Data Structures and Algorithms – COMS21103

Bloom Filters

Raphaël Clifford

(Slides by Benjamin Sach and Ashley Montanaro)





In this lecture we are interested in space efficient data structures for storing a set S which support only two, basic operations:

 $\mathsf{INSERT}(k)$ - inserts the key k from U into S

 $\frac{\mathsf{MEMBER}(k)}{\mathsf{ABER}(k)} \text{ - output 'yes' if } k \in S$ and 'no' otherwise

U is the universe, containing all possible keys

Let n be an upper bound on the number of keys that will ever be in S



Our motivation comes from applications where the size of the universe U is much much larger than \boldsymbol{n}



In this lecture we are interested in space efficient data structures for storing a set S which support only two, basic operations:

 $\mathsf{INSERT}(k)$ - inserts the key k from U into S

 $\begin{array}{l} \mathsf{MEMBER}(k) \text{ - output 'yes' if } k \in S \\ \textit{and 'no' otherwise} \end{array}$

U is the universe, containing all possible keys

Let n be an upper bound on the number of keys that will ever be in S



Our motivation comes from applications where the size of the universe U is *much much* larger than n



In this lecture we are interested in space efficient data structures for storing a set S which support only two, basic operations:

 $\mathsf{INSERT}(k)$ - inserts the key k from U into S

 $\frac{\mathsf{MEMBER}(k)}{\mathsf{ABER}(k)} \text{ - output 'yes' if } k \in S$ and 'no' otherwise

U is the universe, containing all possible keys

Let n be an upper bound on the number of keys that will ever be in S



Our motivation comes from applications where the size of the universe U is *much much* larger than n



In this lecture we are interested in space efficient data structures for storing a set S which support only two, basic operations:

 $\mathsf{INSERT}(k)$ - inserts the key k from U into S

 $\frac{\mathsf{MEMBER}(k)}{\mathsf{ABER}(k)} \text{ - output 'yes' if } k \in S$ and 'no' otherwise

U is the universe, containing all possible keys

Let n be an upper bound on the number of keys that will ever be in S



Our motivation comes from applications where the size of the universe U is *much much* larger than n

Important: You cannot ask "which keys are in S?", only "is this key in S?"



Imagine you are attempting to build a **blacklist** of unsafe URLs that users should not visit

The universe contains all possible URLs

Whenever a new unsafe URL is discovered it is inserted into the data structure Whenever we want to visit a URL we check the data structure.



Imagine you are attempting to build a **blacklist** of unsafe URLs that users should not visit

The universe contains all possible URLs

Whenever a new unsafe URL is discovered it is inserted into the data structure Whenever we want to visit a URL we check the data structure.

INSERT(www.AwfulVirus.com)



Imagine you are attempting to build a **blacklist** of unsafe URLs that users should not visit

The universe contains all possible URLs

Whenever a new unsafe URL is discovered it is inserted into the data structure Whenever we want to visit a URL we check the data structure.

INSERT(www.AwfulVirus.com)
INSERT(www.VirusStore.com)



Imagine you are attempting to build a **blacklist** of unsafe URLs that users should not visit

The universe contains all possible URLs

Whenever a new unsafe URL is discovered it is inserted into the data structure Whenever we want to visit a URL we check the data structure.

INSERT(WWW.AwfulVirus.com)
INSERT(WWW.VirusStore.com)

Disclaimer: I take no responsability for the contents of these websites



Imagine you are attempting to build a **blacklist** of unsafe URLs that users should not visit

The universe contains all possible URLs

Whenever a new unsafe URL is discovered it is inserted into the data structure Whenever we want to visit a URL we check the data structure.

INSERT(WWW.AwfulVirus.com)
INSERT(WWW.VirusStore.com)
MEMBER(WWW.BBC.co.uk) - returns 'no'

Disclaimer: I take no responsability for the contents of these websites



Imagine you are attempting to build a **blacklist** of unsafe URLs that users should not visit

The universe contains all possible URLs

Whenever a new unsafe URL is discovered it is inserted into the data structure Whenever we want to visit a URL we check the data structure.

INSERT(WWW.AwfulVirus.com)
INSERT(WWW.VirusStore.com)
MEMBER(WWW.BBC.co.uk) - returns 'no'
MEMBER(WWW.VirusStore.com) - returns 'yes'

Disclaimer: I take no responsability for the contents of these websites



Imagine you are attempting to build a **blacklist** of unsafe URLs that users should not visit

The universe contains all possible URLs

Whenever a new unsafe URL is discovered it is inserted into the data structure Whenever we want to visit a URL we check the data structure.

INSERT(WWW.AwfulVirus.com)
INSERT(WWW.VirusStore.com)
MEMBER(WWW.BBC.co.uk) - returns 'no'
MEMBER(WWW.VirusStore.com) - returns 'yes'



Imagine you are attempting to build a **blacklist** of unsafe URLs that users should not visit

The universe contains all possible URLs

Whenever a new unsafe URL is discovered it is inserted into the data structure Whenever we want to visit a URL we check the data structure.

INSERT(WWW.AwfulVirus.com)
INSERT(WWW.VirusStore.com)
MEMBER(WWW.BBC.co.uk) - returns 'no'
MEMBER(WWW.VirusStore.com) - returns 'yes'
INSERT(WWW.CleanUpPC.com)



Imagine you are attempting to build a **blacklist** of unsafe URLs that users should not visit

The universe contains all possible URLs

Whenever a new unsafe URL is discovered it is inserted into the data structure Whenever we want to visit a URL we check the data structure.

INSERT(WWW.AwfulVirus.com)
INSERT(WWW.VirusStore.com)
MEMBER(WWW.BBC.co.uk) - returns 'no'
MEMBER(WWW.VirusStore.com) - returns 'yes'
INSERT(WWW.CleanUpPC.com)
MEMBER(WWW.BBC.co.uk) - returns 'yes'



Imagine you are attempting to build a **blacklist** of unsafe URLs that users should not visit

The universe contains all possible URLs

Whenever a new unsafe URL is discovered it is inserted into the data structure Whenever we want to visit a URL we check the data structure.

INSERT(WWW.AwfulVirus.com)
INSERT(WWW.VirusStore.com)
MEMBER(WWW.BBC.co.uk) - returns 'no'
MEMBER(WWW.VirusStore.com) - returns 'yes'
INSERT(WWW.CleanUpPC.com)
MEMBER(WWW.BBC.co.uk) - returns 'yes'



Imagine you are attempting to build a **blacklist** of unsafe URLs that users should not visit

The universe contains all possible URLs

Whenever a new unsafe URL is discovered it is inserted into the data structure Whenever we want to visit a URL we check the data structure.

INSERT(WWW.AwfulVirus.com)
INSERT(WWW.VirusStore.com)
MEMBER(WWW.BBC.co.uk) - returns 'no'
MEMBER(WWW.VirusStore.com) - returns 'yes'
INSERT(WWW.CleanUpPC.com)
MEMBER(WWW.BBC.co.uk) - returns 'yes'

a Bloom filter is a randomised data structure - sometimes it gets the answer wrong



A Bloom filter is a *randomised* data structure for storing a set S which supports two operations



A Bloom filter is a *randomised* data structure for storing a set S which supports two operations

The $\operatorname{INSERT}(k)$ operation inserts the key k from U into S



A Bloom filter is a *randomised* data structure for storing a set S which supports two operations

The $\operatorname{INSERT}(k)$ operation inserts the key k from U into S

(it never does this incorrectly)



A Bloom filter is a *randomised* data structure for storing a set S which supports two operations

The $\operatorname{INSERT}(k)$ operation inserts the key k from U into S

(it never does this incorrectly)

In a bloom filter, the MEMBER(k) operation



A Bloom filter is a *randomised* data structure for storing a set S which supports two operations

The ${\sf INSERT}(k)$ operation inserts the key k from U into S

(it never does this incorrectly)

In a bloom filter, the MEMBER(k) operation

always returns 'yes' if $k \in S$



A Bloom filter is a *randomised* data structure for storing a set S which supports two operations

The INSERT(k) operation inserts the key k from U into S(it never does this incorrectly) In a bloom filter, the MEMBER(k) operation always returns 'yes' if $k \in S$ however, if k is not in S

there is a small chance (say 1%) that it will still say 'yes'



A Bloom filter is a *randomised* data structure for storing a set S which supports two operations

The INSERT(k) operation inserts the key k from U into S(it never does this incorrectly) In a bloom filter, the MEMBER(k) operation always returns 'yes' if $k \in S$ however, if k is not in Sthere is a small chance (say 1%) that it will still say 'yes'

Why use a Bloom filter then?



A Bloom filter is a randomised data structure for storing a set S which supports two operations

The INSERT(k) operation inserts the key k from U into S(it never does this incorrectly) In a bloom filter, the MEMBER(k) operation always returns 'yes' if $k \in S$ however, if k is not in Sthere is a small chance (say 1%) that it will still say 'yes'

Why use a Bloom filter then?

Both operations run in O(1) time and the space used is *very very good*



A Bloom filter is a randomised data structure for storing a set S which supports two operations

The INSERT(k) operation inserts the key k from U into S(it never does this incorrectly) In a bloom filter, the MEMBER(k) operation always returns 'yes' if $k \in S$ however, if k is not in Sthere is a small chance (say 1%) that it will still say 'yes'

Why use a Bloom filter then?

Both operations run in O(1) time and the space used is *very very good*

It will use O(n) bits of space to store up to n keys



A Bloom filter is a randomised data structure for storing a set S which supports two operations

The INSERT(k) operation inserts the key k from U into S(it never does this incorrectly) In a bloom filter, the MEMBER(k) operation always returns 'yes' if $k \in S$ however, if k is not in Sthere is a small chance (say 1%) that it will still say 'yes'

Why use a Bloom filter then?

Both operations run in O(1) time and the space used is very very good

It will use O(n) bits of space to store up to n keys

- the exact number of bits will depend on the failure probability



A Bloom filter is a randomised data structure for storing a set S which supports two operations

The INSERT(k) operation inserts the key k from U into S(it never does this incorrectly) In a bloom filter, the MEMBER(k) operation always returns 'yes' if $k \in S$ however, if k is not in Sthere is a small chance (say 1%) that it will still say 'yes'

Why use a Bloom filter then?

Both operations run in O(1) time and the space used is very very good

It will use O(n) bits of space to store up to n keys - the exact number of bits will depend on the failure probability we'll come back to this at the end



Before discussing Bloom filters, lets consider a naive approach using an array...

For simplicity, let us think of the universe U as containing numbers $1, 2, 3 \dots |U|$.



Before discussing Bloom filters, lets consider a naive approach using an array...

For simplicity, let us think of the universe U as containing numbers $1, 2, 3 \dots |U|$.

We could maintain a bit string B



Before discussing Bloom filters, lets consider a naive approach using an array...

For simplicity, let us think of the universe U as containing numbers $1, 2, 3 \dots |U|$.

We could maintain a bit string B

Example:





Before discussing Bloom filters, lets consider a naive approach using an array...

For simplicity, let us think of the universe U as containing numbers $1, 2, 3 \dots |U|$.

We could maintain a bit string B

where B[k]=1 if $k\in S$ and B[k]=0 otherwise

Example:





Before discussing Bloom filters, lets consider a naive approach using an array...

For simplicity, let us think of the universe U as containing numbers $1, 2, 3 \dots |U|$.

We could maintain a bit string B

where B[k]=1 if $k\in S$ and B[k]=0 otherwise

Example:



here |U| = 10 and S contains 3,6 and 8



Before discussing Bloom filters, lets consider a naive approach using an array...

For simplicity, let us think of the universe U as containing numbers $1, 2, 3 \dots |U|$.

We could maintain a bit string B

where B[k]=1 if $k\in S$ and B[k]=0 otherwise

Example:



here |U| = 10 and S contains 3,6 and 8

While the operations take O(1) time, this array is |U| bits long!



Before discussing Bloom filters, lets consider a naive approach using an array...

For simplicity, let us think of the universe U as containing numbers $1, 2, 3 \dots |U|$.

We could maintain a bit string B

where B[k]=1 if $k\in S$ and B[k]=0 otherwise

Example:



here |U| = 10 and S contains 3,6 and 8

While the operations take O(1) time, this array is |U| bits long!

It certainly isn't suitable for the application we have seen



Approach 2: build a hash table

We could solve the problem by hashing...

We now maintain a *much shorter* bit string B of some length m < |U|

(to be determined later)





Approach 2: build a hash table

We could solve the problem by hashing...

We now maintain a *much shorter* bit string B of some length m < |U|

(to be determined later)

Assume we have access to a hash function h which maps each key $k \in U$ to an integer h(k) between 1 and m




We could solve the problem by hashing...

We now maintain a *much shorter* bit string B of some length m < |U|

(to be determined later)

Assume we have access to a hash function h which maps each key $k \in U$ to an integer h(k) between 1 and m



Imagine that m = 3 and

h(www.AwfulVirus.com) = 2

h(www.VirusStore.com) = 3

h(www.BBC.co.uk) = 3



We could solve the problem by hashing...

We now maintain a *much shorter* bit string B of some length $m < \left| U \right|$

(to be determined later)

Assume we have access to a hash function h which maps each key $k \in U$ to an integer h(k) between 1 and m

 $\operatorname{INSERT}(k) \operatorname{sets} B[h(k)] = 1$

 Example:
 1
 2
 3

 B 0
 0
 0

Imagine that m = 3 and

h(www.AwfulVirus.com) = 2

h(www.VirusStore.com) = 3

h(www.BBC.co.uk) = 3



We could solve the problem by hashing...

We now maintain a *much shorter* bit string B of some length m < |U|(to be determined later)

Assume we have access to a hash function h which maps each key $k \in U$ to an integer h(k) between 1 and m

 $\begin{aligned} \text{INSERT}(k) \text{ sets } B[h(k)] = 1 & \text{MEMBER}(k) \text{ returns 'yes' if } B[h(k)] = 1 \\ & \text{and 'no' if } B[h(k)] = 0 \end{aligned}$

 Example:
 1
 2
 3

 B 0
 0
 0

Imagine that m = 3 and h(www.AwfulVirus.com) = 2 h(www.VirusStore.com) = 3h(www.BBC.co.uk) = 3



We could solve the problem by hashing...

We now maintain a *much shorter* bit string B of some length m < |U|(to be determined later)

Assume we have access to a hash function h which maps each key $k \in U$ to an integer h(k) between 1 and m

INSERT(k) sets B[h(k)] = 1 MEMBER(k) returns 'yes' if B[h(k)] = 1and 'no' if B[h(k)] = 0

Example: 1 2 B



INSERT(**WWW**.**AwfulVirus**.com)

Imagine that m = 3 and h(www.AwfulVirus.com) = 2h(www.VirusStore.com) = 3h(www.BBC.co.uk) = 3



We could solve the problem by hashing...

We now maintain a *much shorter* bit string B of some length m < |U|(to be determined later)

Assume we have access to a hash function h which maps each key $k \in U$ to an integer h(k) between 1 and m

INSERT(k) sets B[h(k)] = 1 MEMBER(k) returns 'yes' if B[h(k)] = 1and 'no' if B[h(k)] = 0

Example: 2 1 B



INSERT(**WWW**.**AwfulVirus**.com)

Imagine that m = 3 and h(www.AwfulVirus.com) = 2h(www.VirusStore.com) = 3h(www.BBC.co.uk) = 3



We could solve the problem by hashing...

We now maintain a *much shorter* bit string B of some length m < |U|(to be determined later)

Assume we have access to a hash function h which maps each key $k \in U$ to an integer h(k) between 1 and m

 $\begin{aligned} \text{INSERT}(k) \text{ sets } B[h(k)] = 1 & \text{MEMBER}(k) \text{ returns 'yes' if } B[h(k)] = 1 \\ & \text{and 'no' if } B[h(k)] = 0 \end{aligned}$

Example:



INSERT(WWW.AwfulVirus.com)
INSERT(WWW.VirusStore.com)

Imagine that m = 3 and h(www.AwfulVirus.com) = 2 h(www.VirusStore.com) = 3h(www.BBC.co.uk) = 3



We could solve the problem by hashing...

We now maintain a *much shorter* bit string B of some length m < |U|(to be determined later)

Assume we have access to a hash function h which maps each key $k \in U$ to an integer h(k) between 1 and m

 $\begin{aligned} \text{INSERT}(k) \text{ sets } B[h(k)] = 1 & \text{MEMBER}(k) \text{ returns 'yes' if } B[h(k)] = 1 \\ & \text{and 'no' if } B[h(k)] = 0 \end{aligned}$

Example:



INSERT(WWW.AwfulVirus.com)
INSERT(WWW.VirusStore.com)

Imagine that m = 3 and h(www.AwfulVirus.com) = 2 h(www.VirusStore.com) = 3h(www.BBC.co.uk) = 3



We could solve the problem by hashing...

We now maintain a *much shorter* bit string B of some length m < |U|(to be determined later)

Assume we have access to a hash function h which maps each key $k \in U$ to an integer h(k) between 1 and m

 $\begin{aligned} \text{INSERT}(k) \text{ sets } B[h(k)] = 1 & \text{MEMBER}(k) \text{ returns 'yes' if } B[h(k)] = 1 \\ & \text{and 'no' if } B[h(k)] = 0 \end{aligned}$

Example:



INSERT(www.AwfulVirus.com)
INSERT(www.VirusStore.com)
MEMBER(www.BBC.co.uk) - returns 'yes'

Imagine that m = 3 and h(www.AwfulVirus.com) = 2 h(www.VirusStore.com) = 3h(www.BBC.co.uk) = 3



We could solve the problem by hashing...

We now maintain a *much shorter* bit string B of some length m < |U|(to be determined later)

Assume we have access to a hash function h which maps each key $k \in U$ to an integer h(k) between 1 and m

 $\begin{aligned} \text{INSERT}(k) \text{ sets } B[h(k)] = 1 & \text{MEMBER}(k) \text{ returns 'yes' if } B[h(k)] = 1 \\ & \text{and 'no' if } B[h(k)] = 0 \end{aligned}$

Example:



INSERT(www.AwfulVirus.com)
INSERT(www.VirusStore.com)
MEMBER(www.BBC.co.uk) - returns 'yes'

Imagine that m = 3 and h(www.AwfulVirus.com) = 2 h(www.VirusStore.com) = 3h(www.BBC.co.uk) = 3

This is called a collision



The problem with hashing is that if $m < \left| U \right|$ then

there will be some keys that hash to the same positions

(these are called collisions)



The problem with hashing is that if m < |U| then

there will be some keys that hash to the same positions

(these are called collisions)

If we call ${\sf MEMBER}(k)$ for some key k not in S but there is a key $k' \in S$ with h(k) = h(k')

we will incorrectly output 'yes'



The problem with hashing is that if m < |U| then

there will be some keys that hash to the same positions *(these are called collisions)*

If we call Member(k) for some key k not in Sbut there is a key $k' \in S$ with h(k) = h(k')we will incorrectly output 'yes'

To make sure that the probability of an error is low for *every operation sequence*, we pick the hash function h at random



The problem with hashing is that if m < |U| then

there will be some keys that hash to the same positions *(these are called collisions)*

If we call Member(k) for some key k not in Sbut there is a key $k' \in S$ with h(k) = h(k')we will incorrectly output 'yes'

To make sure that the probability of an error is low for *every operation sequence*, we pick the hash function h at random

Important: h is chosen before any operations happen and never changes



The problem with hashing is that if m < |U| then

there will be some keys that hash to the same positions *(these are called collisions)*

If we call Member(k) for some key k not in Sbut there is a key $k' \in S$ with h(k) = h(k')we will incorrectly output 'yes'

To make sure that the probability of an error is low for *every operation sequence*, we pick the hash function h at random

Important: h is chosen before any operations happen and never changes

For every key $k \in U$, the value of h(k) is chosen independently and uniformly at random:

that is, the probability that h(k) = j is $\frac{1}{m}$ for all j between 1 and m

(each position is equally likely)



Assume we have already INSERTED n keys into the structure

Further, we have just called

```
\mathsf{MEMBER}(k) for some key k not in S
```

(which will check whether B[h(k)] = 1)



Assume we have already INSERTED n keys into the structure

Further, we have just called

```
\mathsf{MEMBER}(k) for some key k not in S
```

(which will check whether B[h(k)] = 1)

We want to know the probability that the answer returned is 'yes' (which would be bad)



Assume we have already INSERTED n keys into the structure

Further, we have just called

```
\mathsf{MEMBER}(k) for some key k not in S
```

(which will check whether B[h(k)] = 1)

We want to know the probability that the answer returned is 'yes' (which would be bad)

The bit-string B contains at most n 1's among the m positions



Assume we have already INSERTED n keys into the structure

Further, we have just called

```
\mathsf{MEMBER}(k) for some key k not in S
```

(which will check whether B[h(k)] = 1)

We want to know the probability that the answer returned is 'yes' (which would be bad)

The bit-string B contains at most n 1's among the m positions





Assume we have already INSERTED n keys into the structure

Further, we have just called

```
\mathsf{MEMBER}(k) for some key k not in S
```

(which will check whether B[h(k)] = 1)

We want to know the probability that the answer returned is 'yes' (which would be bad)

The bit-string B contains at most n 1's among the m positions



By definition, h(k) is equally likely to be any position between 1 and m



Assume we have already INSERTED n keys into the structure

Further, we have just called

```
\mathsf{MEMBER}(k) for some key k not in S
```

(which will check whether B[h(k)] = 1)

We want to know the probability that the answer returned is 'yes' (which would be bad)

The bit-string B contains at most n 1's among the m positions



By definition, h(k) is equally likely to be any position between 1 and m



Assume we have already INSERTED n keys into the structure

Further, we have just called

```
\mathsf{MEMBER}(k) for some key k not in S
```

(which will check whether B[h(k)] = 1)

We want to know the probability that the answer returned is 'yes' (which would be bad)

The bit-string B contains at most n 1's among the m positions



By definition, h(k) is equally likely to be any position between 1 and m



Assume we have already INSERTED n keys into the structure

Further, we have just called

```
\mathsf{MEMBER}(k) for some key k not in S
```

(which will check whether B[h(k)] = 1)

We want to know the probability that the answer returned is 'yes' (which would be bad)

The bit-string B contains at most n 1's among the m positions



By definition, h(k) is equally likely to be any position between 1 and mTherefore the probability that B[h(k)] = 1 is at most $\frac{n}{m}$



Assume we have already INSERTED n keys into the structure

Further, we have just called

```
\mathsf{MEMBER}(k) for some key k not in S
```

(which will check whether B[h(k)] = 1)

We want to know the probability that the answer returned is 'yes' (which would be bad)

The bit-string B contains at most n 1's among the m positions



By definition, h(k) is equally likely to be any position between 1 and mTherefore the probability that B[h(k)] = 1 is at most $\frac{n}{m}$ If we choose m = 100n then we get a failure probability of at most 1%



We have developed a *randomised* data structure for storing a set S which supports two operations



We have developed a *randomised* data structure for storing a set S which supports two operations

The $\operatorname{INSERT}(k)$ operation inserts the key k from U into S



We have developed a *randomised* data structure for storing a set S which supports two operations

The $\operatorname{INSERT}(k)$ operation inserts the key k from U into S

(it never does this incorrectly)



We have developed a *randomised* data structure for storing a set S which supports two operations

The $\operatorname{INSERT}(k)$ operation inserts the key k from U into S

(it never does this incorrectly)

Like in a bloom filter, the MEMBER(k) operation



We have developed a *randomised* data structure for storing a set S which supports two operations

The $\operatorname{INSERT}(k)$ operation inserts the key k from U into S

(it never does this incorrectly)

Like in a bloom filter, the MEMBER(k) operation

always returns 'yes' if $k\in S$



We have developed a *randomised* data structure for storing a set S which supports two operations

The INSERT(k) operation inserts the key k from U into S(it never does this incorrectly) Like in a bloom filter, the MEMBER(k) operation always returns 'yes' if $k \in S$ however, if k is not in S

there is a small chance (in fact 1%) that it will still say 'yes'



We have developed a *randomised* data structure for storing a set S which supports two operations

The INSERT(k) operation inserts the key k from U into S(it never does this incorrectly) Like in a bloom filter, the MEMBER(k) operation always returns 'yes' if $k \in S$ however, if k is not in Sthere is a small chance (in fact 1%) that it will still say 'yes'

Both operations run in O(1) time and the space used is 100n bits



We have developed a *randomised* data structure for storing a set S which supports two operations

The INSERT(k) operation inserts the key k from U into S(it never does this incorrectly) Like in a bloom filter, the MEMBER(k) operation always returns 'yes' if $k \in S$ however, if k is not in Sthere is a small chance (in fact 1%) that it will still say 'yes' Both operations run in O(1) time and the space used is 100n bits

when storing up to n keys



We have developed a *randomised* data structure for storing a set S which supports two operations

The INSERT(k) operation inserts the key k from U into S (it never does this incorrectly) Like in a bloom filter, the MEMBER(k) operation always returns 'yes' if $k \in S$ however, if k is not in S there is a small chance (in fact 1%) that it will still say 'yes' Both operations run in O(1) time and the space used is 100n bits when storing up to n keys

neither the space nor the failure probability depend on $\left| U
ight|$



We have developed a *randomised* data structure for storing a set S which supports two operations

The INSERT(k) operation inserts the key k from U into S (it never does this incorrectly) Like in a bloom filter, the MEMBER(k) operation always returns 'yes' if $k \in S$ however, if k is not in S there is a small chance (in fact 1%) that it will still say 'yes' Both operations run in O(1) time and the space used is 100n bits when storing up to n keys neither the space nor the failure probability depend on |U|

if we wanted a better probability, we could use more space



We have developed a *randomised* data structure for storing a set S which supports two operations

The INSERT(k) operation inserts the key k from U into S (it never does this incorrectly) Like in a bloom filter, the MEMBER(k) operation always returns 'yes' if $k \in S$ however, if k is not in S there is a small chance (in fact 1%) that it will still say 'yes' Both operations run in O(1) time and the space used is 100n bits when storing up to n keys neither the space nor the failure probability depend on |U|

if we wanted a better probability, we could use more space

Why use a Bloom filter then?



We have developed a *randomised* data structure for storing a set S which supports two operations

The INSERT(k) operation inserts the key k from U into S (it never does this incorrectly) Like in a bloom filter, the MEMBER(k) operation always returns 'yes' if $k \in S$ however, if k is not in S there is a small chance (in fact 1%) that it will still say 'yes' Both operations run in O(1) time and the space used is 100n bits when storing up to n keys neither the space nor the failure probability depend on |U|

if we wanted a better probability, we could use more space

Why use a Bloom filter then?

we will get *much better* space usage for the same probability



Approach 3: build a bloom filter

We still maintain a bit string B of some length m < |U|

Now we have r hash functions: h_1, h_2, \ldots, h_r

(we will choose r and m later)

Each hash function h_i maps a key k, to an integer $h_i(k)$ between 1 and m


We still maintain a bit string B of some length m < |U|

Now we have r hash functions: h_1, h_2, \ldots, h_r

(we will choose r and m later)

Each hash function h_i maps a key k, to an integer $h_i(k)$ between 1 and m



 $\begin{aligned} h_1(\texttt{AwVi.com}) &= 2 & h_2(\texttt{AwVi.com}) = 1 \\ h_1(\texttt{ViSt.com}) &= 3 & h_2(\texttt{ViSt.com}) = 2 \\ h_1(\texttt{BBC.com}) &= 2 & h_2(\texttt{BBC.com}) = 4 \end{aligned}$





We still maintain a bit string B of some length m < |U|

Now we have r hash functions: h_1, h_2, \ldots, h_r

(we will choose r and m later)

Each hash function h_i maps a key k, to an integer $h_i(k)$ between 1 and m

 $\begin{aligned} \text{INSERT}(k) \text{ sets } B[h_i(k)] = 1 \\ \text{ for all } i \text{ between } 1 \text{ and } r \end{aligned}$

 $\begin{array}{l} \mathsf{MEMBER}(k) \text{ returns 'yes' if and only if} \\ \text{ for all } i, B[h_i(k)] = 1 \end{array}$

Imagine that m=4, r=2 and 4

 $h_1(\texttt{AwVi.com}) = 2 \quad h_2(\texttt{AwVi.com}) = 1$ $h_1(\texttt{ViSt.com}) = 3 \quad h_2(\texttt{ViSt.com}) = 2$ $h_1(\texttt{BBC.com}) = 2 \quad h_2(\texttt{BBC.com}) = 4$

Example: $\begin{bmatrix} 1 & 2 \\ 0 & 0 \end{bmatrix}$





We still maintain a bit string B of some length m < |U|

Now we have r hash functions: h_1, h_2, \ldots, h_r

(we will choose r and m later)

Each hash function h_i maps a key k, to an integer $h_i(k)$ between 1 and m

INSERT(k) sets $B[h_i(k)] = 1$ for all i between 1 and r

MEMBER(k) returns 'yes' if and only if for all $i, B[h_i(k)] = 1$

Imagine that m = 4, r = 2 and

Example:

1 2 3 4

INSERT(AwVi.com)

 $h_1(AwVi.com) = 2$ $h_2(AwVi.com) = 1$ $h_1(\text{ViSt.com}) = 3$ $h_2(\text{ViSt.com}) = 2$ $h_1(BBC.com) = 2$ $h_2(BBC.com) = 4$



We still maintain a bit string B of some length m < |U|

Now we have r hash functions: h_1, h_2, \ldots, h_r

(we will choose r and m later)

Each hash function h_i maps a key k, to an integer $h_i(k)$ between 1 and m

INSERT(k) sets $B[h_i(k)] = 1$ MEMBER(k) returns 'yes' if and only if for all i between 1 and r

for all $i, B[h_i(k)] = 1$

Imagine that m = 4, r = 2 and

1 2 3 4 **Example:**

INSERT(AwVi.com)

 $h_1(AwVi.com) = 2$ $h_2(AwVi.com) = 1$ $h_1(\text{ViSt.com}) = 3$ $h_2(\text{ViSt.com}) = 2$ $h_1(BBC.com) = 2$ $h_2(BBC.com) = 4$



We still maintain a bit string B of some length m < |U|

Now we have r hash functions: h_1, h_2, \ldots, h_r

(we will choose r and m later)

Each hash function h_i maps a key k, to an integer $h_i(k)$ between 1 and m

INSERT(k) sets $B[h_i(k)] = 1$ for all i between 1 and r

MEMBER(k) returns 'yes' if and only if for all $i, B[h_i(k)] = 1$

Imagine that m = 4, r = 2 and

Example:



INSERT(AwVi.com) INSERT(ViSt.com) $h_1(AwVi.com) = 2$ $h_2(AwVi.com) = 1$ $h_1(\text{ViSt.com}) = 3$ $h_2(\text{ViSt.com}) = 2$ $h_1(BBC.com) = 2$ $h_2(BBC.com) = 4$



We still maintain a bit string B of some length m < |U|

Now we have r hash functions: h_1, h_2, \ldots, h_r

(we will choose r and m later)

Each hash function h_i maps a key k, to an integer $h_i(k)$ between 1 and m

 $\begin{aligned} \text{INSERT}(k) \text{ sets } B[h_i(k)] = 1 \\ \text{ for all } i \text{ between } 1 \text{ and } r \end{aligned}$

 $\begin{array}{l} \mathsf{MEMBER}(k) \text{ returns 'yes' if and only if} \\ \text{ for all } i, B[h_i(k)] = 1 \end{array}$

Imagine that m=4, r=2 and

 $h_1(\texttt{AwVi.com}) = 2 \quad h_2(\texttt{AwVi.com}) = 1$ $h_1(\texttt{ViSt.com}) = 3 \quad h_2(\texttt{ViSt.com}) = 2$ $h_1(\texttt{BBC.com}) = 2 \quad h_2(\texttt{BBC.com}) = 4$

Example:



INSERT(AwVi.com) INSERT(ViSt.com)



We still maintain a bit string B of some length m < |U|

Now we have r hash functions: h_1, h_2, \ldots, h_r

(we will choose r and m later)

Each hash function h_i maps a key k, to an integer $h_i(k)$ between 1 and m

INSERT(k) sets $B[h_i(k)] = 1$ for all i between 1 and r

MEMBER(k) returns 'yes' if and only if for all $i, B[h_i(k)] = 1$

Imagine that m = 4, r = 2 and

- $h_1(AwVi.com) = 2$ $h_2(AwVi.com) = 1$ $h_1(\text{ViSt.com}) = 3$ $h_2(\text{ViSt.com}) = 2$
- $h_1(BBC.com) = 2$ $h_2(BBC.com) = 4$

1 2 **Example:**

3 4

INSERT(AwVi.com) INSERT(ViSt.com)

MEMBER(BBC.com) - returns 'no'



We still maintain a bit string B of some length m < |U|

Now we have r hash functions: h_1, h_2, \ldots, h_r

(we will choose r and m later)

Each hash function h_i maps a key k, to an integer $h_i(k)$ between 1 and m

INSERT(k) sets $B[h_i(k)] = 1$ for all i between 1 and r

MEMBER(k) returns 'yes' if and only if for all $i, B[h_i(k)] = 1$

1 2 3 4 **Example:**

INSERT(AwVi.com) Insert(ViSt.com) MEMBER(BBC.com) - returns 'no'

Imagine that m = 4, r = 2 and

 $h_1(AwVi.com) = 2$ $h_2(AwVi.com) = 1$ $h_1(\text{ViSt.com}) = 3$ $h_2(\text{ViSt.com}) = 2$ $h_1(BBC.com) = 2$ $h_2(BBC.com) = 4$

Much better!



We still maintain a bit string B of some length m < |U|

Now we have r hash functions: h_1, h_2, \ldots, h_r

(we will choose r and m later)

Each hash function h_i maps a key k, to an integer $h_i(k)$ between 1 and m

$$\begin{split} \text{INSERT}(k) \text{ sets } B[h_i(k)] = 1 \\ \text{ for all } i \text{ between } 1 \text{ and } r \end{split}$$

$$\begin{split} \mathsf{MEMBER}(k) \text{ returns 'yes' if and only if} \\ \text{for all } i, B[h_i(k)] = 1 \end{split}$$

 1
 2
 3
 4

 Example:
 1
 1
 1
 0

INSERT(AWVi.com) INSERT(ViSt.com)

MEMBER(BBC.com) - returns 'no'

Imagine that m=4, r=2 and

 $\begin{aligned} h_1(\texttt{AwVi.com}) &= 2 & h_2(\texttt{AwVi.com}) = 1 \\ h_1(\texttt{ViSt.com}) &= 3 & h_2(\texttt{ViSt.com}) = 2 \\ h_1(\texttt{BBC.com}) &= 2 & h_2(\texttt{BBC.com}) = 4 \end{aligned}$

Much better! (not convinced?)



We still maintain a bit string B of some length m < |U|

Now we have r hash functions: h_1, h_2, \ldots, h_r

(we will choose r and m later)

Each hash function h_i maps a key k, to an integer $h_i(k)$ between 1 and m

 $\begin{array}{ll} \text{INSERT}(k) \text{ sets } B[h_i(k)] = 1 & \text{MEMBER}(k) \text{ returns 'yes' if and only if} \\ \text{for all } i \text{ between 1 and } r & \text{for all } i, B[h_i(k)] = 1 \end{array}$

For every key $k \in U$,

the value of each $h_i(k)$ is chosen independently and uniformly at random:

that is, the probability that $h_i(k) = j$ is $\frac{1}{m}$ for all j between 1 and m(each position is equally likely)



We still maintain a bit string B of some length m < |U|

Now we have r hash functions: h_1, h_2, \ldots, h_r

(we will choose r and m later)

Each hash function h_i maps a key k, to an integer $h_i(k)$ between 1 and m

 $\begin{array}{ll} \text{INSERT}(k) \text{ sets } B[h_i(k)] = 1 & \quad \text{MEMBER}(k) \text{ returns 'yes' if and only if} \\ \text{for all } i \text{ between 1 and } r & \quad \text{for all } i, B[h_i(k)] = 1 \end{array}$

For every key $k \in U$,

the value of each $h_i(k)$ is chosen independently and uniformly at random:

that is, the probability that $h_i(k) = j$ is $\frac{1}{m}$ for all j between 1 and m(each position is equally likely)

but what is the probability of a wrong answer?



Assume we have already INSERTED n keys into the bloom filter

Further, we have just called MEMBER(k) for some key k not in S

this will check whether $B[h_i(k)] = 1$ for all $j = 1, 2, \ldots r$



Assume we have already INSERTED n keys into the bloom filter

Further, we have just called Member(k) for some key k not in Sthis will check whether $B[h_i(k)] = 1$ for all j = 1, 2, ..., r

This is the same as checking whether r randomly chosen bits of B all equal 1



Assume we have already INSERTED n keys into the bloom filter

Further, we have just called MEMBER(k) for some key k not in S this will check whether $B[h_i(k)]=1$ for all $j=1,2,\ldots r$

This is the same as checking whether r randomly chosen bits of B all equal 1

We will now show that there is only a small probability of this happening



Assume we have already INSERTED n keys into the bloom filter

Further, we have just called MEMBER(k) for some key k not in S this will check whether $B[h_i(k)]=1$ for all $j=1,2,\ldots r$

This is the same as checking whether r randomly chosen bits of B all equal 1

We will now show that there is only a small probability of this happening

As there are at most n keys in the filter,

at most nr bits of B are set to 1



Assume we have already INSERTED n keys into the bloom filter

Further, we have just called MEMBER(k) for some key k not in S this will check whether $B[h_i(k)]=1$ for all $j=1,2,\ldots r$

This is the same as checking whether r randomly chosen bits of B all equal 1

We will now show that there is only a small probability of this happening

As there are at most n keys in the filter,

at most nr bits of B are set to 1

(each INSERT sets at most r bits to 1)



Assume we have already INSERTED n keys into the bloom filter

Further, we have just called Member(k) for some key k not in Sthis will check whether $B[h_i(k)] = 1$ for all $j = 1, 2, \ldots r$

This is the same as checking whether r randomly chosen bits of B all equal 1

We will now show that there is only a small probability of this happening

As there are at most n keys in the filter,

at most nr bits of B are set to 1

(each INSERT sets at most r bits to 1)





Assume we have already INSERTED n keys into the bloom filter

Further, we have just called Member(k) for some key k not in Sthis will check whether $B[h_i(k)] = 1$ for all j = 1, 2, ... r

This is the same as checking whether r randomly chosen bits of B all equal 1

We will now show that there is only a small probability of this happening

As there are at most n keys in the filter,

at most nr bits of B are set to 1

(each INSERT sets at most r bits to 1)

So the fraction of bits set to 1 is at most $\frac{nr}{m}$





Assume we have already INSERTED n keys into the bloom filter

Further, we have just called Member(k) for some key k not in Sthis will check whether $B[h_i(k)] = 1$ for all j = 1, 2, ... r

This is the same as checking whether r randomly chosen bits of B all equal 1

We will now show that there is only a small probability of this happening

As there are at most n keys in the filter,

at most nr bits of B are set to 1 (each INSERT sets at most r bits to 1) So the fraction of bits set to 1 is at most $\frac{nr}{m}$

m

so the probability that a randomly chosen bit is 1 is at most $\frac{nr}{m}$



Assume we have already INSERTED n keys into the bloom filter

Further, we have just called MEMBER(k) for some key k not in S this will check whether $B[h_i(k)] = 1$ for all j = 1, 2, ..., r

This is the same as checking whether r randomly chosen bits of B all equal 1

We will now show that there is only a small probability of this happening

As there are at most n keys in the filter,

at most nr bits of B are set to 1 (each INSERT sets at most r bits to 1)

 \underline{nr} So the fraction of bits set to 1 is at most m

m

nrso the probability that a randomly chosen bit is 1 is at most m



Assume we have already INSERTED n keys into the bloom filter

Further, we have just called Member(k) for some key k not in Sthis will check whether $B[h_i(k)] = 1$ for all j = 1, 2, ... r

This is the same as checking whether r randomly chosen bits of B all equal 1

We will now show that there is only a small probability of this happening

As there are at most n keys in the filter,

at most nr bits of B are set to 1 (each INSERT sets at most r bits to 1) So the fraction of bits set to 1 is at most $\frac{nr}{m}$

so the probability that a randomly chosen bit is 1 is at most $\frac{nr}{m}$

so the probability that r randomly chosen bits all equal 1 is at most



Assume we have already INSERTED n keys into the bloom filter

Further, we have just called MEMBER(k) for some key k not in S this will check whether $B[h_i(k)]=1$ for all $j=1,2,\ldots r$

This is the same as checking whether r randomly chosen bits of B all equal 1

We will now show that there is only a small probability of this happening

As there are at most n keys in the filter,

at most nr bits of B are set to 1 (each INSERT sets at most r bits to 1) So the fraction of bits set to 1 is at most $\frac{nr}{m}$ so the probability that a randomly chosen bit is 1 is at most $\frac{nr}{m}$ so the probability that r randomly chosen bits all equal 1 is at most $\left(\frac{nr}{m}\right)^r$



We now choose r to minimise this probability...



We now choose r to minimise this probability...

By differentiating, we can find that $\left(\frac{nr}{m}\right)^r$ is minimised by

letting r = m/(ne) where e = 2.7813...



We now choose r to minimise this probability...

By differentiating, we can find that $\left(\frac{nr}{m}\right)^r$ is minimised by

letting
$$r=m/(ne)$$
 where $e=2.7813\ldots$

If we plug this in we get that,

the probability of failure, is at most

$$\left(\frac{1}{e}\right)^{\frac{m}{ne}} \approx (0.69)^{\frac{m}{n}}$$



We now choose r to minimise this probability...

By differentiating, we can find that $\left(\frac{nr}{m}\right)^r$ is minimised by

letting
$$r=m/(ne)$$
 where $e=2.7813\ldots$

If we plug this in we get that, the probability of failure, is at most

$$\left(\frac{1}{e}\right)^{\frac{m}{ne}} \approx (0.69)^{\frac{m}{n}}$$

In particular to achieve a 1% failure probability,

we can set $m \approx 12.52n$ bits



We now choose r to minimise this probability...

By differentiating, we can find that $\left(\frac{nr}{m}\right)^r$ is minimised by

letting
$$r=m/(ne)$$
 where $e=2.7813\ldots$

If we plug this in we get that, the probability of failure, is at most

$$\left(\frac{1}{e}\right)^{\frac{m}{ne}} \approx (0.69)^{\frac{m}{n}}$$

In particular to achieve a 1% failure probability,

we can set $m \approx 12.52n$ bits

neither the space nor the failure probability depend on $\left| U \right|$



We now choose r to minimise this probability...

By differentiating, we can find that $\left(\frac{nr}{m}\right)^r$ is minimised by

letting
$$r = m/(ne)$$
 where $e = 2.7813...$

If we plug this in we get that, the probability of failure, is at most

$$\left(\frac{1}{e}\right)^{\frac{m}{ne}} \approx (0.69)^{\frac{m}{n}}$$

In particular to achieve a 1% failure probability,

we can set $m \approx 12.52n$ bits

neither the space nor the failure probability depend on $\left| U \right|$

if we wanted a better probability, we could use more space



We now choose r to minimise this probability...

By differentiating, we can find that $\left(\frac{nr}{m}\right)^r$ is minimised by

letting
$$r = m/(ne)$$
 where $e = 2.7813...$

If we plug this in we get that, the probability of failure, is at most

$$\left(\frac{1}{e}\right)^{\frac{m}{ne}} \approx (0.69)^{\frac{m}{n}}$$

In particular to achieve a 1% failure probability,

we can set $m \approx 12.52n$ bits

neither the space nor the failure probability depend on $\left| U \right|$

if we wanted a better probability, we could use more space

This is much better than the 100n bits we needed with a single hash function to achieve the same probability



Bloom filter summary

A **Bloom filter** is a *randomised* data structure for storing a set S which supports two operations, each in O(1) time

The INSERT(k) operation inserts the key k from U into S(*it never does this incorrectly*)

In a bloom filter, the MEMBER(k) operation

always returns 'yes' if $k\in S$

however, if k is not in Sthere is a small chance, ϵ , that it will still say 'yes'

We have seen that if $\epsilon=0.01~(1\%)$ the the space used is $m\approx 12.52n$ bits when storing up to n keys

By impoving the analysis, one can show that only $\approx 1.44 \log_2(1/\epsilon)$ bits are needed ($\approx 9.57n$ bits when $\epsilon = 0.01$)



We made the unrealistic assumption that each hash function h_i maps a key k to a uniformly random integer between 1 and m.



We made the unrealistic assumption that each hash function h_i maps a key k to a uniformly random integer between 1 and m.

In practice, we pick each hash function h_i randomly from a *fixed* set of hash functions.



We made the unrealistic assumption that each hash function h_i maps a key k to a uniformly random integer between 1 and m.

In practice, we pick each hash function h_i randomly from a *fixed* set of hash functions.

One way of doing this for integer keys is the following: (see CLRS 11.3.3)

For each i:

- 1. Pick a prime number p > |U|.
- 2. Pick random integers $a \in \{1, \ldots, p-1\}$, $b \in \{0, \ldots, p-1\}$.
- 3. Let h_i be defined by $h_i(k) = 1 + ((ak + b) \mod p) \mod m$.



We made the unrealistic assumption that each hash function h_i maps a key k to a uniformly random integer between 1 and m.

In practice, we pick each hash function h_i randomly from a *fixed* set of hash functions.

One way of doing this for integer keys is the following: (see CLRS 11.3.3)

For each i:

- 1. Pick a prime number p > |U|.
- 2. Pick random integers $a \in \{1, \ldots, p-1\}$, $b \in \{0, \ldots, p-1\}$.
- 3. Let h_i be defined by $h_i(k) = 1 + ((ak + b) \mod p) \mod m$.

Some number theory can be used to prove that this set of hash functions is *"pseudorandom"* in some sense; however, technically they are not "random enough" for our analysis above to go through.



We made the unrealistic assumption that each hash function h_i maps a key k to a uniformly random integer between 1 and m.

In practice, we pick each hash function h_i randomly from a *fixed* set of hash functions.

One way of doing this for integer keys is the following: (see CLRS 11.3.3)

For each i:

- 1. Pick a prime number p > |U|.
- 2. Pick random integers $a \in \{1, \ldots, p-1\}$, $b \in \{0, \ldots, p-1\}$.
- 3. Let h_i be defined by $h_i(k) = 1 + ((ak + b) \mod p) \mod m$.

Some number theory can be used to prove that this set of hash functions is *"pseudorandom"* in some sense; however, technically they are not "random enough" for our analysis above to go through.

Nevertheless, in practice hash functions like this are very effective.



Bloom filter summary

A **Bloom filter** is a *randomised* data structure for storing a set S which supports two operations, each in O(1) time

The INSERT(k) operation inserts the key k from U into S(*it never does this incorrectly*)

In a bloom filter, the MEMBER(k) operation

always returns 'yes' if $k\in S$

however, if k is not in Sthere is a small chance, ϵ , that it will still say 'yes'

We have seen that if $\epsilon=0.01~(1\%)$ the the space used is $m\approx 12.52n$ bits when storing up to n keys

By impoving the analysis, one can show that only $\approx 1.44 \log_2(1/\epsilon)$ bits are needed ($\approx 9.57n$ bits when $\epsilon = 0.01$)