

# Advanced Algorithms – COMS31900

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## Hashing part two

### Static Perfect Hashing

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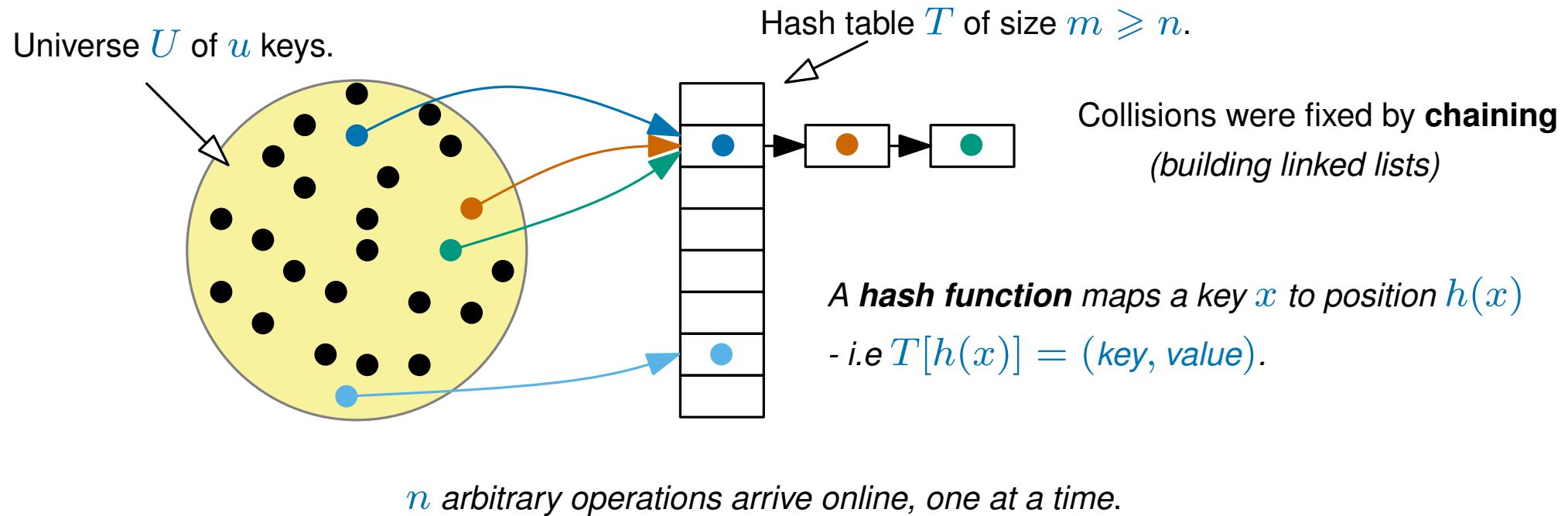
Raphaël Clifford

Slides by Benjamin Sach

## Dictionaries and Hashing recap

- A **dynamic dictionary** stores  $(key, value)$ -pairs and supports:

`add(key, value)`, `lookup(key)` (which returns `value`) and `delete(key)`



A set  $H$  of hash functions is **weakly universal** if for any

two keys  $x, y \in U$  (with  $x \neq y$ ),

$$\Pr(h(x) = h(y)) \leq \frac{1}{m}$$

( $h$  is picked uniformly at random from  $H$ )

### *Using weakly universal hashing:*

For any  $n$  operations, the *expected* run-time is  $O(1)$  per operation.

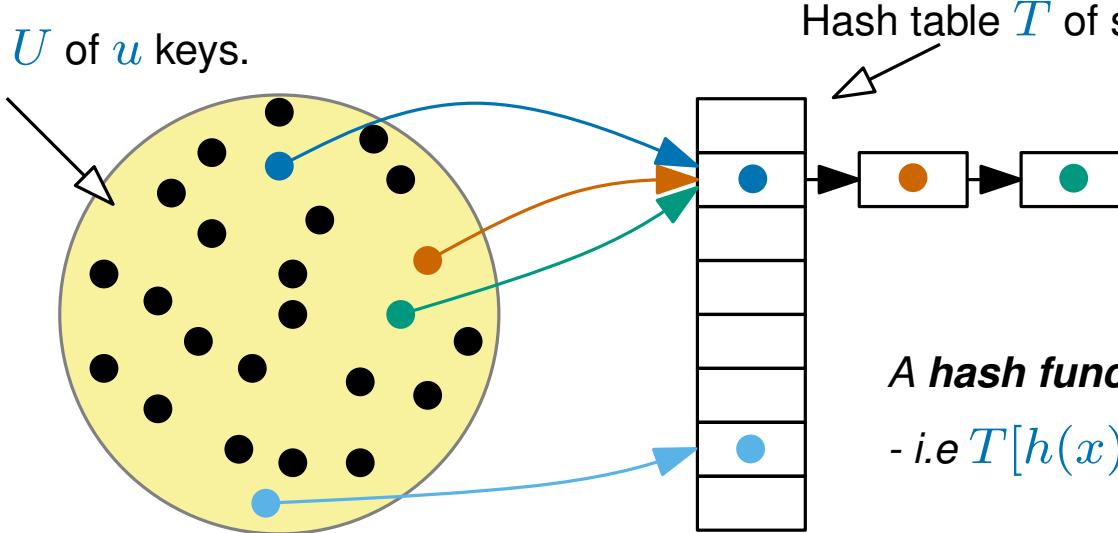
But this doesn't tell us much about the  
*worst-case behaviour*

# Static Dictionaries and Perfect hashing

► A **static dictionary** stores  $(\text{key}, \text{value})$ -pairs and supports:

$\text{lookup}(\text{key})$  (which returns  $\text{value}$ ) - *no inserts or deletes are allowed*

Universe  $U$  of  $u$  keys.



Hash table  $T$  of size  $m \geq n$ .

Collisions were fixed by **chaining**  
(*building linked lists*)

A **hash function** maps a key  $x$  to position  $h(x)$   
- i.e  $T[h(x)] = (\text{key}, \text{value})$ .

we are given  $n$  different  $(\text{key}, \text{value})$ -pairs and want to pick a *good*  $h$

## THEOREM

The FKS hashing scheme:

- Has no collisions
- Every  $\text{lookup}$  takes  $O(1)$  worst-case time,
- Uses  $O(n)$  space,
- Can be built in  $O(n)$  expected time.

The rest of this lecture is devoted to the  
FKS scheme

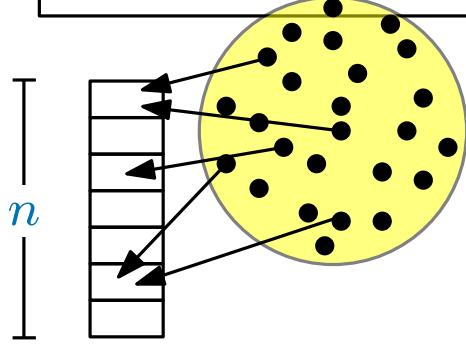
The construction is based on weak  
universal hashing

(with an  $O(1)$  time hash function)

## Perfect hashing - a first attempt

A set  $H$  of hash functions is **weakly universal** if for any two keys  $x, y \in U$  ( $x \neq y$ ),

$$\Pr(h(x) = h(y)) \leq \frac{1}{m} \quad \text{where } h \text{ is picked uniformly at random from } H$$



**Step 1:** Insert everything into a hash table of size  $m = n$   
using a weakly universal hash function

**Step 2:** Check for collisions

**Step 3:** Repeat if necessary

*How many collisions do we get on average?*

number of  
collisions  
↓  
 $\mathbb{E}$

linearity of  
expectation  
↓

definition of  
expectation  
↓

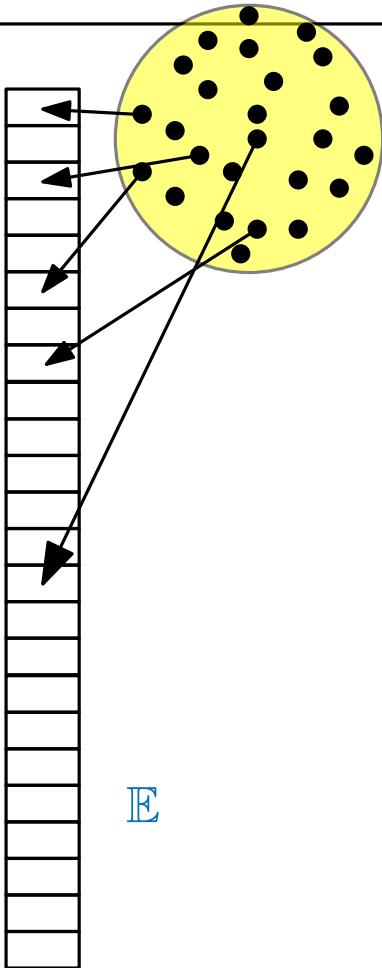
$$\leq n^2/2$$

where indicator random variable  $I_{x,y} = 1$  iff  $h(x) = h(y)$ .

## Perfect hashing - a second attempt

A set  $H$  of hash functions is **weakly universal** if for any two keys  $x, y \in U$  ( $x \neq y$ ),

$$\Pr(h(x) = h(y)) \leq \frac{1}{m} \quad \text{where } h \text{ is picked uniformly at random from } H$$



**Step 1:** Insert everything into a hash table of size  $m = n^2$  using a weakly universal hash function

**Step 2:** Check for collisions

**Step 3:** Repeat if necessary

(except we cheated)

How many collisions do we get on average?

number of  
collisions

linearity of  
expectation

definition of  
expectation

$$\leq n^2 / 2$$

$\mathbb{E}$

where indicator random variable  $I_{x,y} = 1$  iff  $h(x) = h(y)$ .

much  
better!

## Expected construction time

**Step 1:** Insert everything into a hash table of size  $m = n^2$   
using a weakly universal hash function

**Step 2:** Check for collisions

**Step 3:** *Repeat if there was a collision*

*How many times do we repeat on average?*

The expected number of collisions:  $\mathbb{E}(C) \leq \frac{1}{2}$

The probability of at least one collision:  $\Pr(C \geq 1) \leq \frac{1}{2}$

The probability of zero collisions is at least  $\frac{1}{2}$

*i.e. at least as good as tossing a heads on a fair coin*

$\mathbb{E}$

$\mathbb{E}$

... and then the look-up time is always  $O(1)$

*(because any  $h(x)$  can be computed in  $O(1)$  time)*

# Expected construction time

**Step 1:** Insert everything into a hash table of size  $m = n$   
using a weakly universal hash function

**Step 2:** Check for collisions

**Step 3:** *Repeat if there are more than  $n$  collisions*

**This looks rubbish but  
it will be useful in a bit!**

*How many times do we repeat on average?*

The expected number of collisions:  $\mathbb{E}(C) \leq \frac{n}{2}$

The probability of *at least  $n$  collisions*:  $\Pr(C \geq n) \leq \frac{1}{2}$

The probability of *at most  $n$  collisions* is at least  $\frac{1}{2}$

*i.e. at least as good as tossing a heads on a fair coin*

$\mathbb{E}$

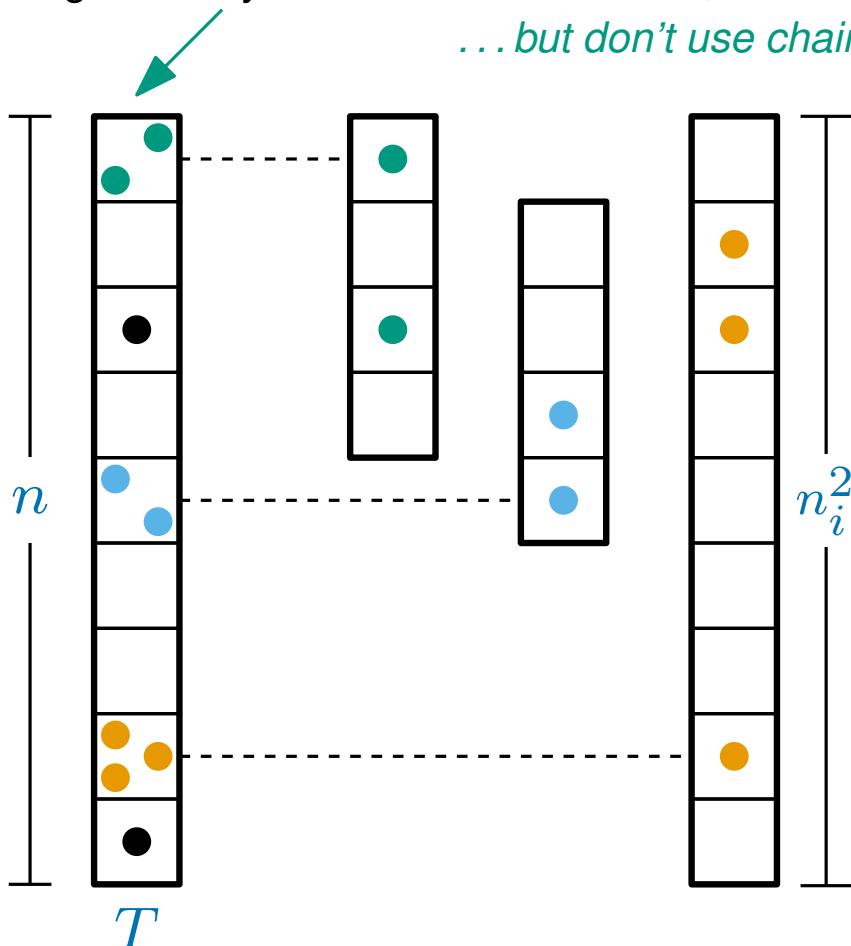
$\mathbb{E}$

... but the look-up time could be rubbish (lots of collisions)

## Perfect hashing - attempt three

**Step 1:** Insert everything into a hash table,  $T$ , of size  $n$   
using a weakly universal hash function,  $h$

*... but don't use chaining*



Let  $n_i$  be the number of items in  $T[i]$

**Step 2:** The  $n_i$  items in  $T[i]$  are inserted into  
another hash table  $T_i$  of size  $n_i^2$

*using another weakly universal hash function  
denoted  $h_i$  (there is one for each  $i$ )*

**(Step 3)** Immediately repeat a step if either

- a)  $T$  has more than  $n$  collisions
- b) some  $T_i$  has a collision

*The look-up time is always  $O(1)$*

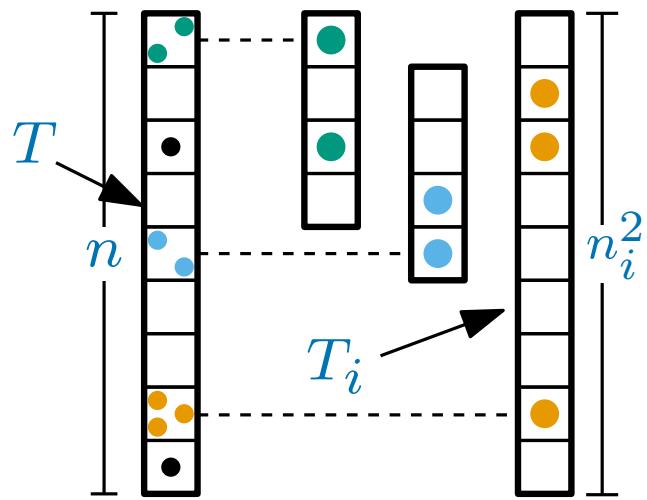
1. Compute  $i = h(x)$  ( $x$  is the key)
2. Compute  $j = h_i(x)$
3. The item is in  $T_i[j]$

**Two questions remain:**

*What is the expected construction time?*

*What is the space usage?*

## Perfect Hashing - Space usage



**Step 1:** Insert everything into a hash table,  $T$ , of size  $n$  using a weakly universal (w.u.) hash function,  $h$

**Step 2:** The  $n_i$  items in  $T[i]$  are inserted into another hash table  $T_i$  of size  $n_i^2$  using w.u hash function  $h_i$

**(Step 3)** Immediately repeat if either

- a)  $T$  has more than  $n$  collisions
- b) some  $T_i$  has a collision

How much space does this use?

The size of  $T$  is  $O(n)$

The size of  $T_i$  is  $O(n_i^2)$

Storing  $h_i$  uses  $O(1)$  space

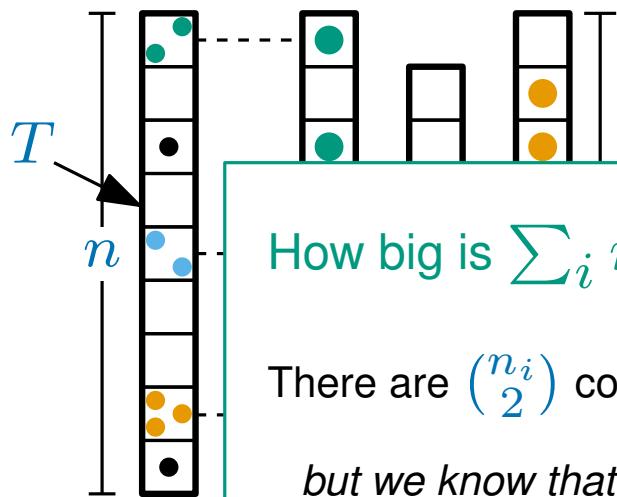
So the total space is...

how big is this?



$C$

# Perfect Hashing - Space usage



**Step 1:** Insert everything into a hash table,  $T$ , of size  $n$  using a weakly universal (w.u.) hash function,  $h$

How big is  $\sum_i n_i^2$ ?

There are  $\binom{n_i}{2}$  collisions in  $T[i]$  so there are  $\sum_i \binom{n_i}{2}$  collisions in  $T$

*but we know that there are at most  $n$  collisions in  $T$  ...*

How mu

$\sum$

or  $\sum$

The s

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Storing  $h_i$  uses  $O(1)$  space

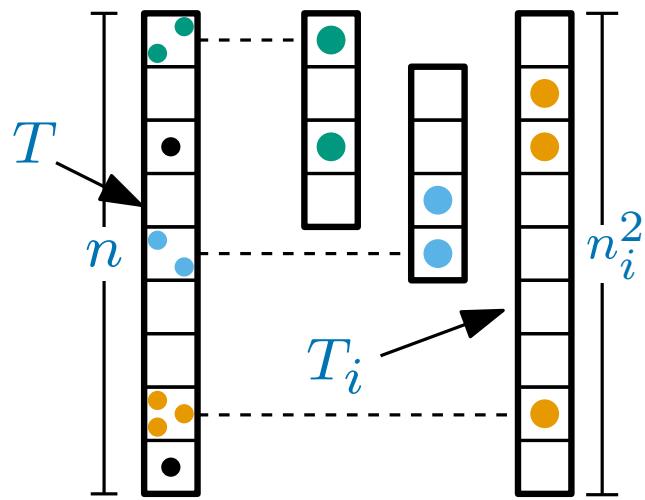
*So the total space is...*

how big is this?



$C$

# Perfect Hashing - Expected construction time



**Step 1:** Insert everything into a hash table,  $T$ , of size  $n$  using a weakly universal (w.u.) hash function,  $h$

**Step 2:** The  $n_i$  items in  $T[i]$  are inserted into another hash table  $T_i$  of size  $n_i^2$  using w.u hash function  $h_i$

**(Step 3)** Immediately repeat if either

- a)  $T$  has more than  $n$  collisions
- b) some  $T_i$  has a collision

The expected construction time for  $T$  is  $O(n)$

*(we considered this on a previous slide)*

The expected construction time for each  $T_i$  is  $O(n_i^2)$

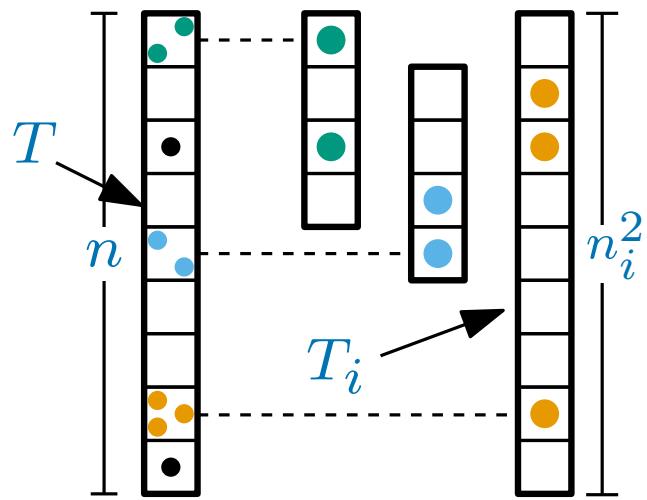
- we insert  $n_i$  items into a table of size  $m = n_i^2$
- then repeat if there was a collision

*(we also considered this on a previous slide)*

The overall expected construction time is therefore:

$\mathbb{E}$

# Perfect Hashing - Expected construction time



**Step 1:** Insert everything into a hash table,  $T$ , of size  $n$  using a weakly universal (w.u.) hash function,  $h$

**Step 2:** The  $n_i$  items in  $T[i]$  are inserted into another hash table  $T_i$  of size  $n_i^2$  using w.u hash function  $h_i$

**(Step 3)** Immediately repeat if either

- a)  $T$  has more than  $n$  collisions
- b) some  $T_i$  has a collision

The expected construction time for  $T$  is  $O(n)$

The expected construction time for each  $T_i$  is  $O(n_i^2)$

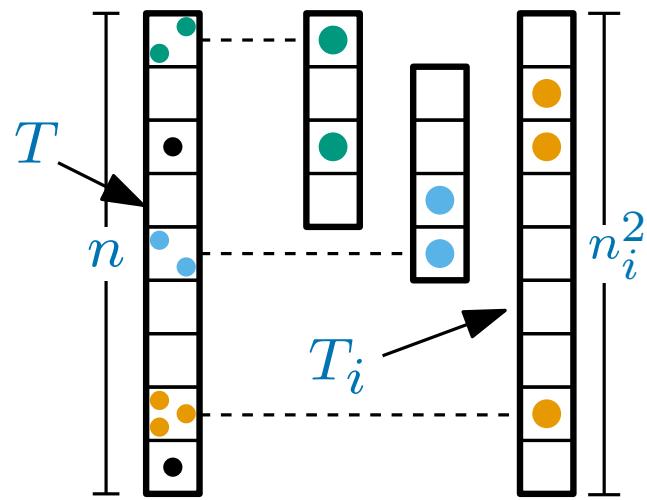
The overall expected construction time is therefore:

$\mathbb{E}$

=

=

# Perfect Hashing - Summary



**Step 1:** Insert everything into a hash table,  $T$ , of size  $n$  using a weakly universal (w.u.) hash function,  $h$

**Step 2:** The  $n_i$  items in  $T[i]$  are inserted into another hash table  $T_i$  of size  $n_i^2$  using w.u hash function  $h_i$

**(Step 3)** Immediately repeat if either

- a)  $T$  has more than  $n$  collisions
- b) some  $T_i$  has a collision

## THEOREM

The FKS hashing scheme:

- Has no collisions
- Every lookup takes  $O(1)$  worst-case time,
- Uses  $O(n)$  space,
- Can be built in  $O(n)$  expected time.

*The look-up time is always  $O(1)$*

1. Compute  $i = h(x)$  ( $x$  is the key)
2. Compute  $j = h_i(x)$
3. The item is in  $T_i[j]$