## Data Structures and Algorithms－COMS21103

## Bloom Filters

## Raphaël Clifford

（Slides by Benjamin Sach and Ashley Montanaro）

## Introduction

In this lecture we are interested in space efficient data structures for storing a set $S$ which support only two, basic operations:
$\operatorname{InSERT}(k)$ - inserts the key $k$ from $U$ into $S$
$\operatorname{Member}(k)$ - output 'yes' if $k \in S$ and 'no' otherwise
$U$ is the universe, containing
all possible keys

Let $n$ be an upper bound on the number of keys that will ever be in $S$


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the size of the universe $U$ is much much larger than $n$
Important: You cannot ask "which keys are in $S$ ?", only "is this key in $S$ ?"

## Example and Motivation

Imagine you are attempting to build a blacklist of unsafe URLs
that users should not visit

## The universe contains all possible URLs

Whenever a new unsafe URL is discovered it is inserted into the data structure Whenever we want to visit a URL we check the data structure.

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Before discussing Bloom filters, lets consider a naive approach using an array...

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## Example:

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B \begin{array}{|l|l|l|l|l|l|l|l|l|l|}
\hline & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 \\
\hline 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 \\
\hline
\end{array}
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We could solve the problem by hashing...

We now maintain a much shorter bit string $B$ of some length $m<|U|$
(to be determined later)

| Example: | 1 |  | 2 | 3 |
| :--- | :---: | :---: | :---: | :---: |
|  | $B$ |  |  |  |
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| :--- |
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Example:

$B$| 0 | 1 | 1 |
| :--- | :--- | :--- |

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Important: $h$ is chosen before any operations happen and never changes

For every key $k \in U$, the value of $h(k)$ is chosen independently and uniformly at random: that is, the probability that $h(k)=j$ is $\frac{1}{m}$ for all $j$ between 1 and $m$

## What is the probability of an error?

Assume we have already INSERTED $n$ keys into the structure
Further, we have just called
$\operatorname{Member}(k)$ for some key $k$ not in $S$
(which will check whether $B[h(k)]=1$ )

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Therefore the probability that $B[h(k)]=1$ is at most $\frac{n}{m}$
If we choose $m=100 n$ then we get a failure probability of at most $1 \%$

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We still maintain a bit string $B$ of some length $m<|U|$
Now we have $r$ hash functions: $h_{1}, h_{2}, \ldots, h_{r}$
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Imagine that $m=4, r=2$ and

Example: $\quad$|  | 1 | 2 | 3 |
| :--- | :--- | :--- | :--- |
| 0 | 0 | 0 | 0 |

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\multicolumn{3}{c}{|  | 2 | 3 | 4 |
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If we plug this in we get that,
the probability of failure, is at most

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\left(\frac{1}{e}\right)^{\frac{m}{n e}} \approx(0.69)^{\frac{m}{n}}
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the probability of failure, is at most $\quad\left(\frac{1}{e}\right)^{\frac{m}{n e}} \approx(0.69)^{\frac{m}{n}}$

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We now choose $r$ to minimise this probability...
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This is much better than the 100 n bits we needed with a single hash function to achieve the same probability

## Bloom filter summary

A Bloom filter is a randomised data structure for storing a set $S$
which supports two operations, each in $O(1)$ time

The Insert $(k)$ operation inserts the key $k$ from $U$ into $S$
(it never does this incorrectly)
In a bloom filter, the $\operatorname{Member}(k)$ operation

$$
\text { always returns 'yes' if } k \in S
$$

however, if $k$ is not in $S$
there is a small chance, $\epsilon$, that it will still say 'yes'

We have seen that if $\epsilon=0.01(1 \%)$ the the space used is $m \approx 12.52 n$ bits when storing up to $n$ keys

By impoving the analysis, one can show that only $\approx 1.44 \log _{2}(1 / \epsilon)$ bits are needed $(\approx 9.57 n$ bits when $\epsilon=0.01)$

## Practical hash functions

We made the unrealistic assumption that each hash function $h_{i}$ maps a key $k$ to a uniformly random integer between 1 and $m$.

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One way of doing this for integer keys is the following: (see CLRS 11.3.3)
For each $i$ :

1. Pick a prime number $p>|U|$.
2. Pick random integers $a \in\{1, \ldots, p-1\}, b \in\{0, \ldots, p-1\}$.
3. Let $h_{i}$ be defined by $h_{i}(k)=1+((a k+b) \bmod p) \bmod m$.

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Nevertheless, in practice hash functions like this are very effective.

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