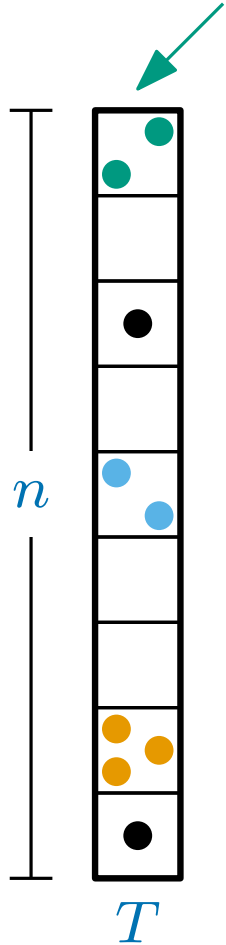
Perfect hashing - attempt three

Step 1: Insert everything into a hash table, T , of size n using a weakly universal hash function, h



Perfect hashing - attempt three

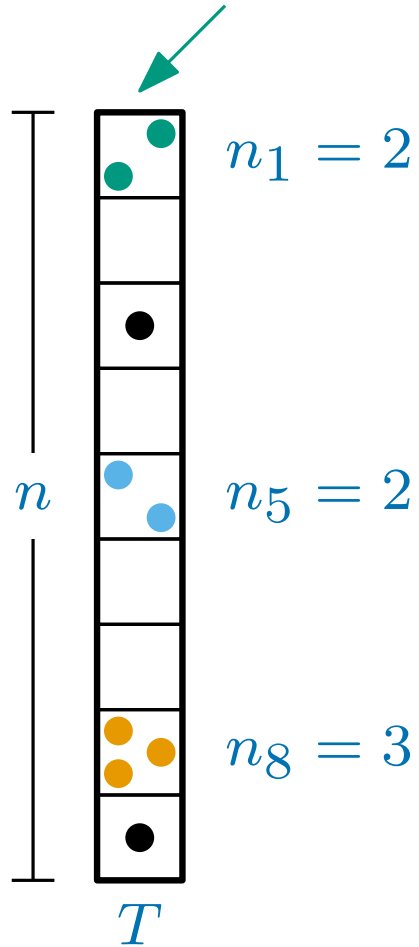
Step 1: Insert everything into a hash table, T , of size n using a weakly universal hash function, h



Let n_i be the number of items in $T[i]$

Perfect hashing - attempt three

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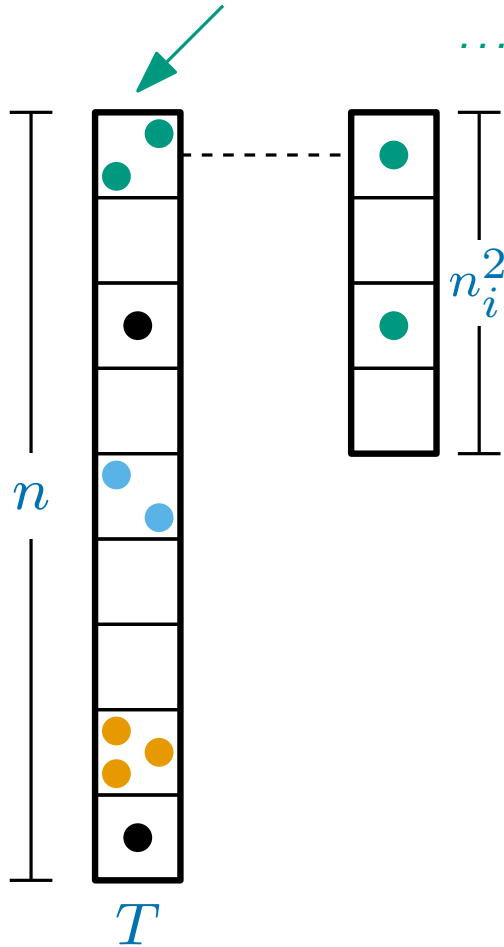


Let n_i be the number of items in $T[i]$

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Step 1: Insert everything into a hash table, T , of size n using a weakly universal hash function, h

... but don't use chaining



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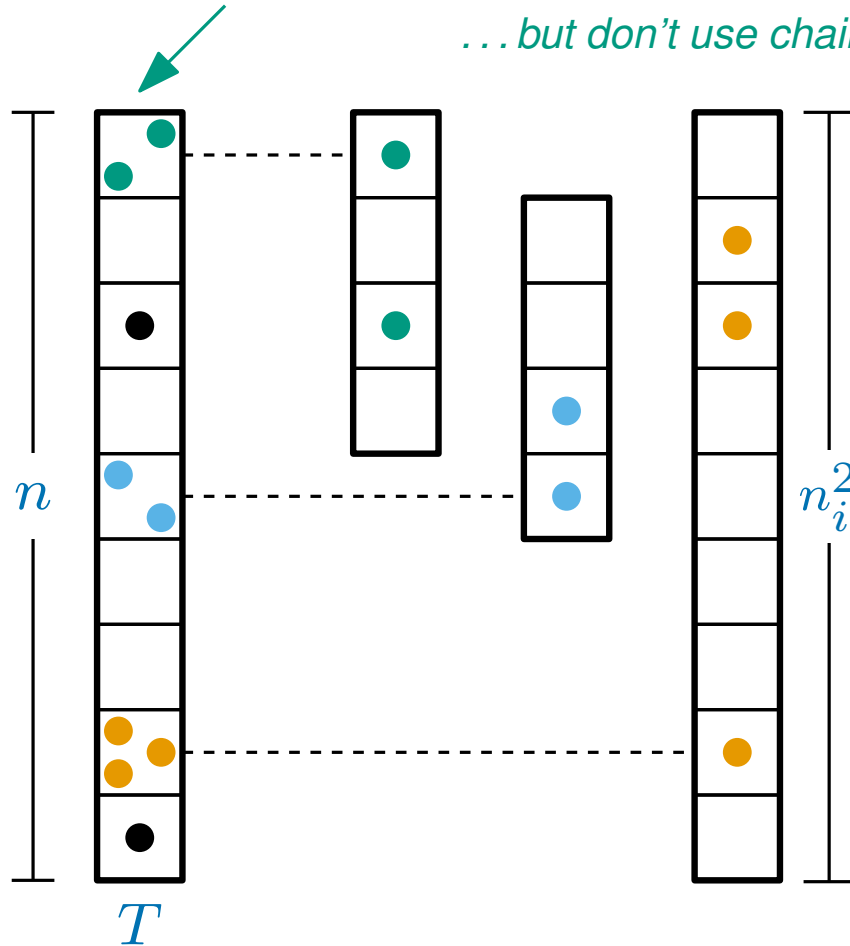
Step 2: The n_i items in $T[i]$ are inserted into another hash table T_i of size n_i^2

using another weakly universal hash function denoted h_i (there is one for each i)

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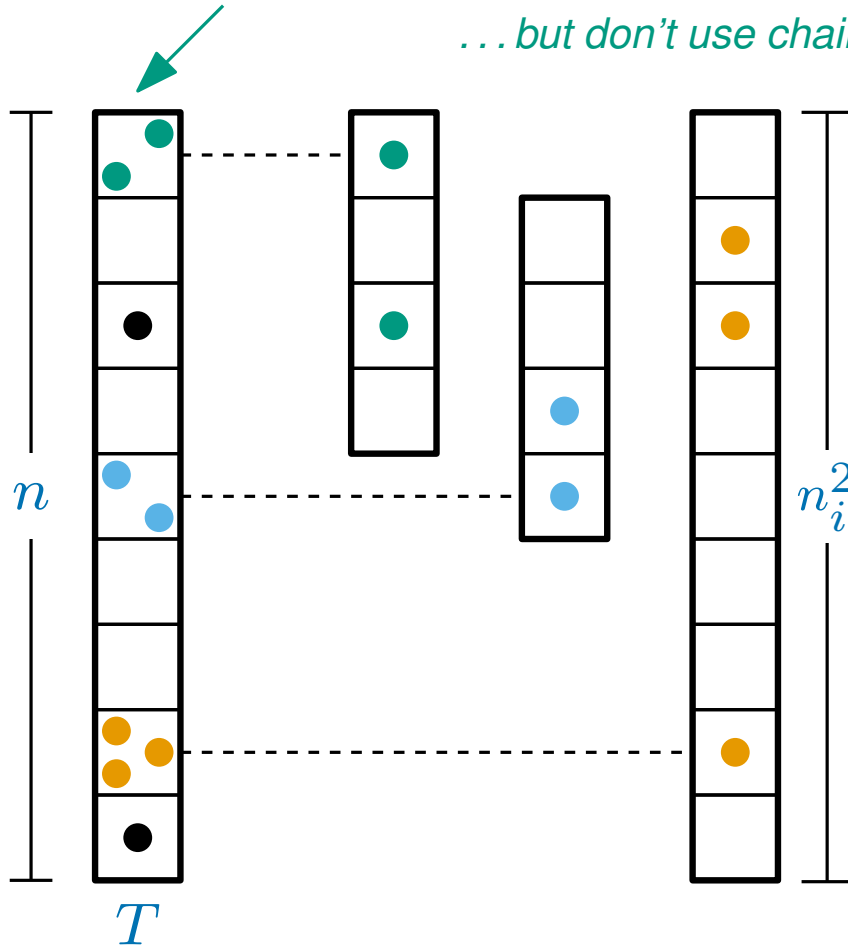
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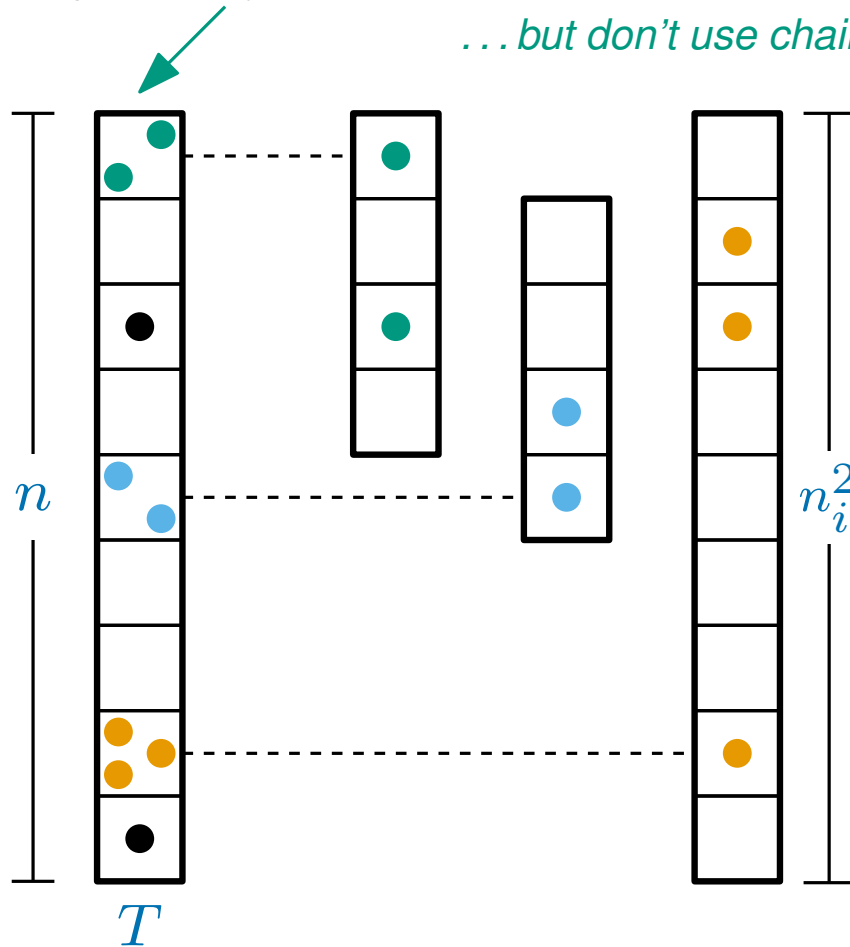
(Step 3) *Immediately repeat a step if either*

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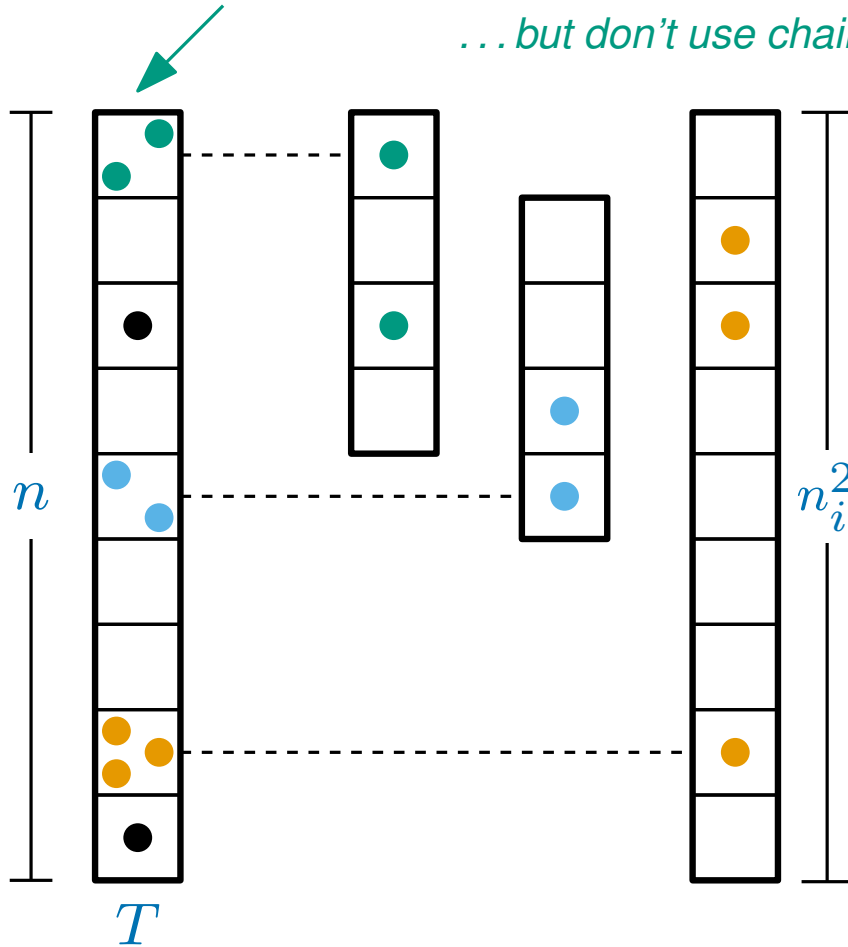
i.e. check (and if necessary rebuild)

each table immediately after building it

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... but don't use chaining



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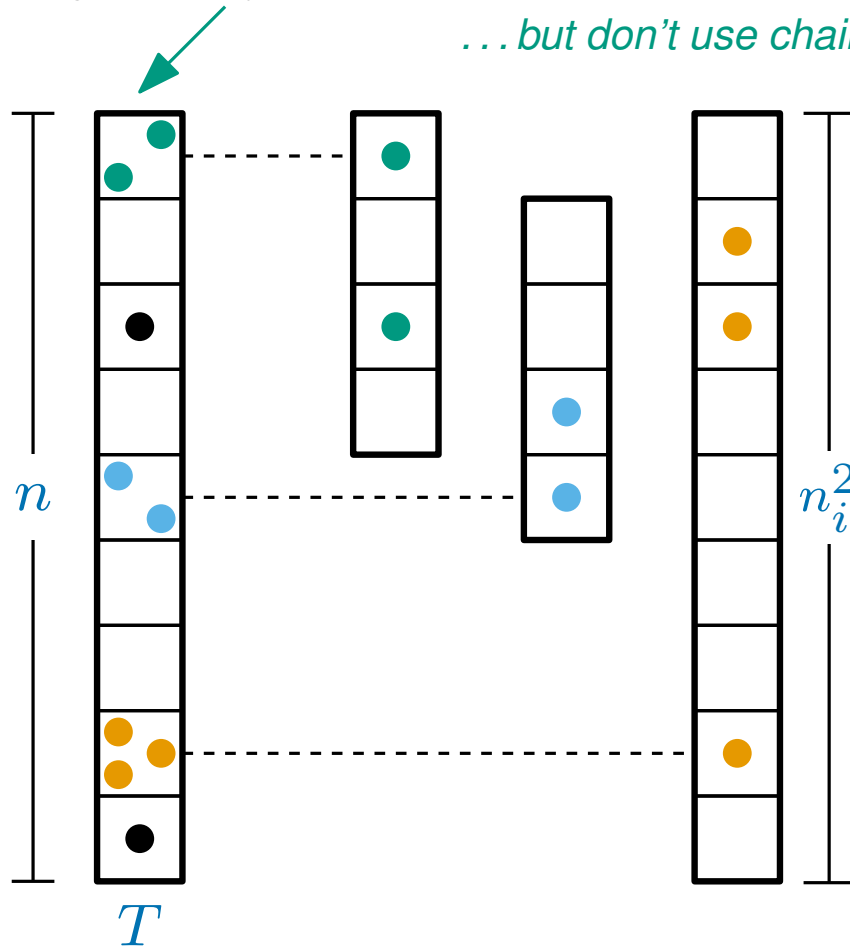
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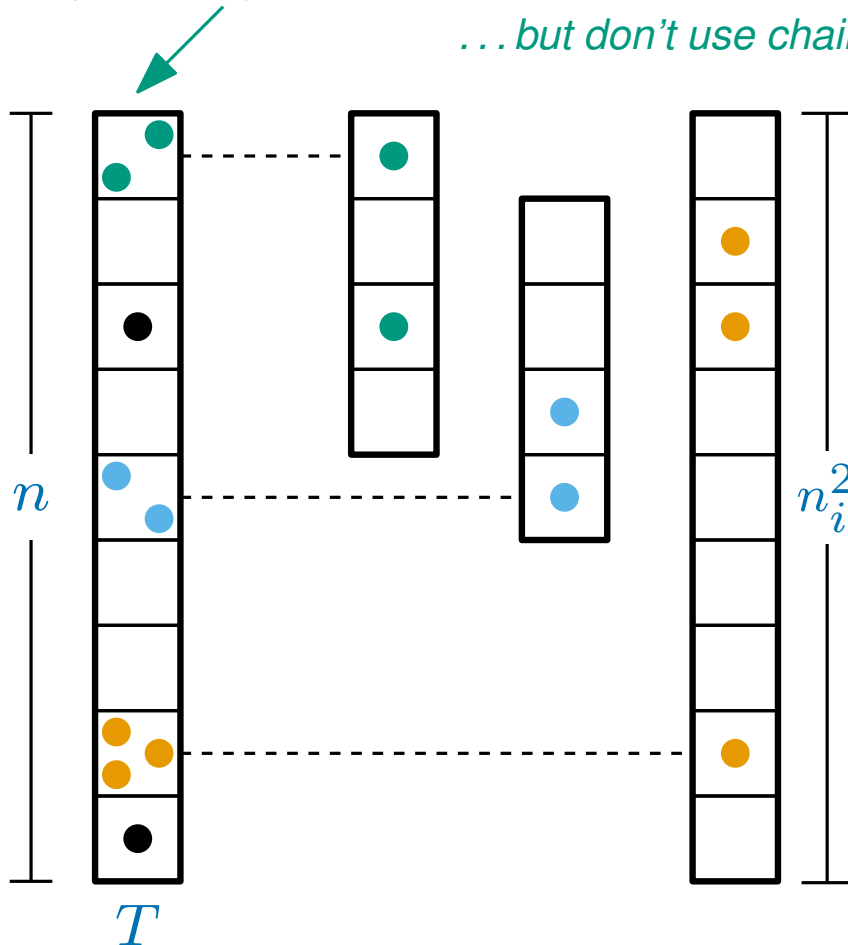
The look-up time is always $O(1)$

1. Compute $i = h(x)$ (x is the key)
2. Compute $j = h_i(x)$
3. The item is in $T_i[j]$

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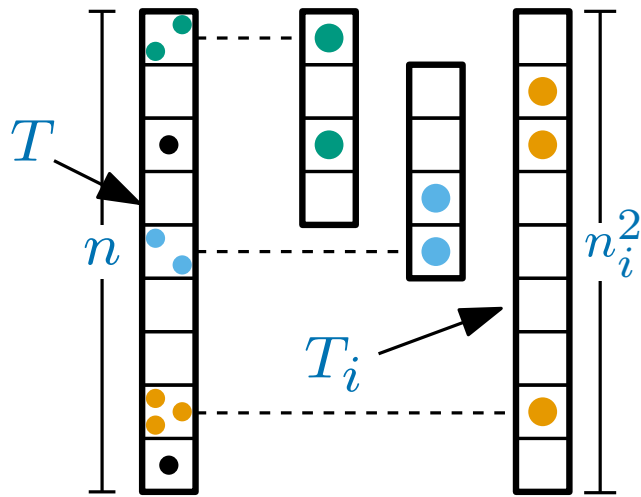
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Two questions remain:

What is the expected construction time?

What is the space usage?

Perfect Hashing - Space usage



Step 1: Insert everything into a hash table, T , of size n using a weakly universal (w.u.) hash function, h

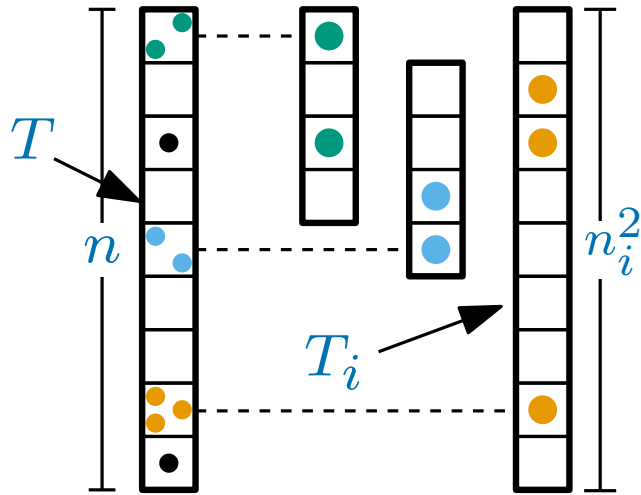
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(Step 3) *Immediately repeat if either*

- T has more than n collisions
- some T_i has a collision

How much space does this use?

Perfect Hashing - Space usage



Step 1: Insert everything into a hash table, T , of size n using a weakly universal (w.u.) hash function, h

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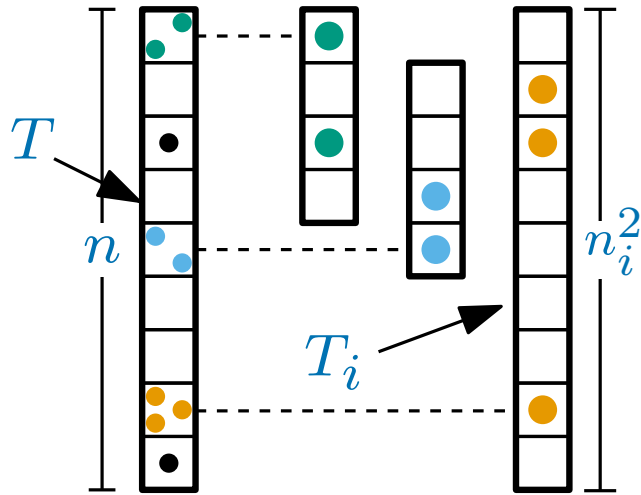
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How much space does this use?

The size of T is $O(n)$

Perfect Hashing - Space usage



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Step 2: The n_i items in $T[i]$ are inserted into another hash table T_i of size n_i^2 using w.u hash function h_i

(Step 3) *Immediately repeat if either*

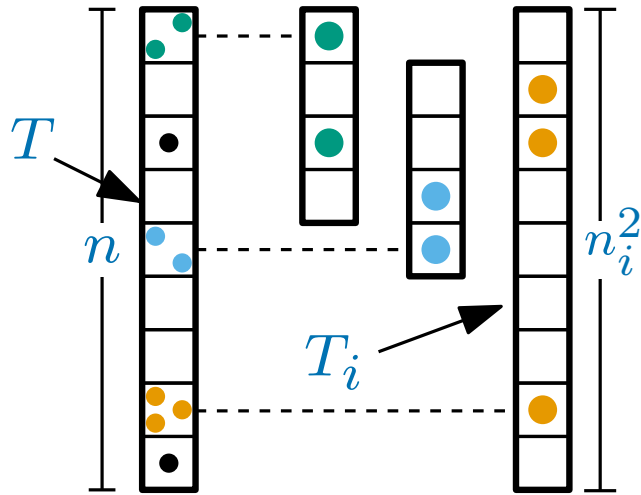
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How much space does this use?

The size of T is $O(n)$

The size of T_i is $O(n_i^2)$

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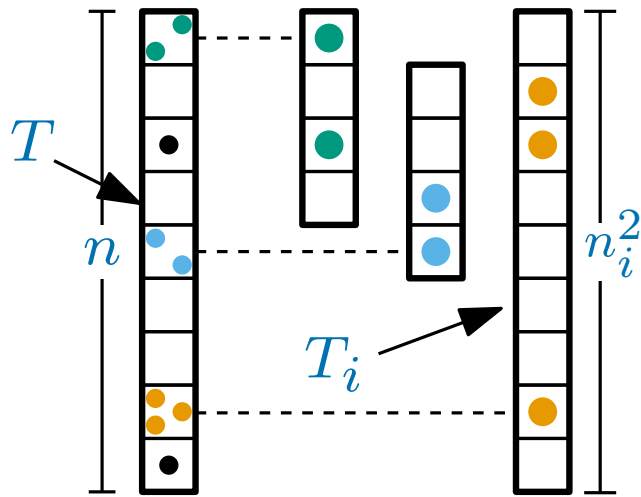
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The size of T is $O(n)$

The size of T_i is $O(n_i^2)$

Storing h_i uses $O(1)$ space

Perfect Hashing - Space usage



Step 1: Insert everything into a hash table, T , of size n using a weakly universal (w.u.) hash function, h

Step 2: The n_i items in $T[i]$ are inserted into another hash table T_i of size n_i^2 using w.u hash function h_i

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- T has more than n collisions
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How much space does this use?

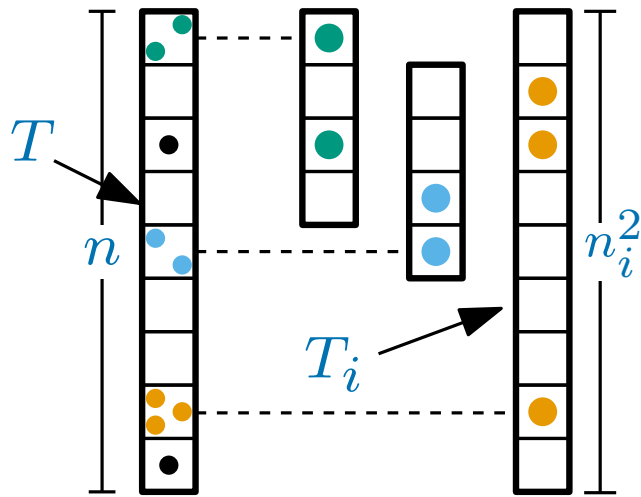
The size of T is $O(n)$

The size of T_i is $O(n_i^2)$

Storing h_i uses $O(1)$ space

So the total space is...

Perfect Hashing - Space usage



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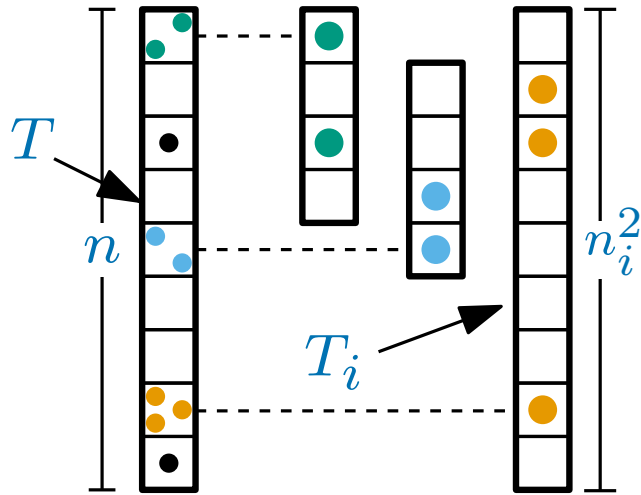
The size of T_i is $O(n_i^2)$

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So the total space is...

$$O(n) + \sum_i O(n_i^2)$$

Perfect Hashing - Space usage



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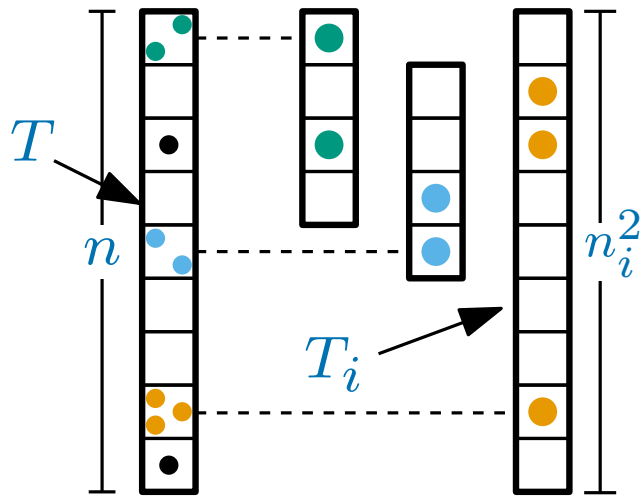
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$$O(n) + \sum_i O(n_i^2) = O(n) + O\left(\sum_i n_i^2\right)$$

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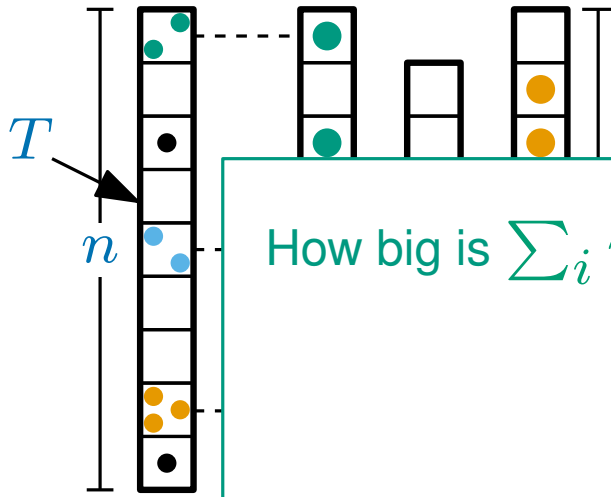
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$$O(n) + \sum_i O(n_i^2) = O(n) + O\left(\sum_i n_i^2\right)$$

how big is this?


Perfect Hashing - Space usage

Step 1: Insert everything into a hash table, T , of size n using a weakly universal (w.u.) hash function, h



How big is $\sum_i n_i^2$?

table T_i

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Storing h_i uses $O(1)$ space

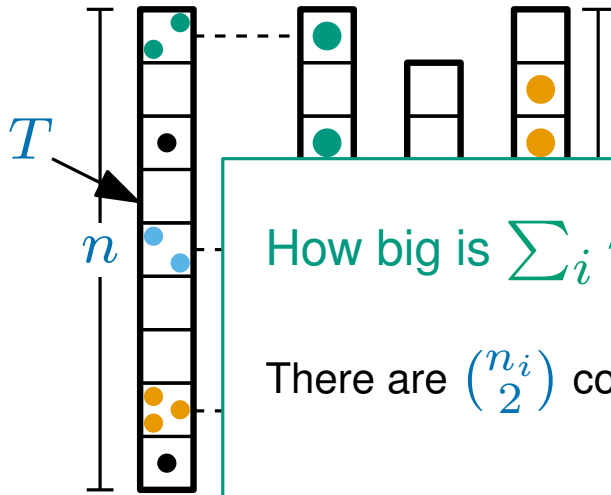
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Perfect Hashing - Space usage

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How big is $\sum_i n_i^2$?

There are $\binom{n_i}{2}$ collisions in $T[i]$

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Storing h_i uses $O(1)$ space

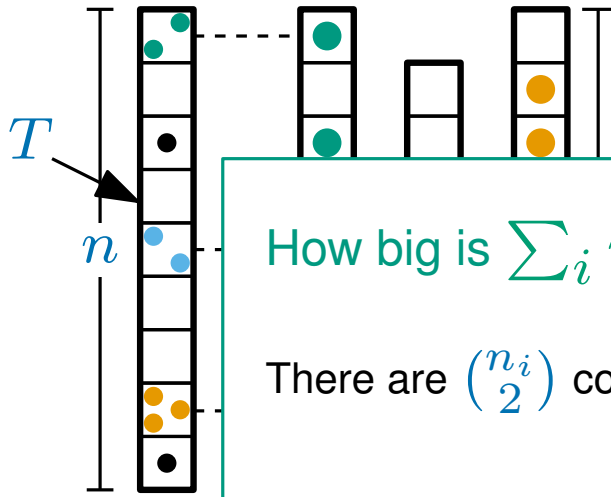
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↓

Perfect Hashing - Space usage

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There are $\binom{n_i}{2}$ collisions in $T[i]$

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How mu

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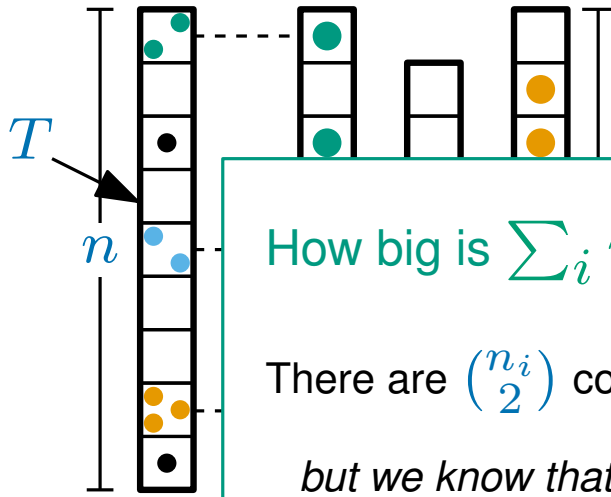
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Perfect Hashing - Space usage

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but we know that there are at most n collisions in $T \dots$

How mu

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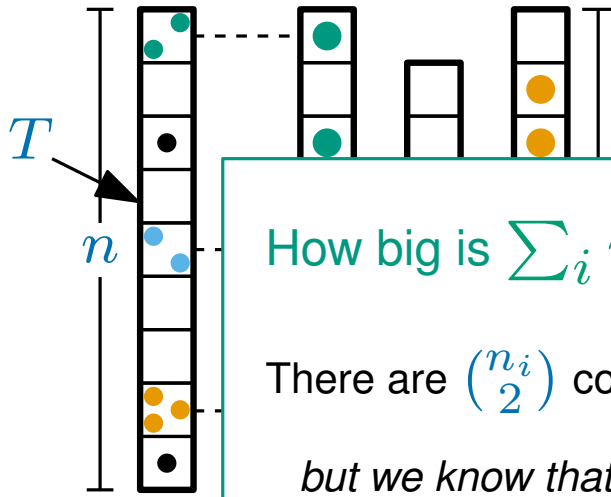
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$$\sum_i \binom{n_i}{2} \leq n$$

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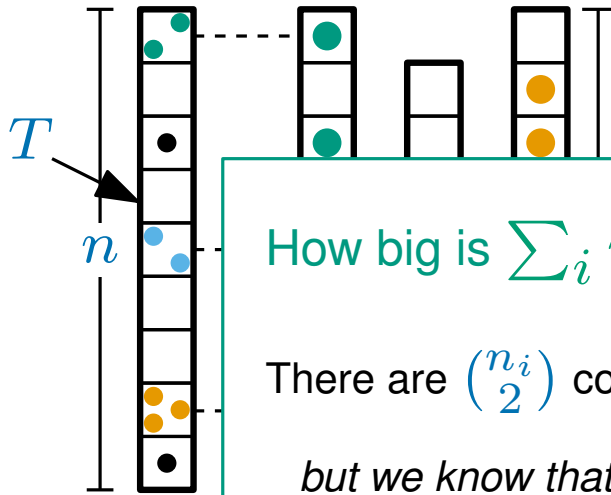
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Perfect Hashing - Space usage

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$$\sum_i \frac{n_i^2}{4} \leq \sum_i \binom{n_i}{2} \leq n$$

How mu

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Storing h_i uses $O(1)$ space

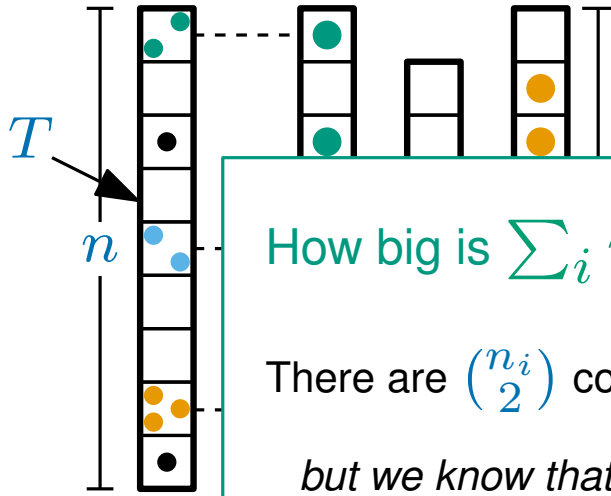
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$$\binom{n_i}{2} = \frac{n_i(n_i-1)}{2} \geq \frac{n_i^2}{4}$$

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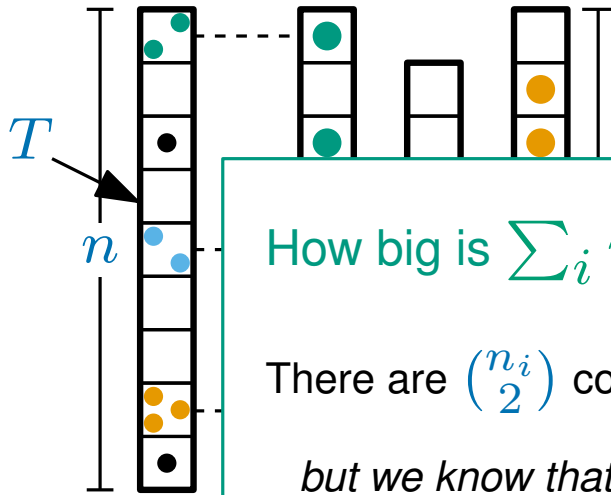
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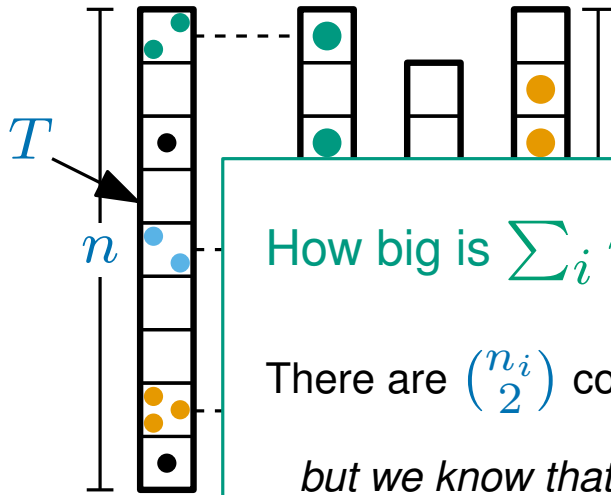
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How mu

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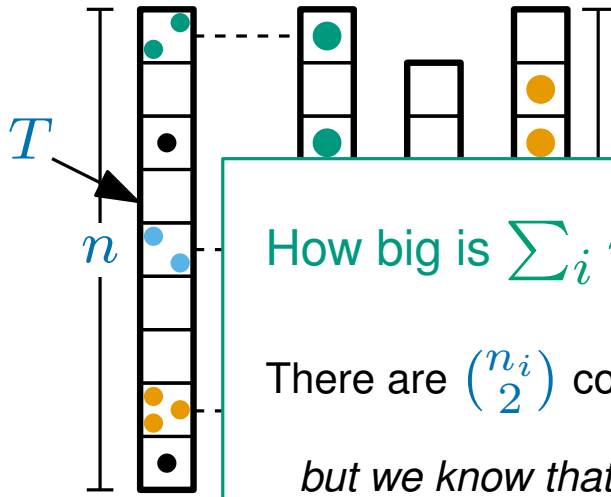
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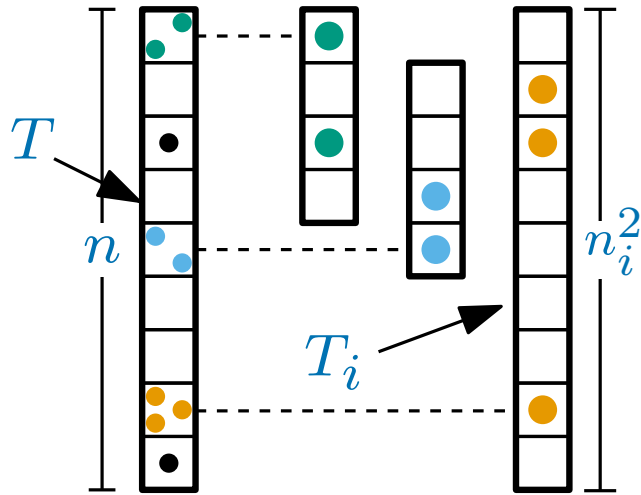
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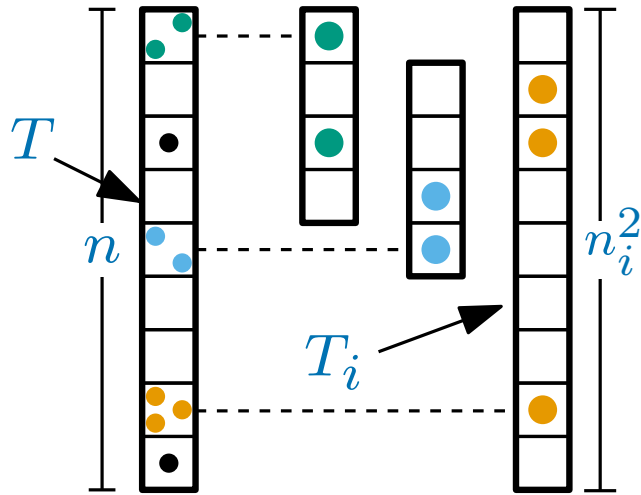
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Perfect Hashing - Expected construction time



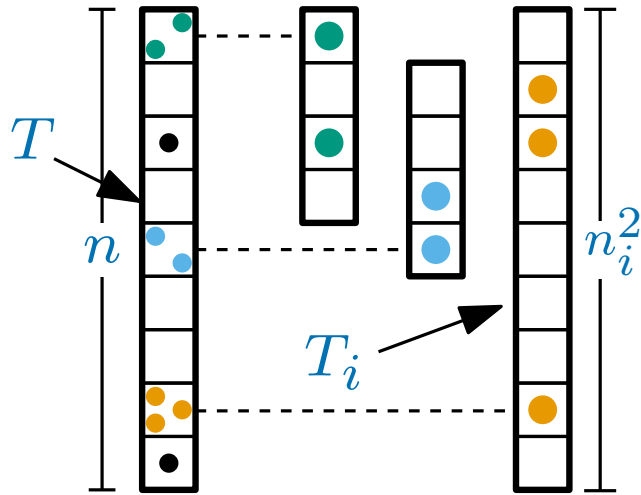
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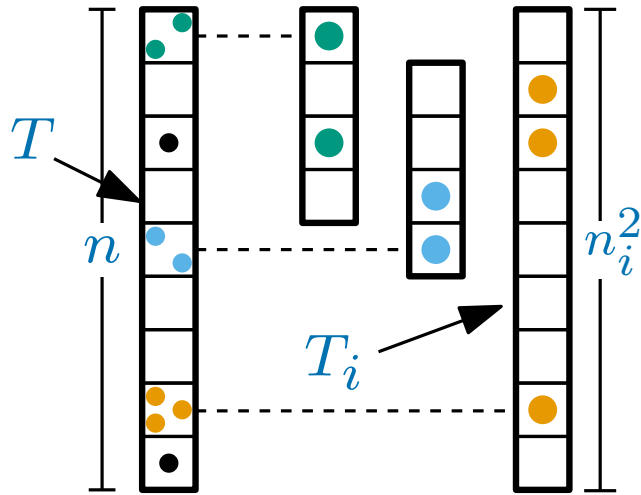
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(we considered this on a previous slide)

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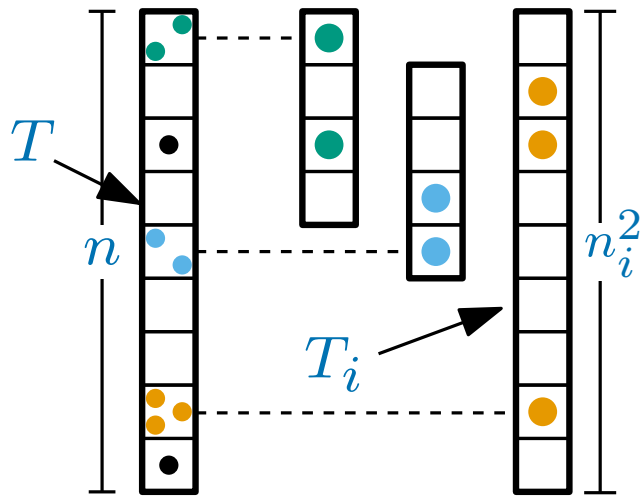
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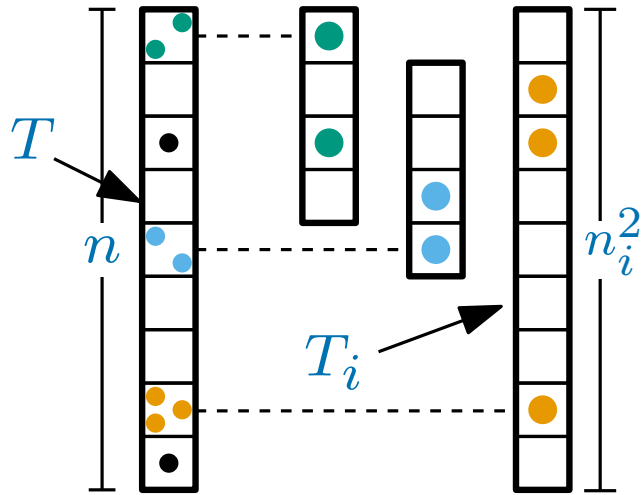
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- we insert n_i items into a table of size $m = n_i^2$
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Perfect Hashing - Expected construction time



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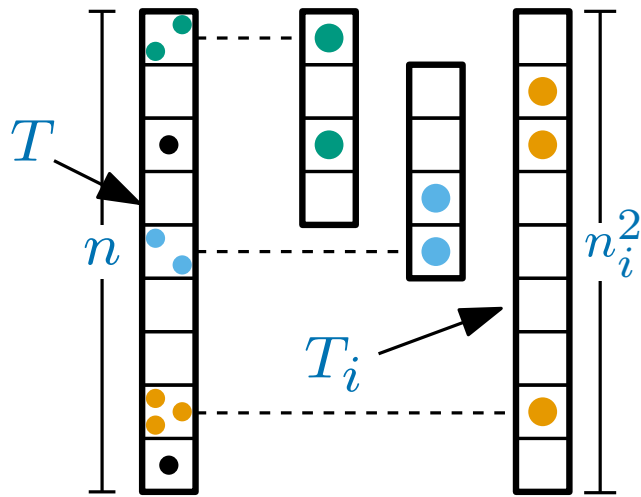
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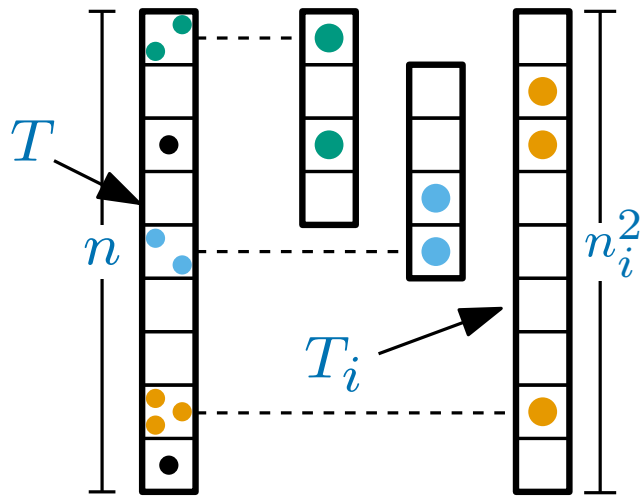
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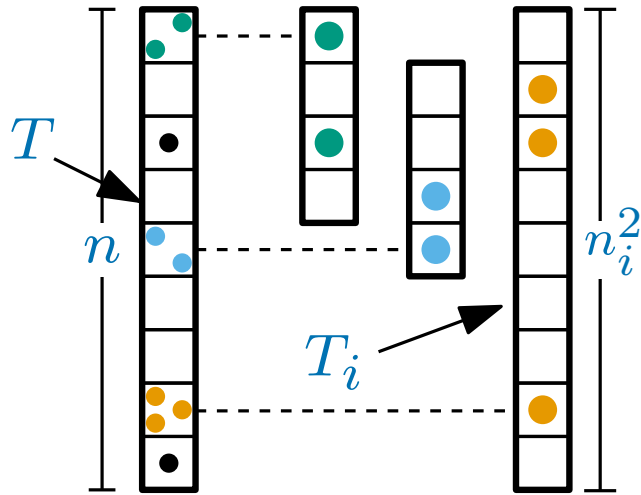
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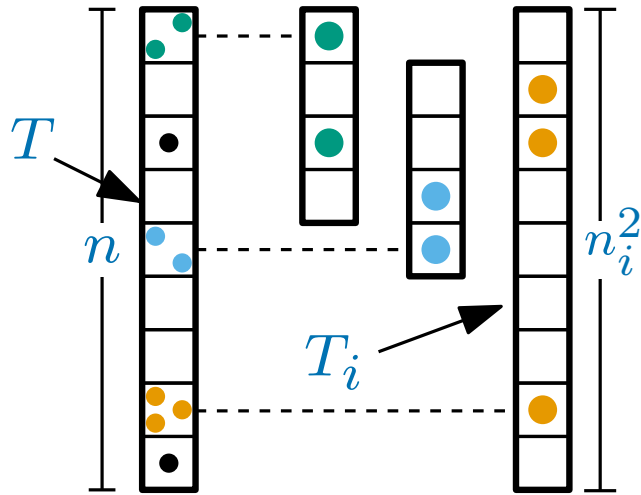
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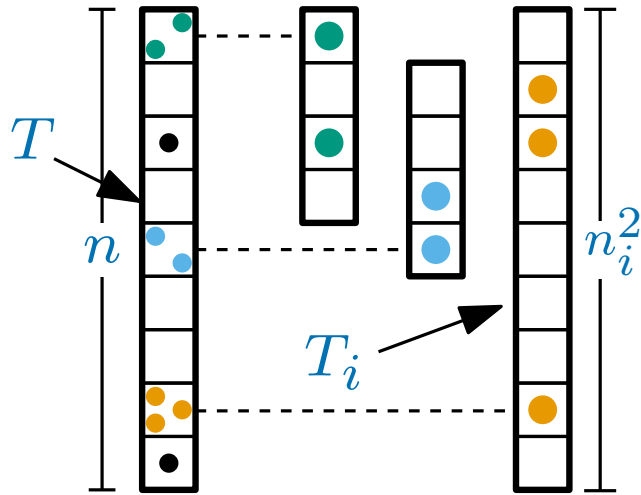
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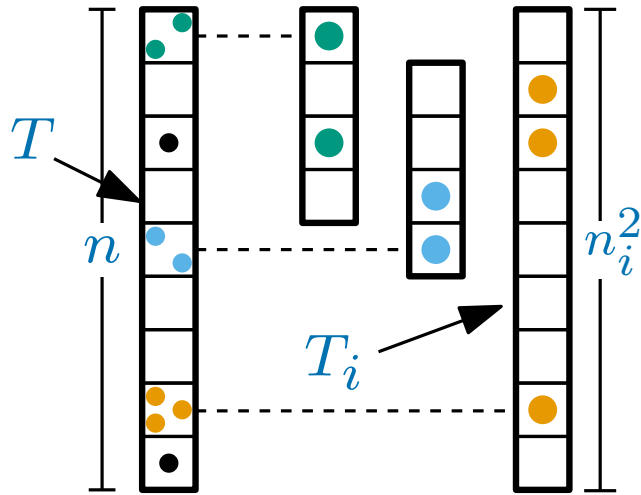
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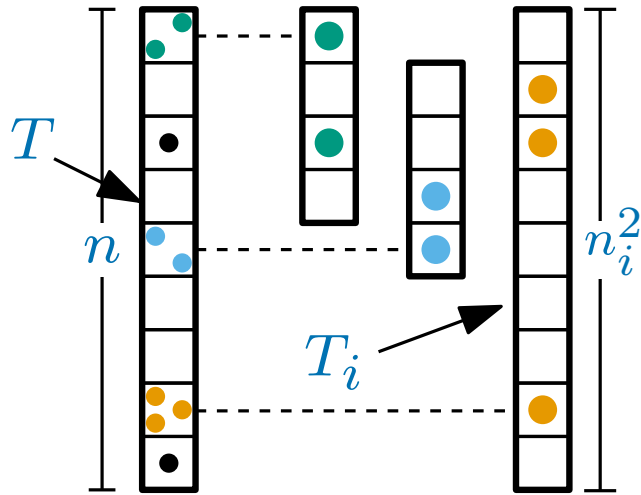
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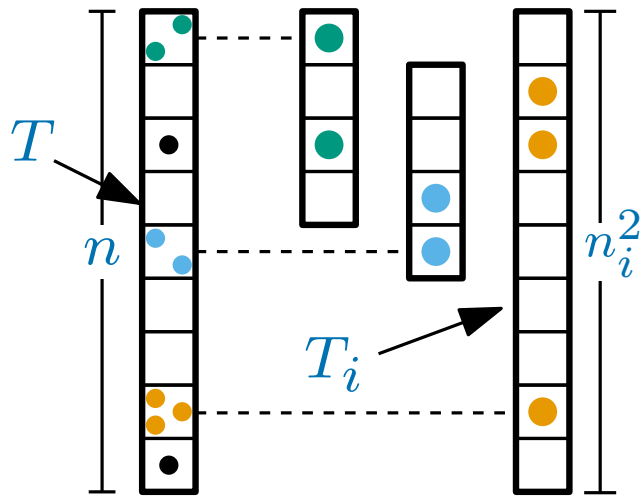
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 \end{aligned}$$

Perfect Hashing - Summary



Step 1: Insert everything into a hash table, T , of size n using a weakly universal (w.u.) hash function, h

Step 2: The n_i items in $T[i]$ are inserted into another hash table T_i of size n_i^2 using w.u hash function h_i

(Step 3) *Immediately repeat if either*

- T has more than n collisions
- some T_i has a collision

THEOREM

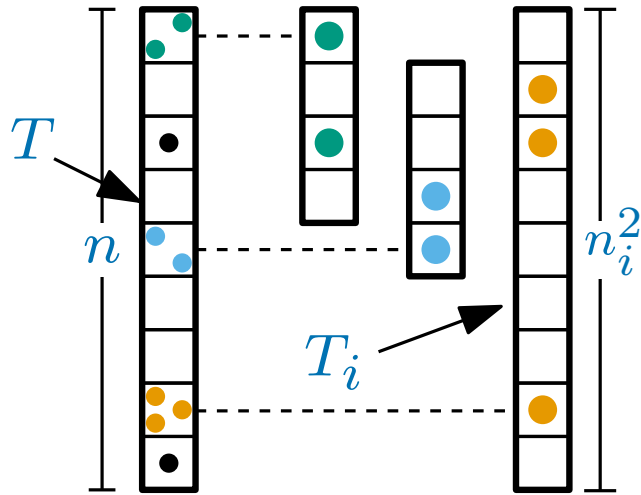
The FKS hashing scheme:

- Has no collisions
- Every lookup takes $O(1)$ worst-case time,
- Uses $O(n)$ space,
- Can be built in $O(n)$ expected time.

The look-up time is always $O(1)$

1. Compute $i = h(x)$ (x is the key)
2. Compute $j = h_i(x)$
3. The item is in $T_i[j]$

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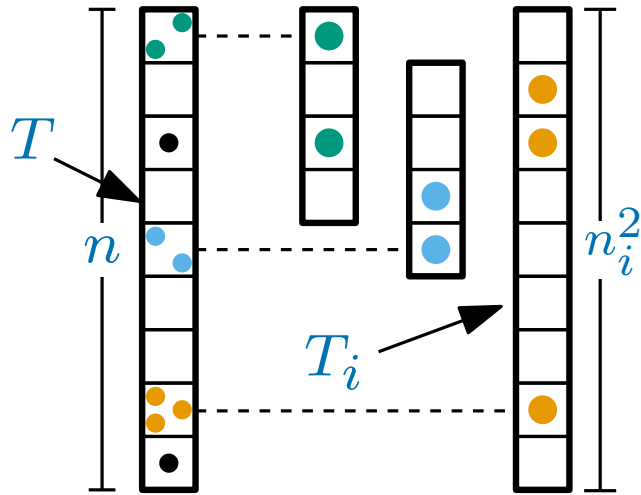
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