

# Advanced Algorithms – COMS31900

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## Approximation algorithms part two more constant factor approximations

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Raphaël Clifford

Slides by Benjamin Sach

# Approximation Algorithms Recap

An algorithm  $A$  is an  $\alpha$ -approximation algorithm for problem  $P$  if,

- $A$  runs in **polynomial time**
- $A$  always outputs a solution with value  $s$   
within an  $\alpha$  factor of  $\text{Opt}$

Here  $P$  is an optimisation problem with optimal solution of value  $\text{Opt}$

- If  $P$  is a **maximisation** problem,  $\frac{\text{Opt}}{\alpha} \leq s \leq \text{Opt}$
- If  $P$  is a **minimisation** problem,  $\text{Opt} \leq s \leq \alpha \cdot \text{Opt}$

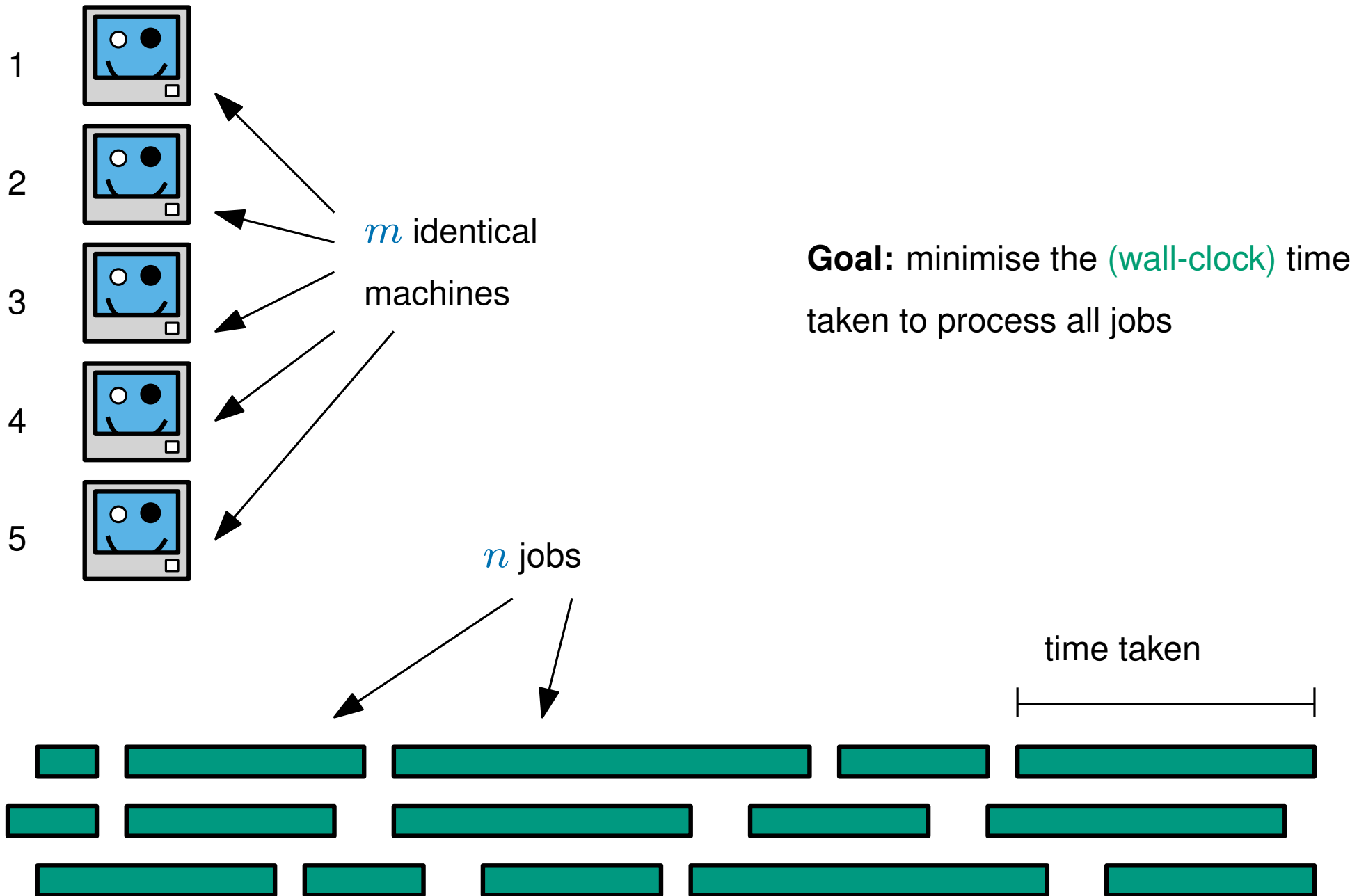
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*We have seen*

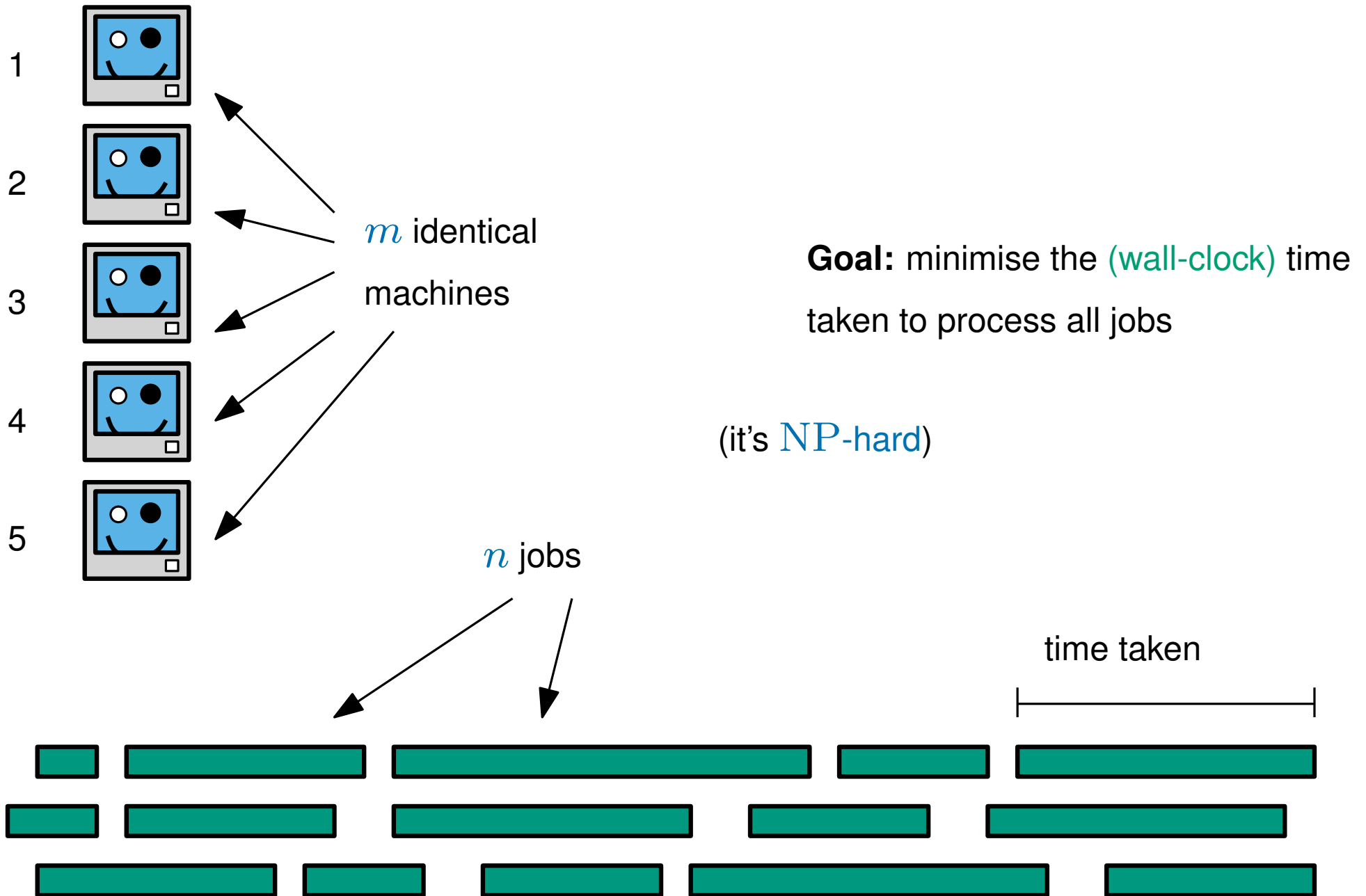
a  $3/2$ -approximation algorithm for Bin Packing

(and a *faster* 2-approximation)

# Scheduling Jobs on Parallel Machines



# Scheduling Jobs on Parallel Machines



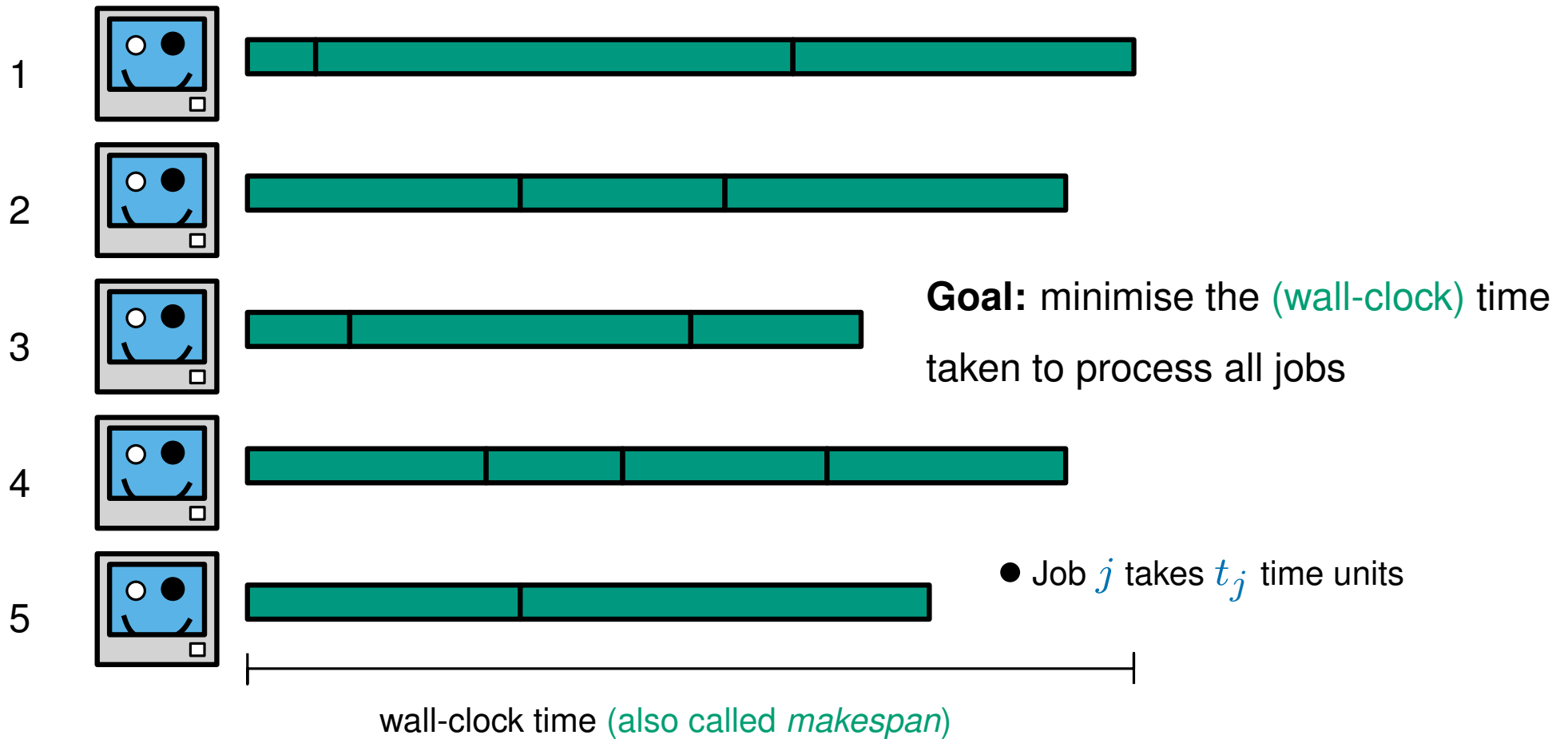
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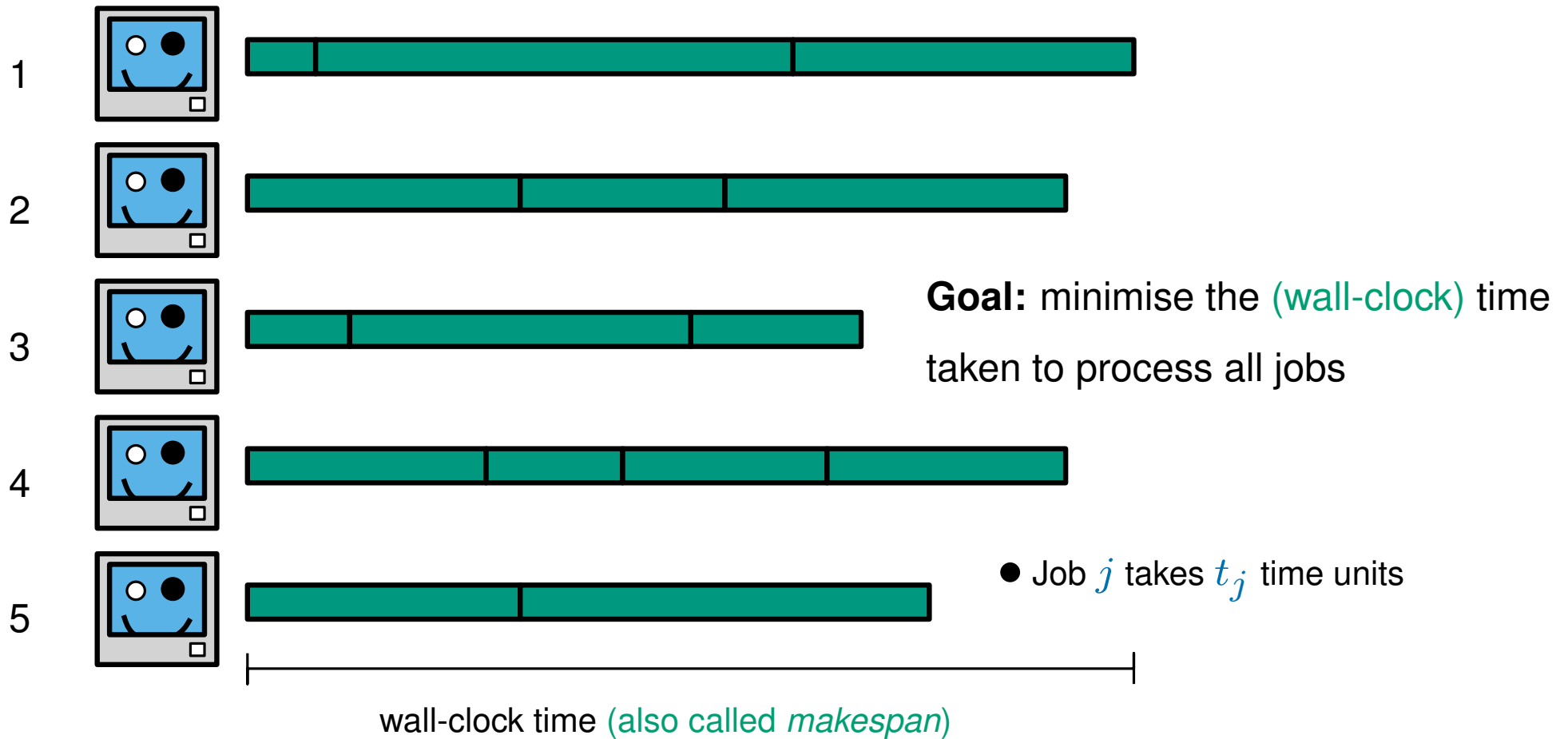
**Goal:** minimise the (wall-clock) time taken to process all jobs

wall-clock time (also called *makespan*)

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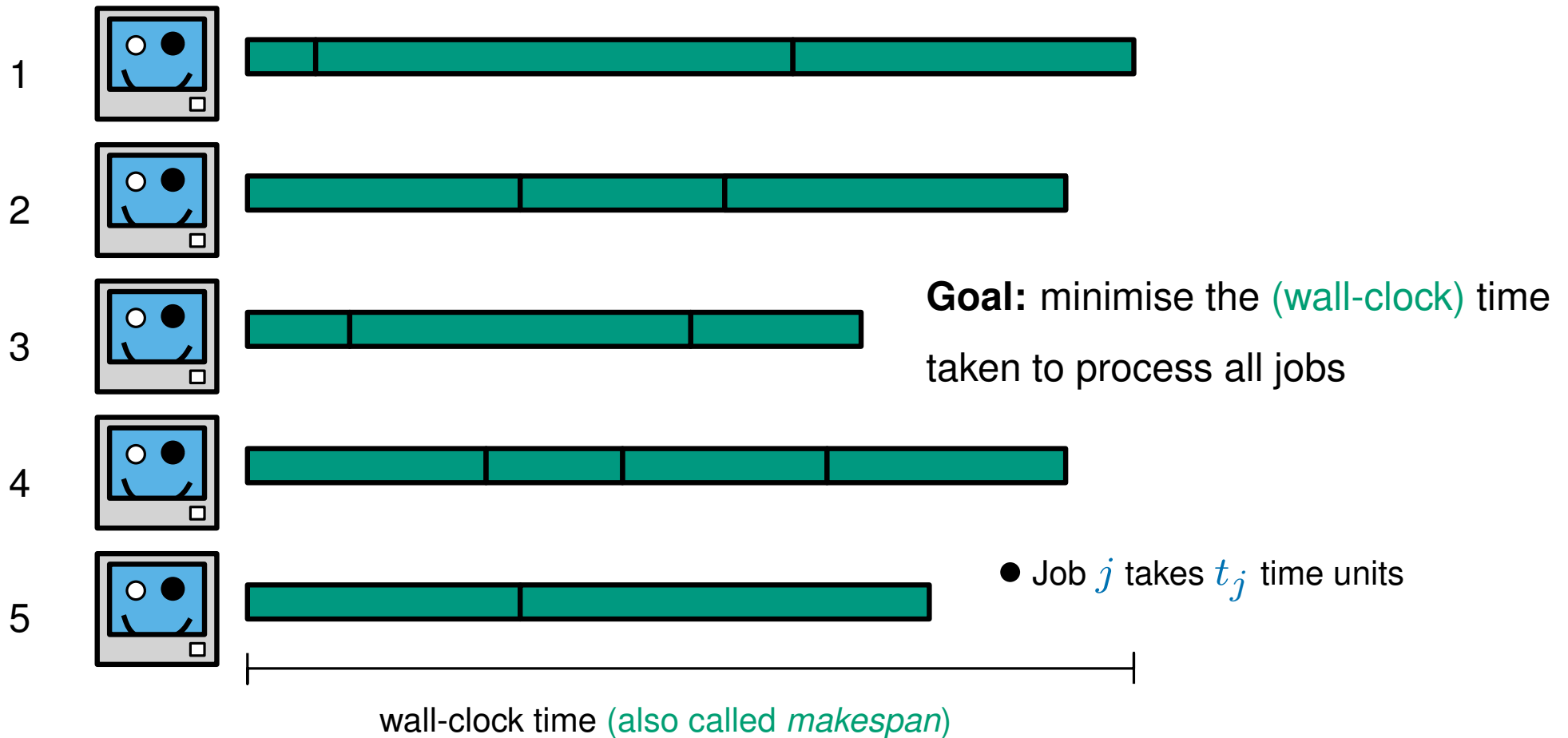


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- We say that  $j \in J(i)$  iff job  $j$  is assigned to machine  $i$

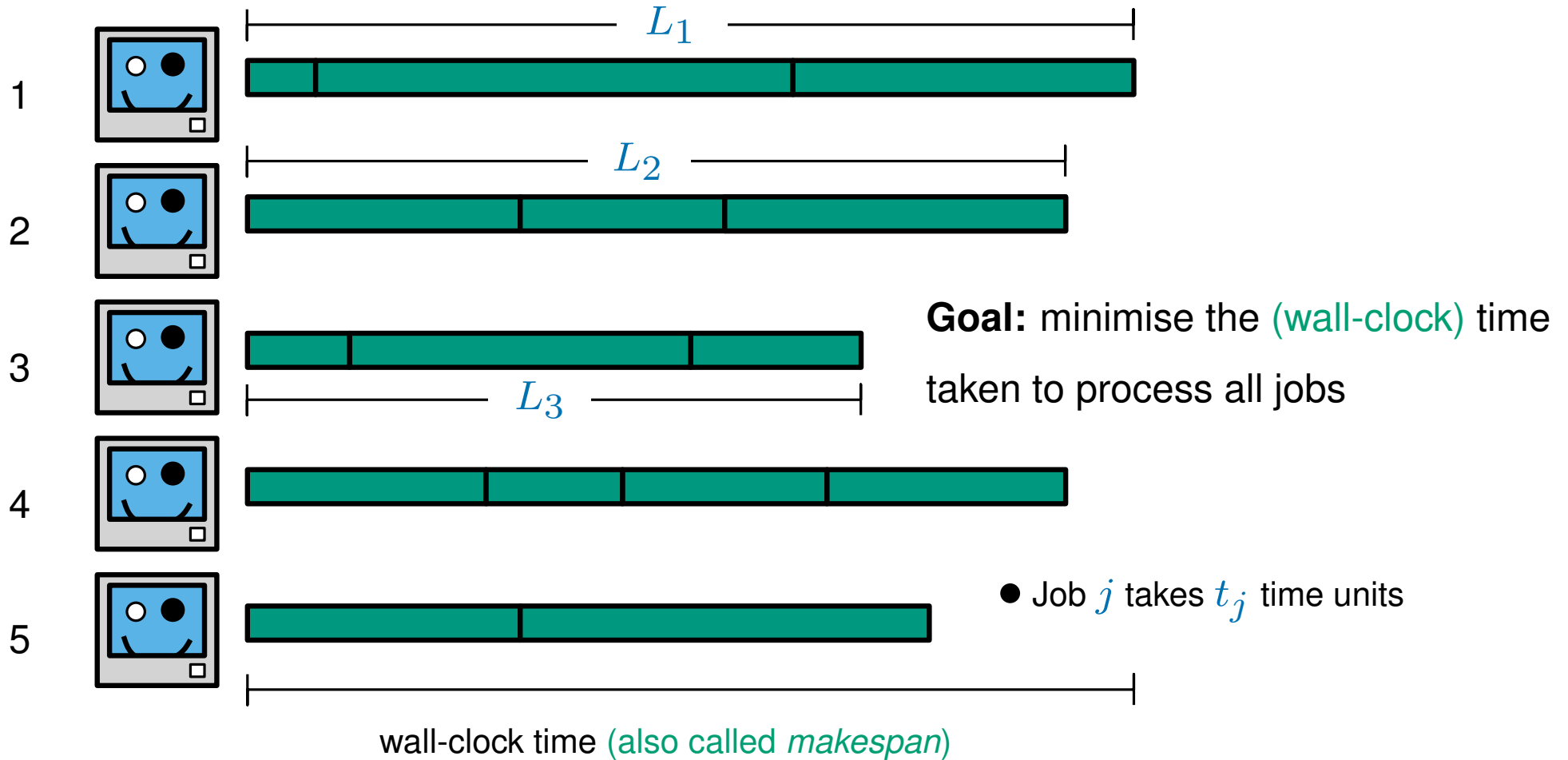
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- The load of machine  $i$  is  $L_i = \sum_{j \in J(i)} t_j$

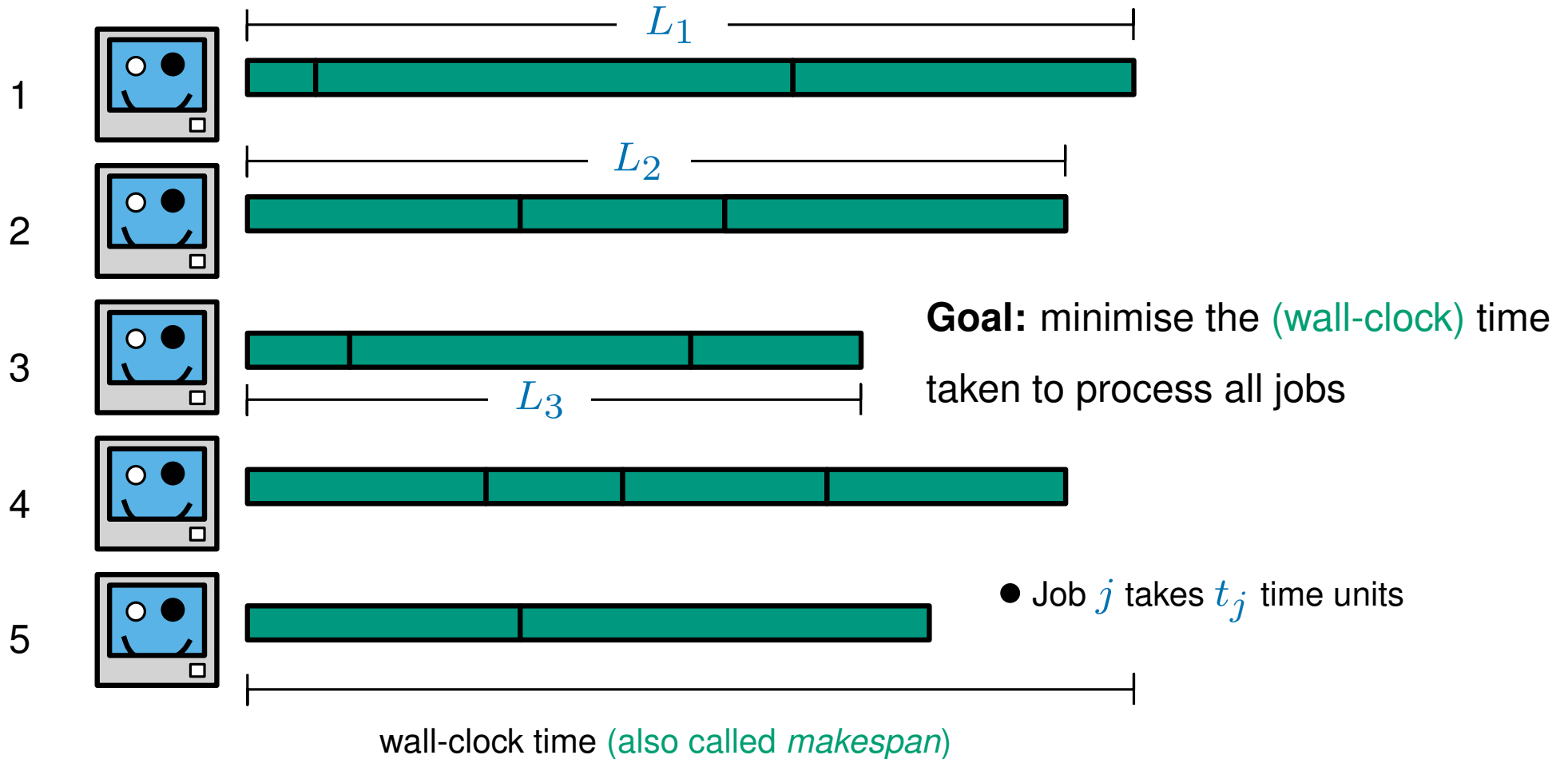


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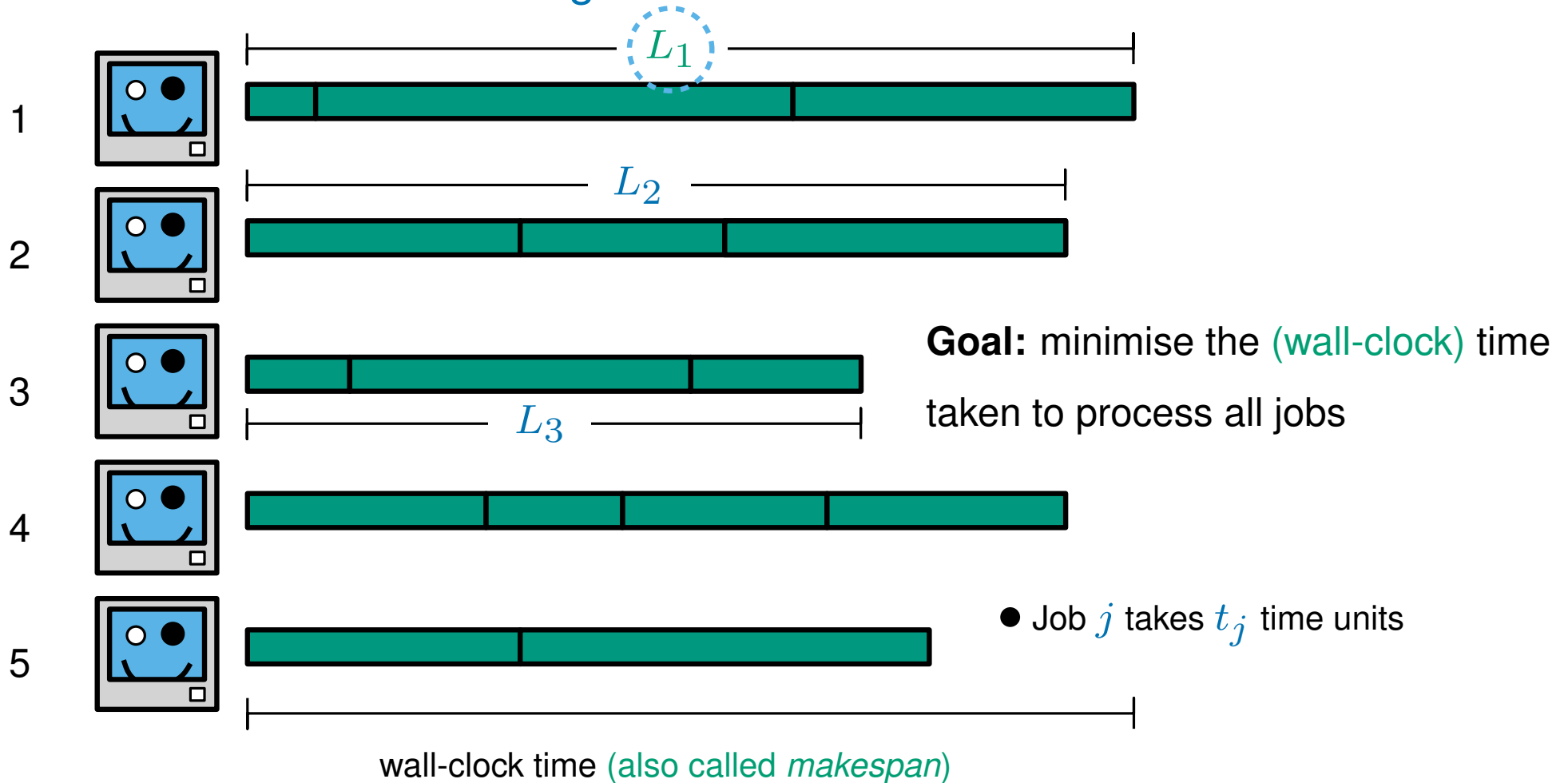
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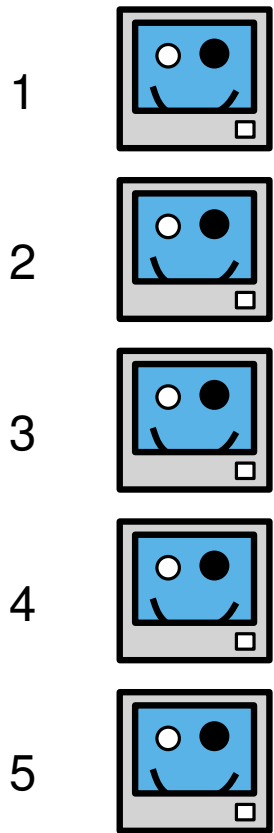
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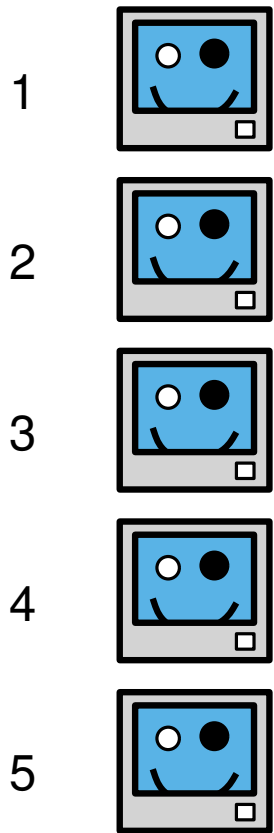


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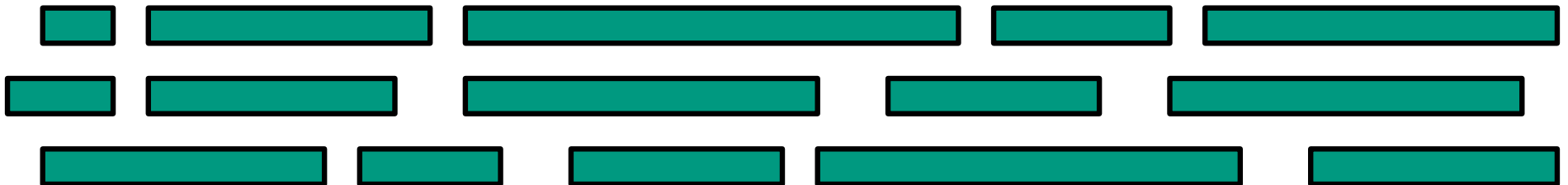
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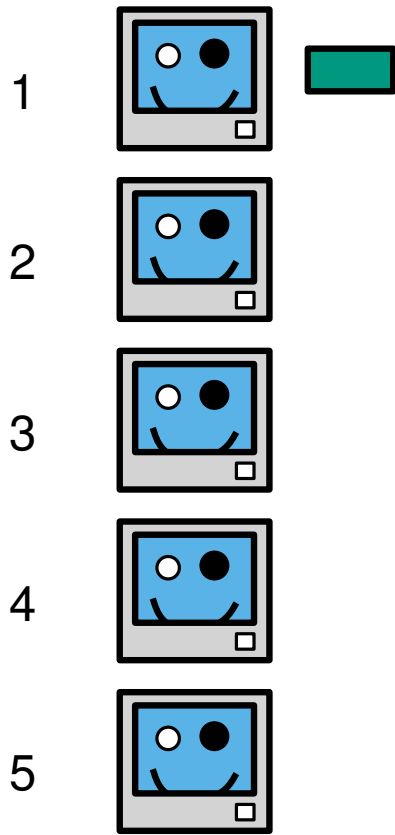
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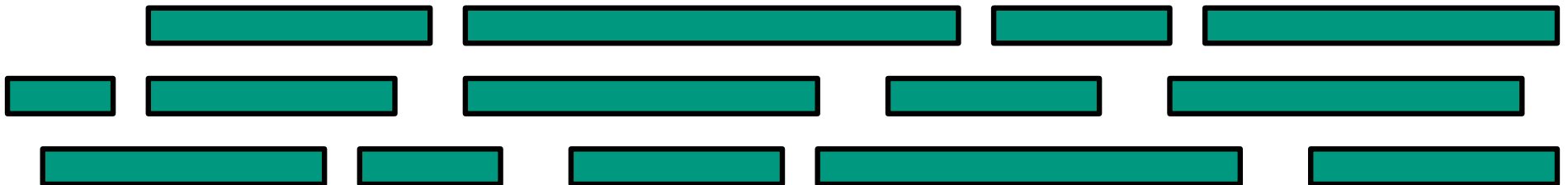
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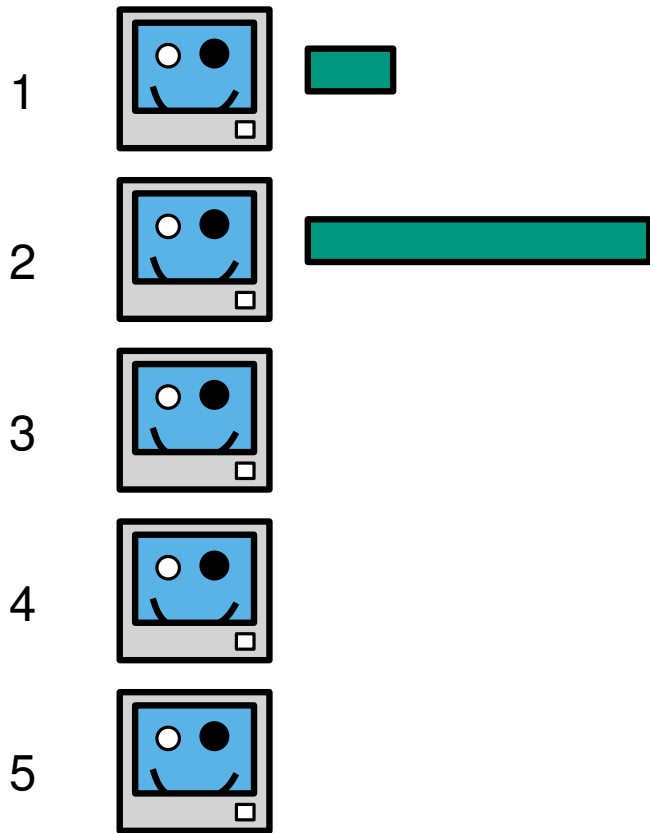
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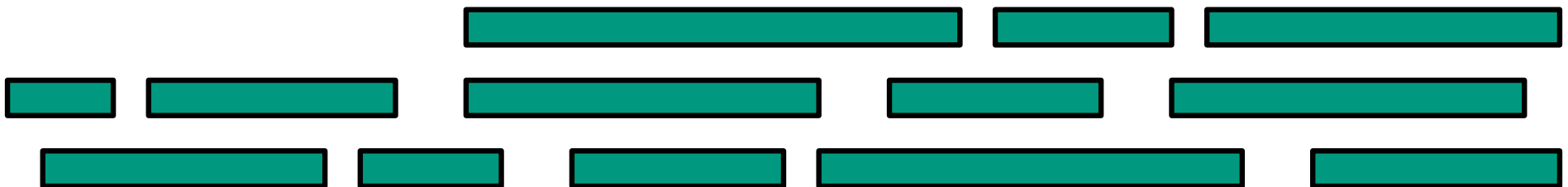
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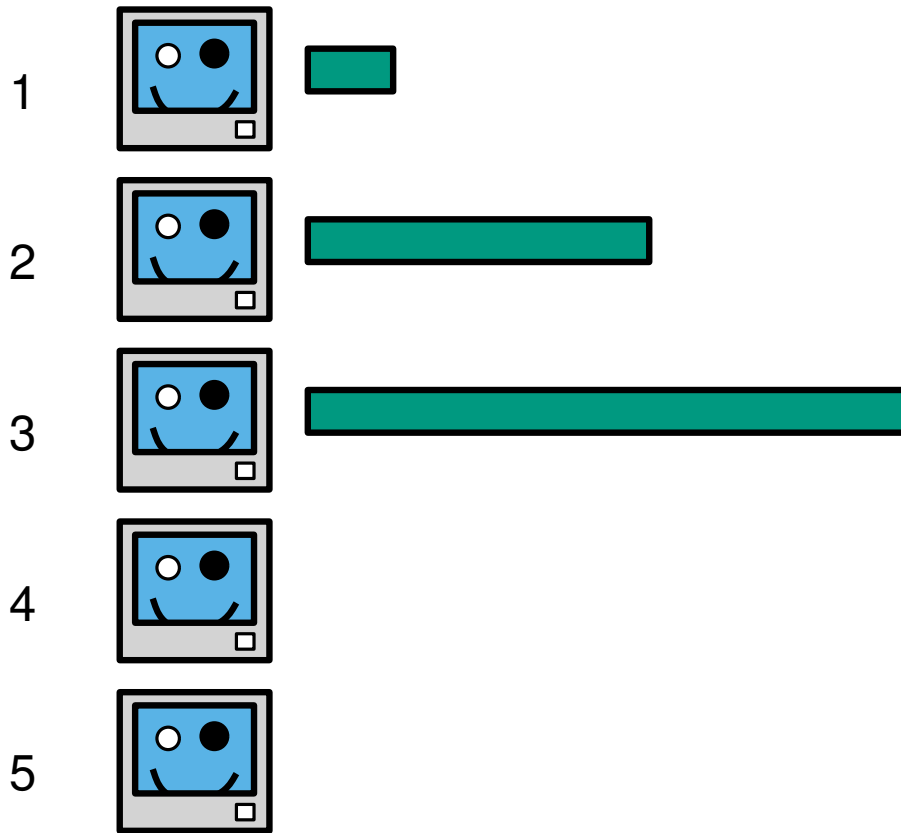
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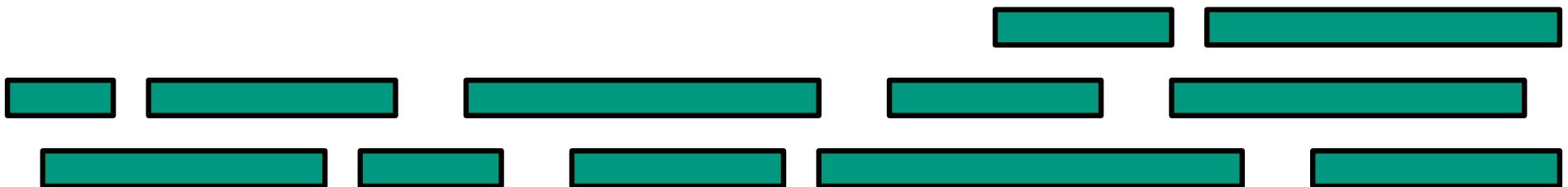
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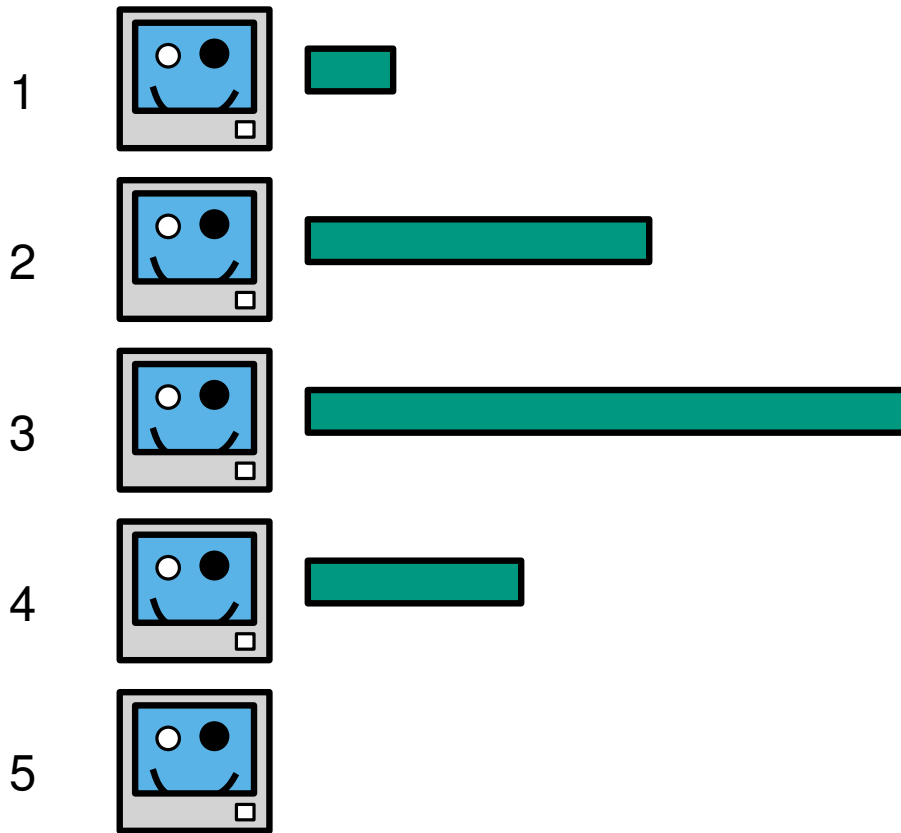


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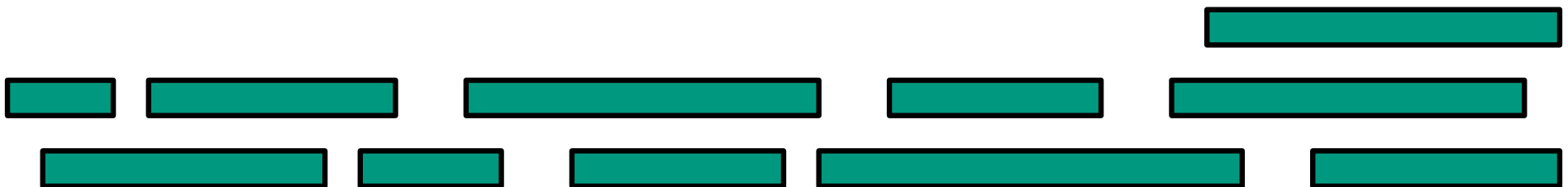




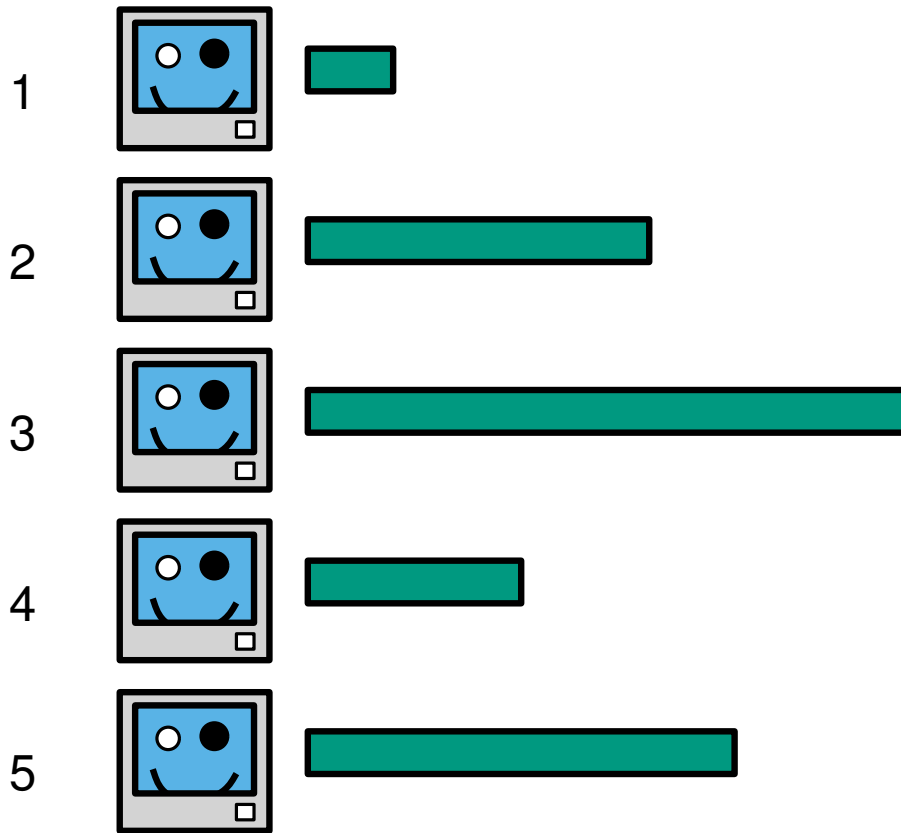
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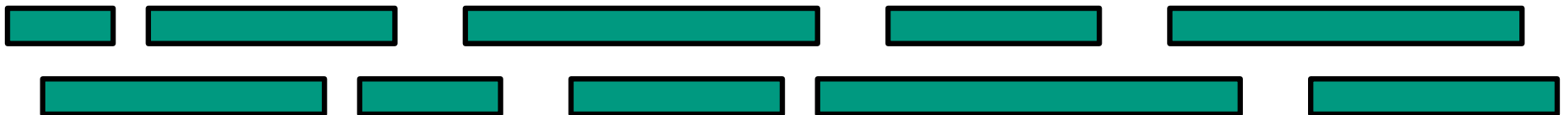
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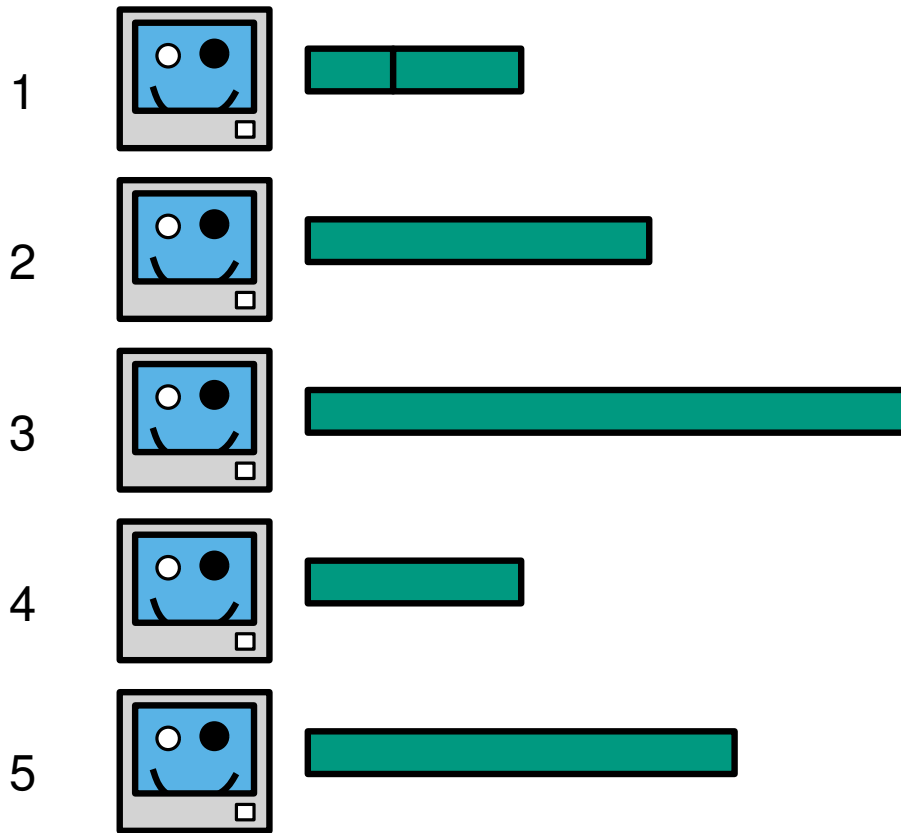
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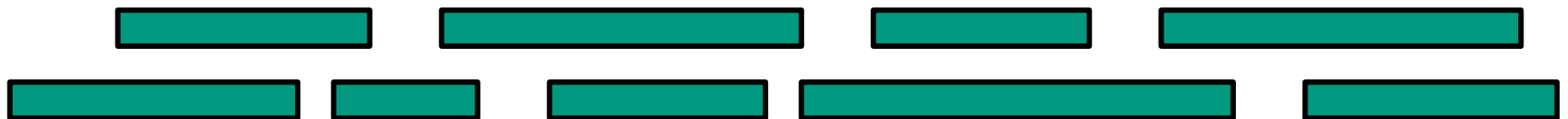
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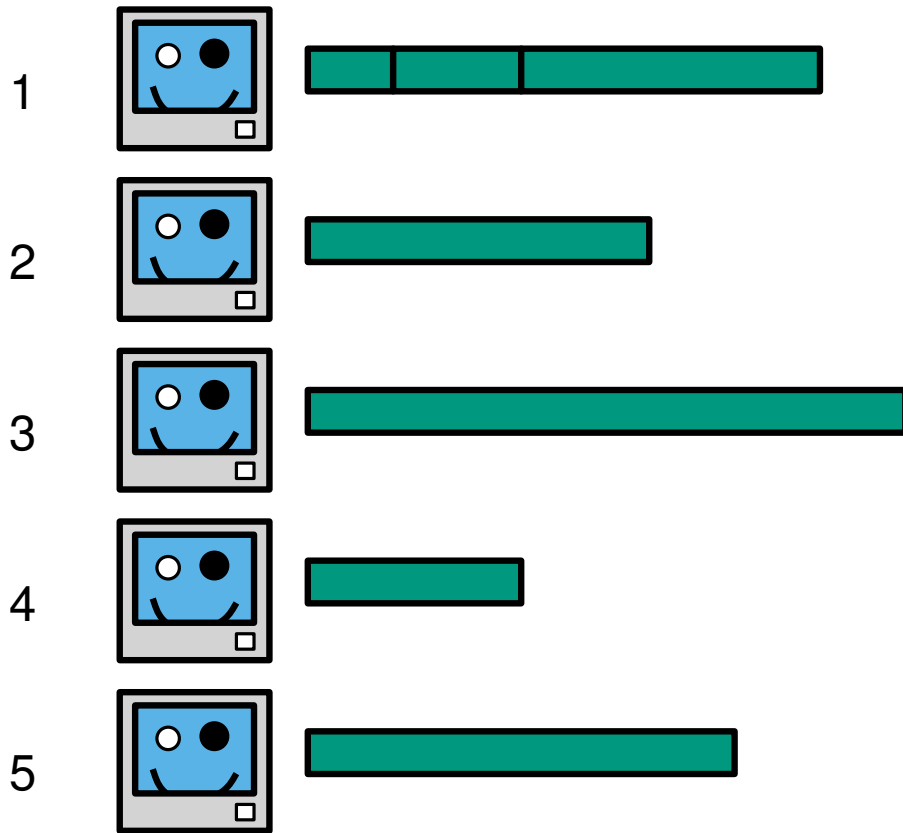
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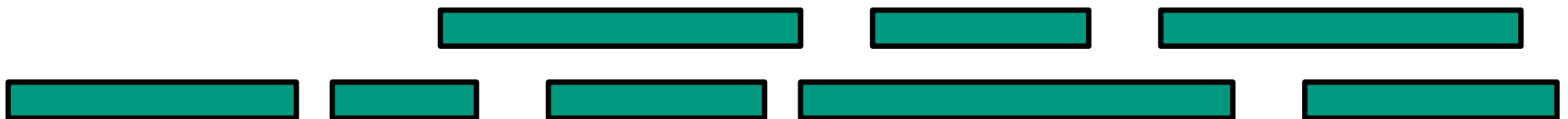
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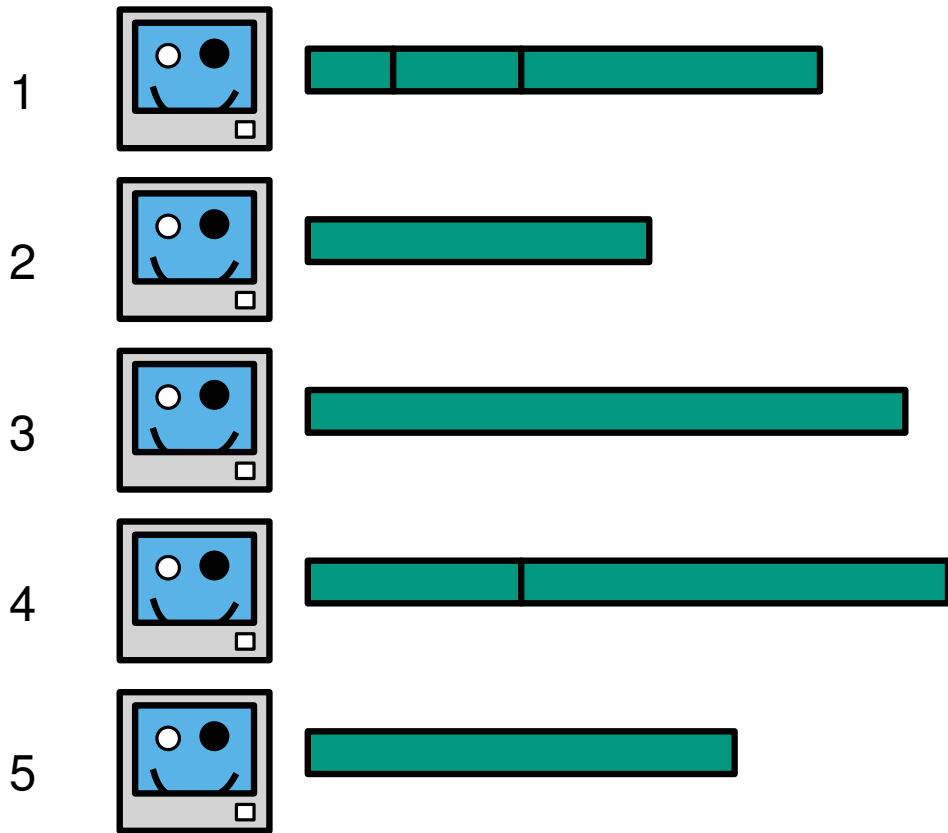
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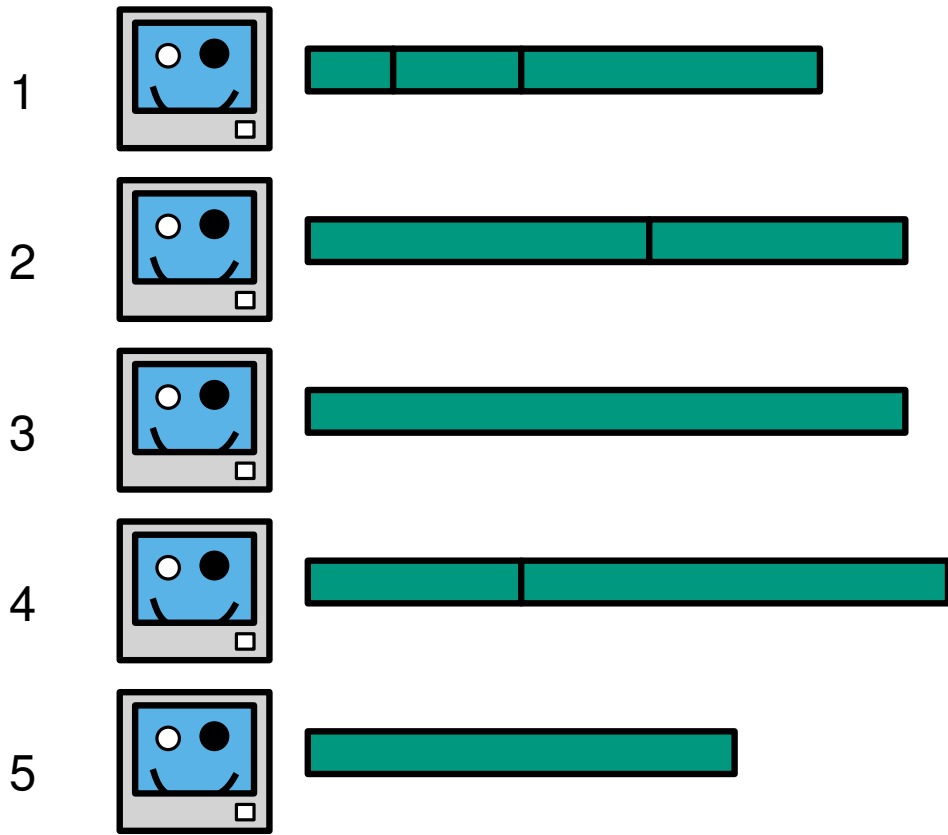
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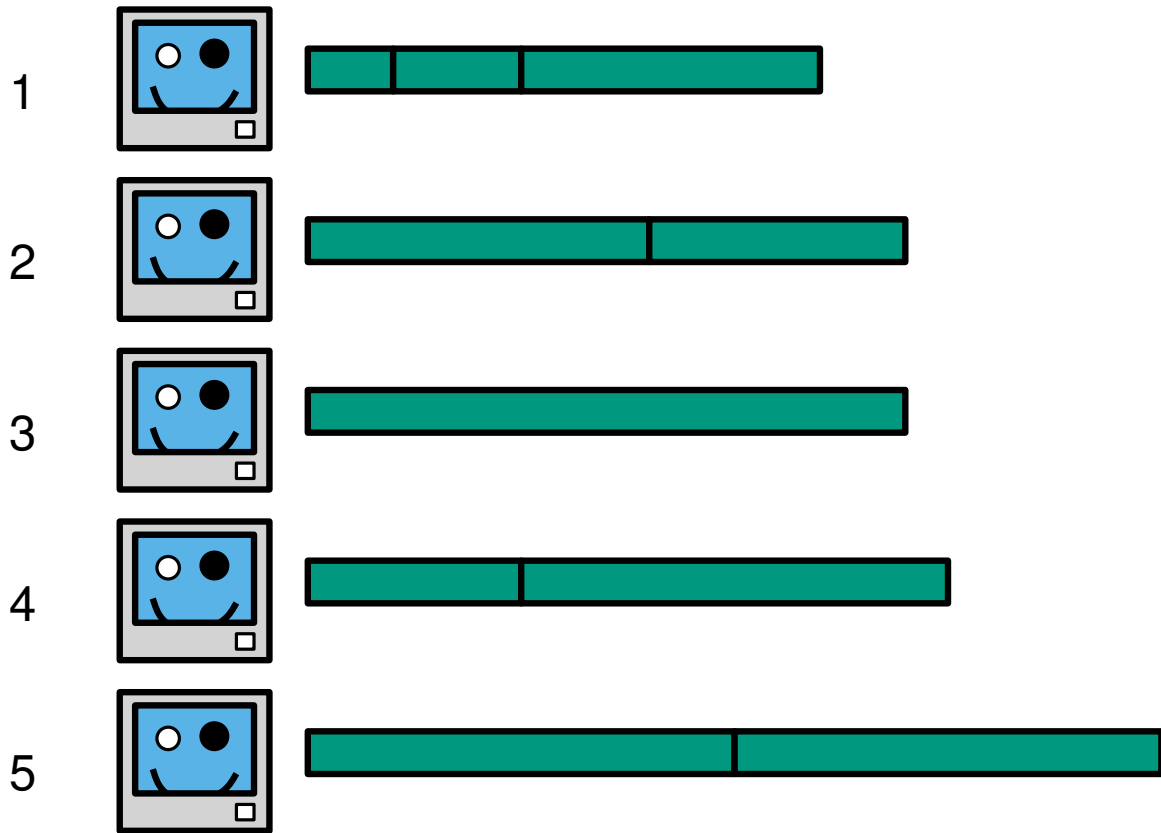
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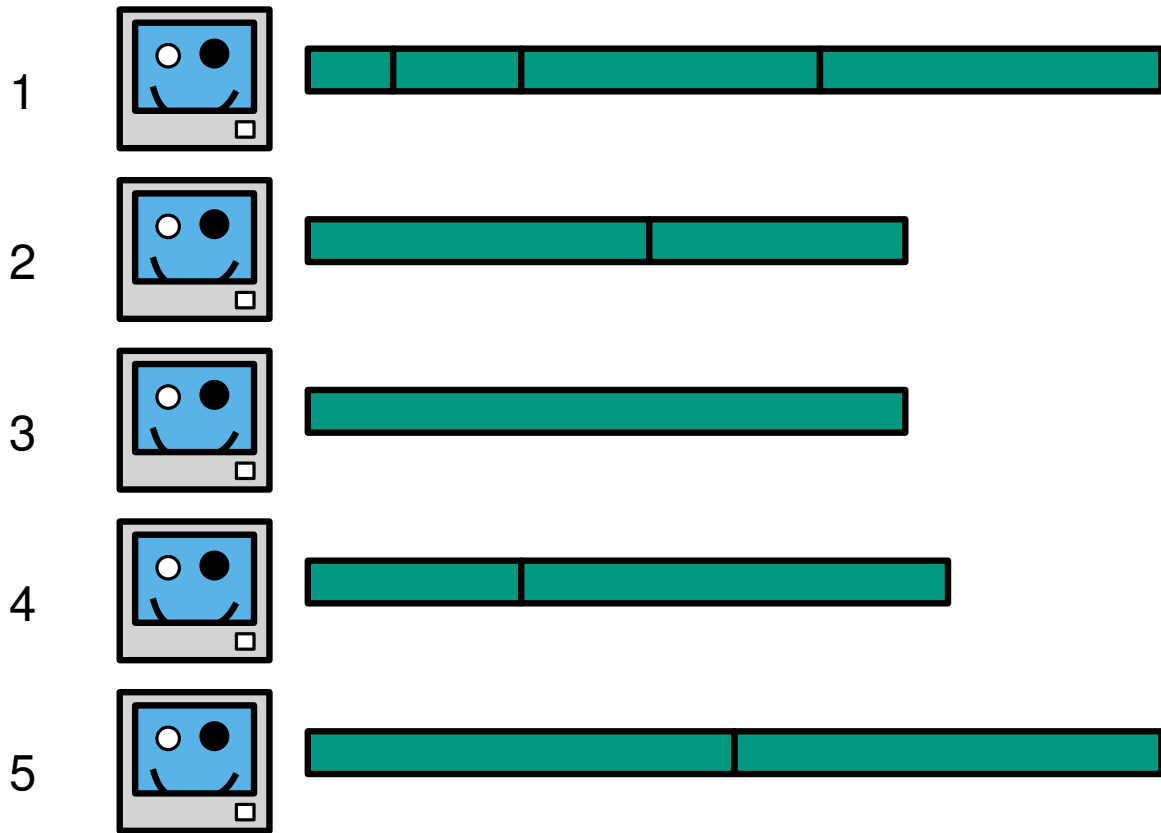
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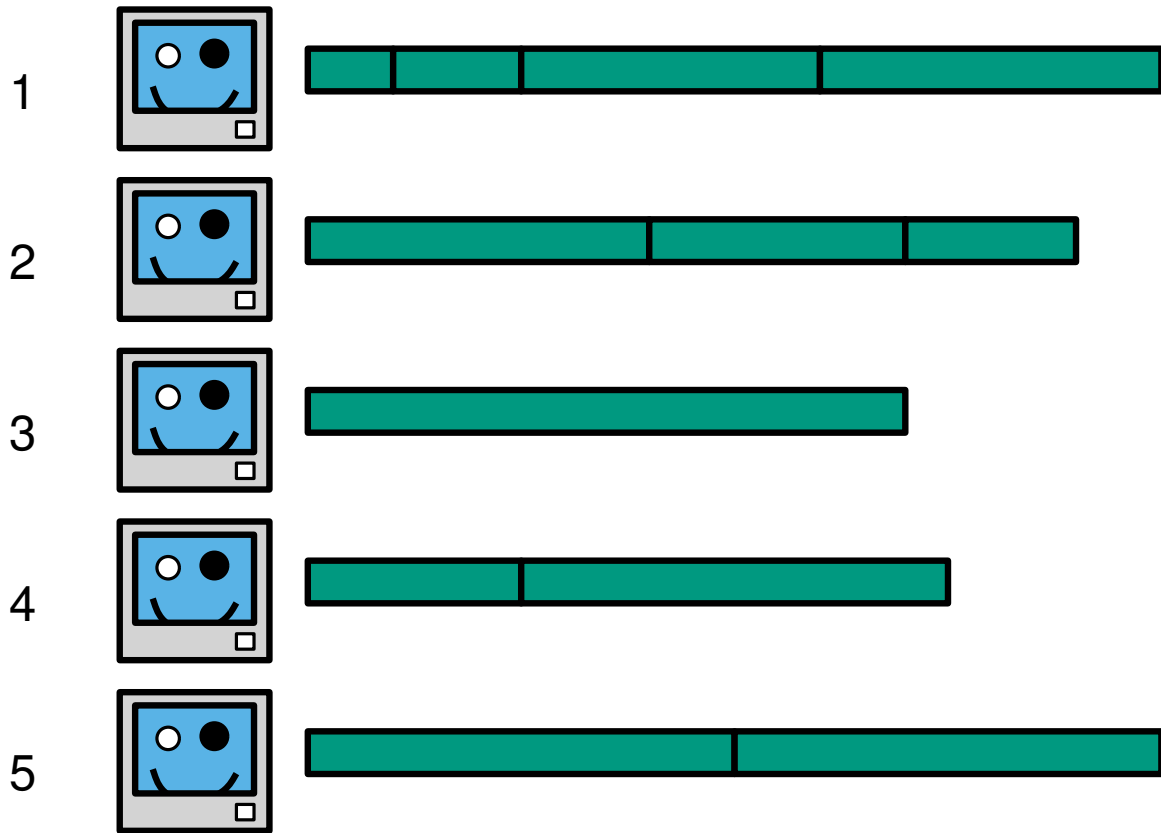


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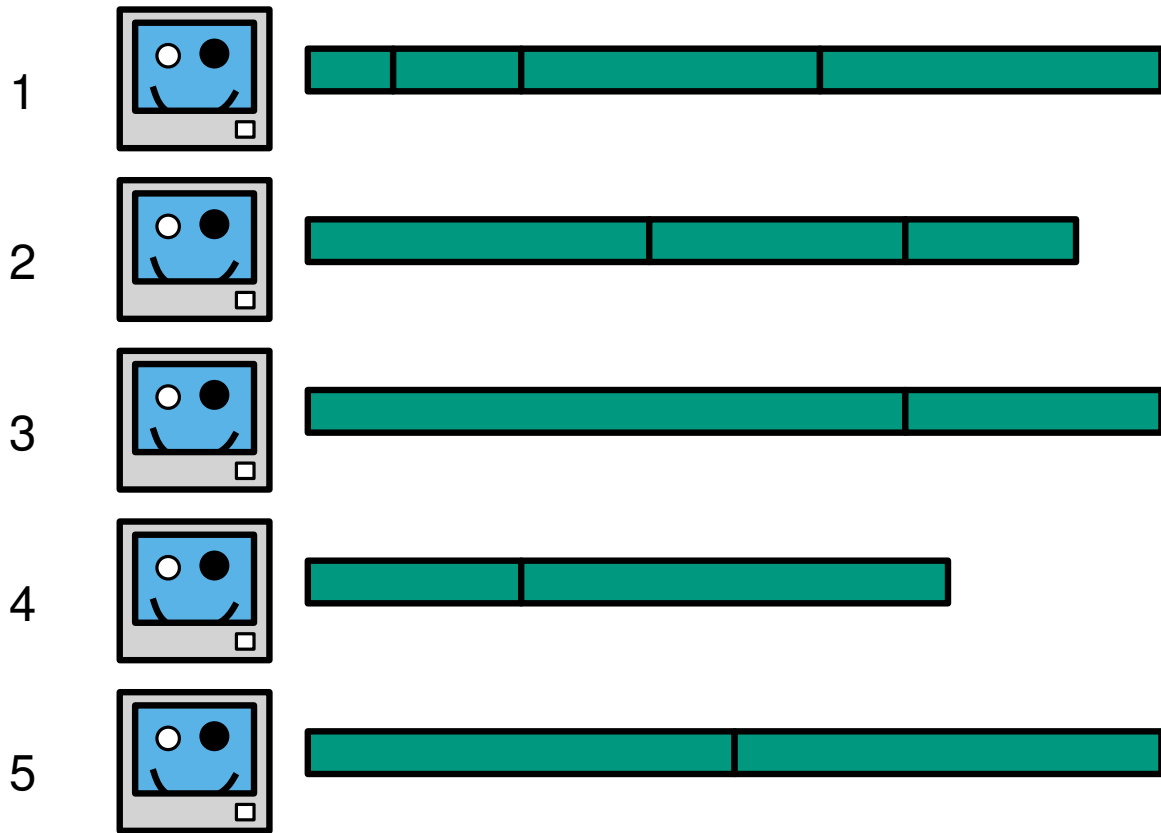
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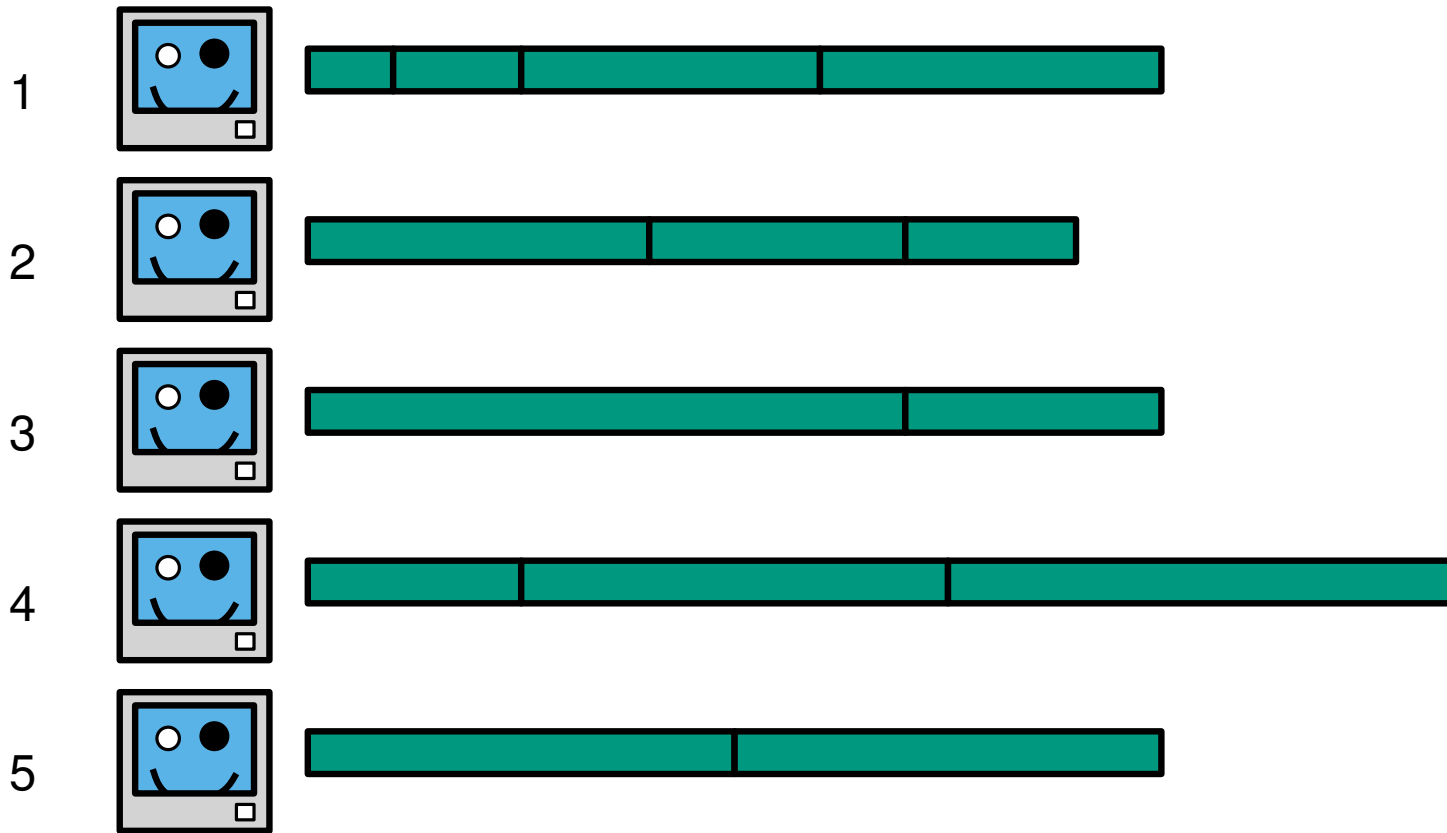
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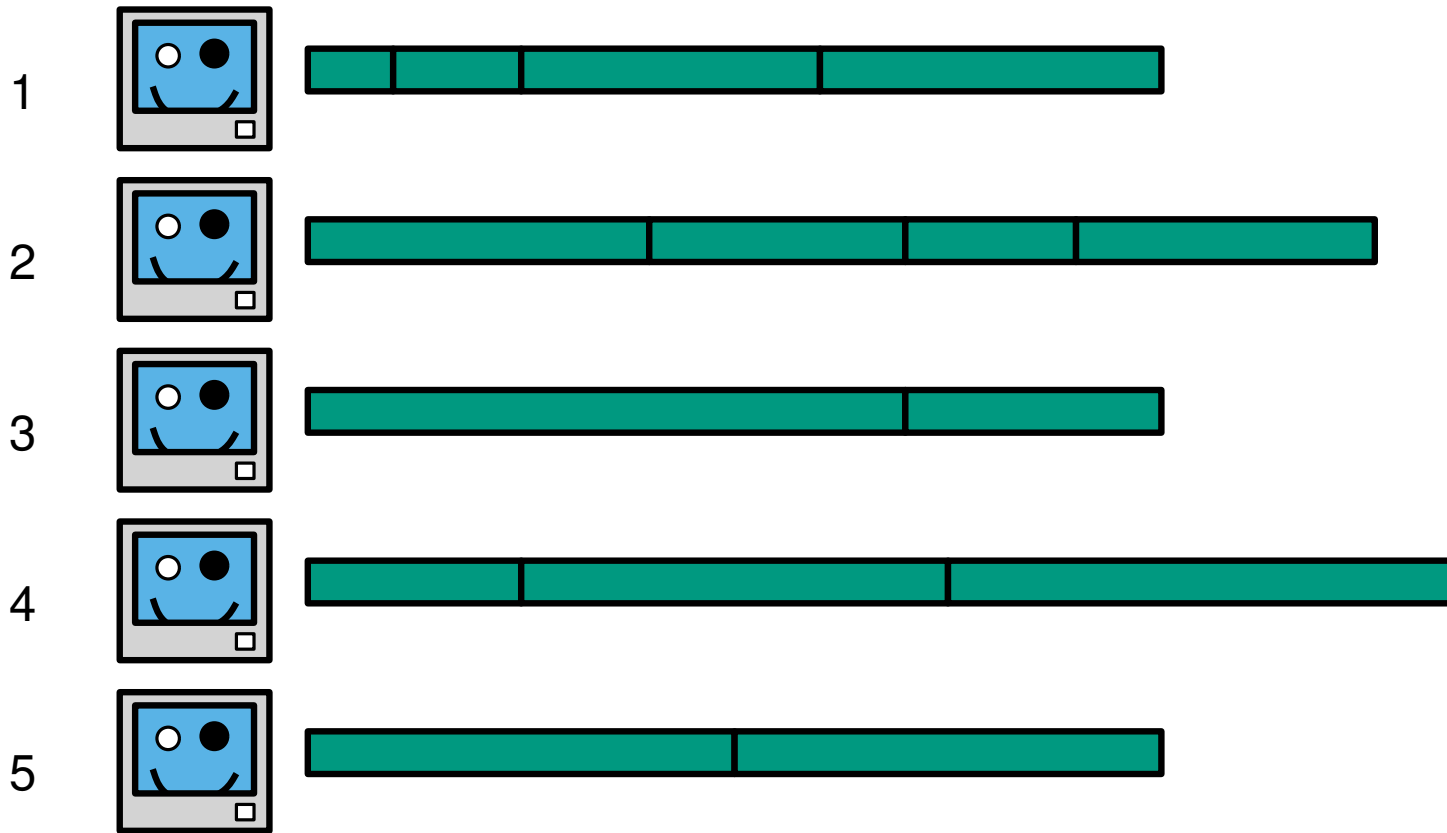
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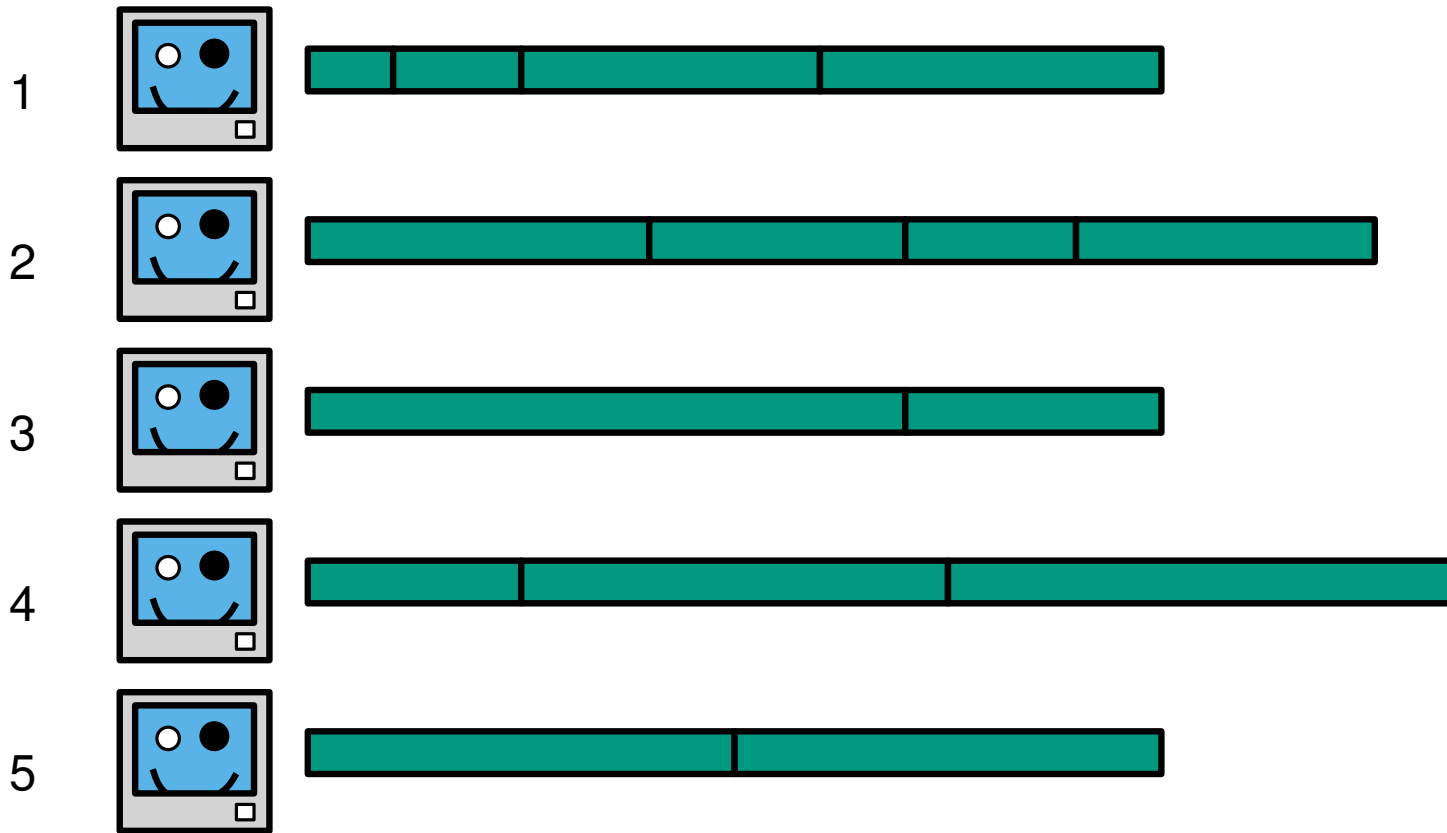


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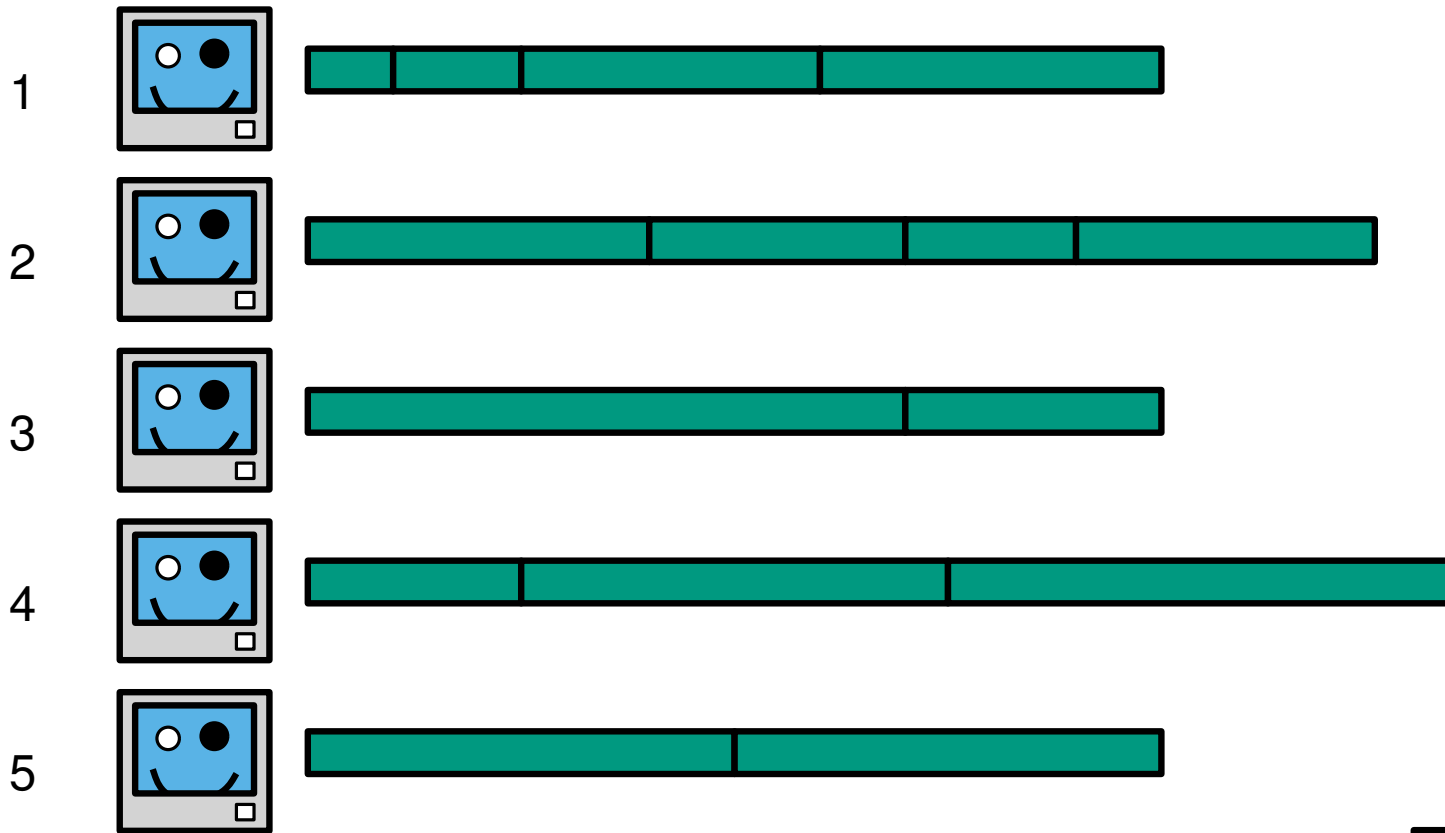
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*How long does it take to compute this schedule?*

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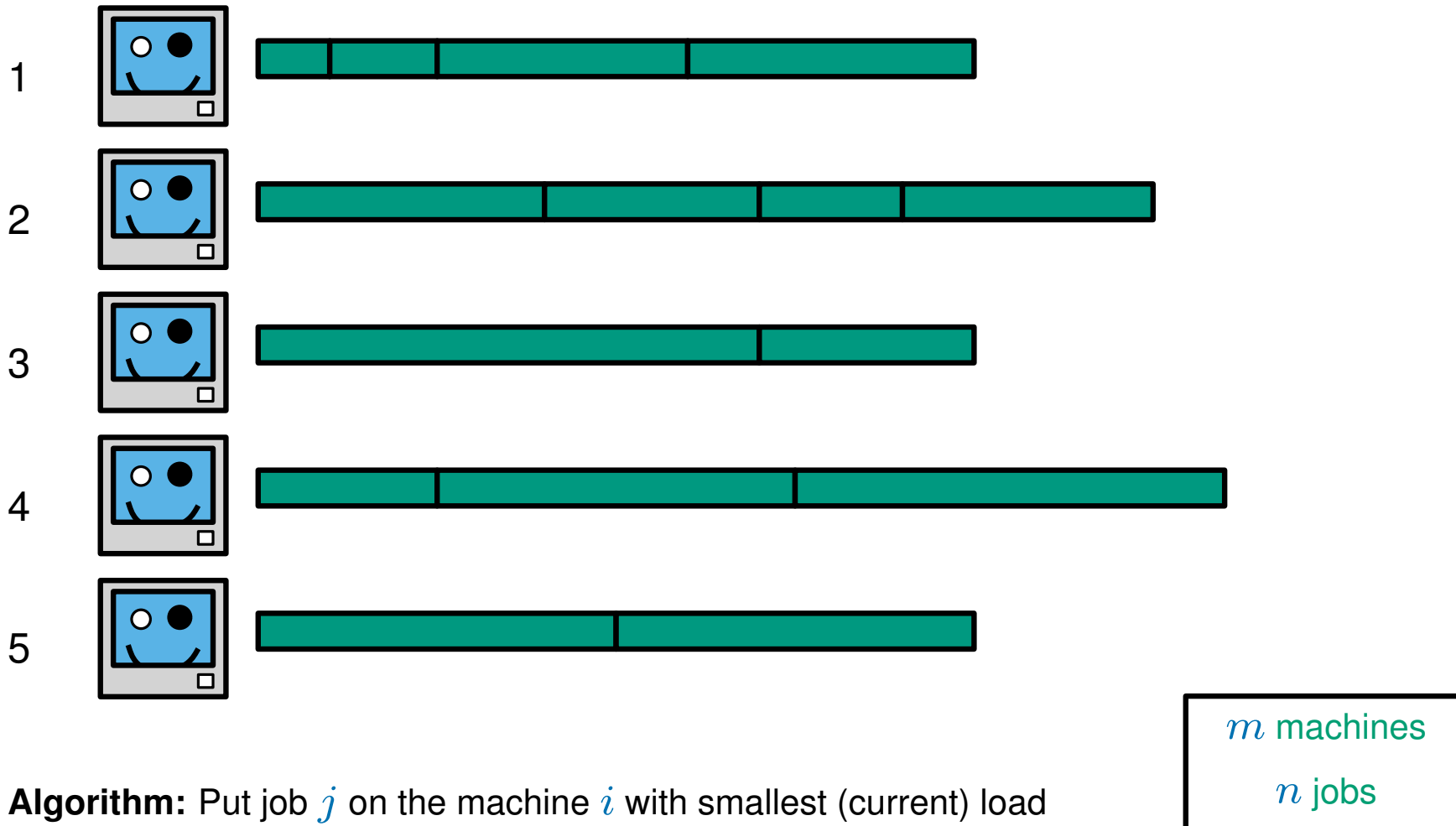


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$m$  machines  
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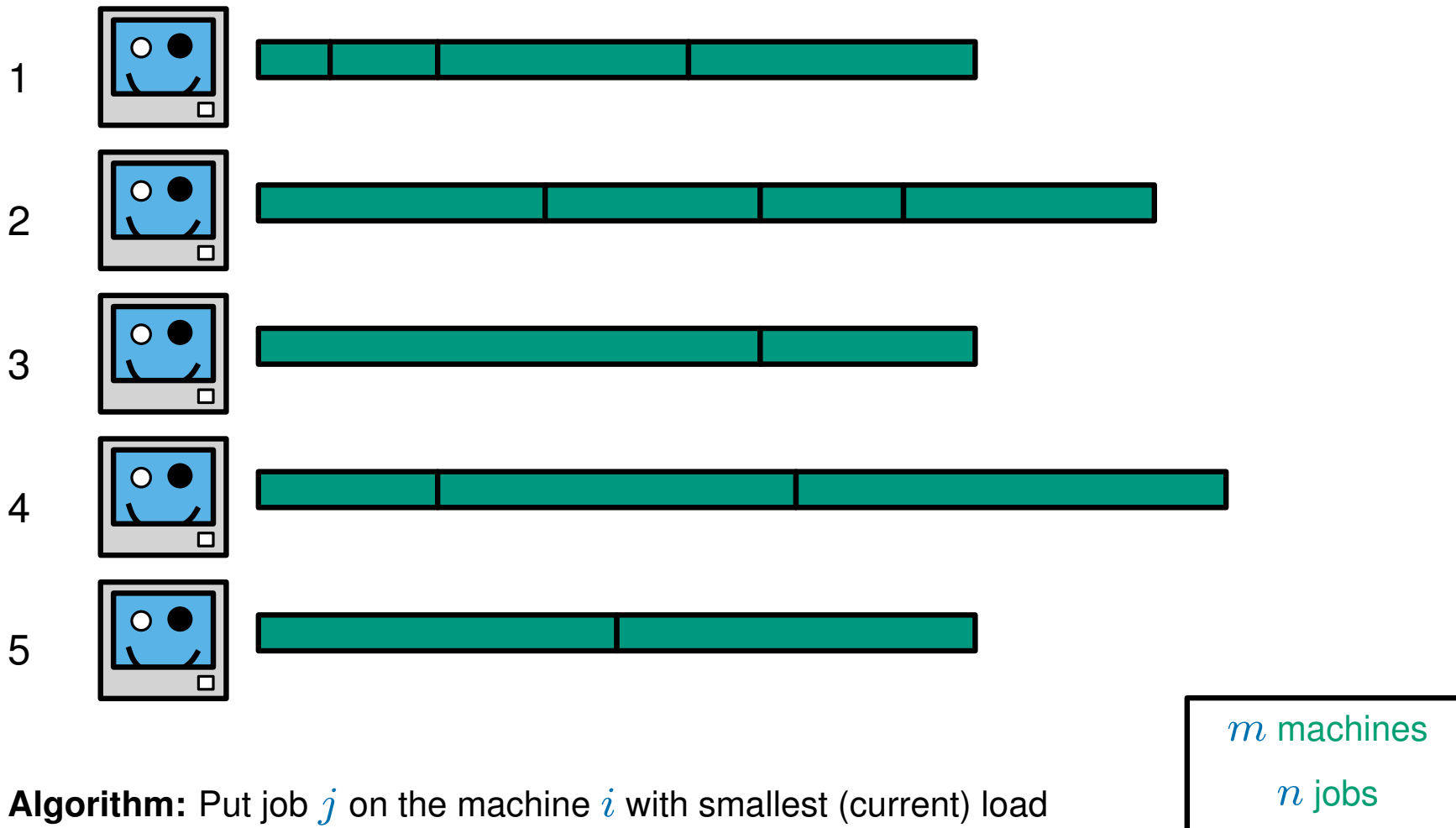


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$O(nm)$  time naively,  $O(n \log m)$  time using a priority queue

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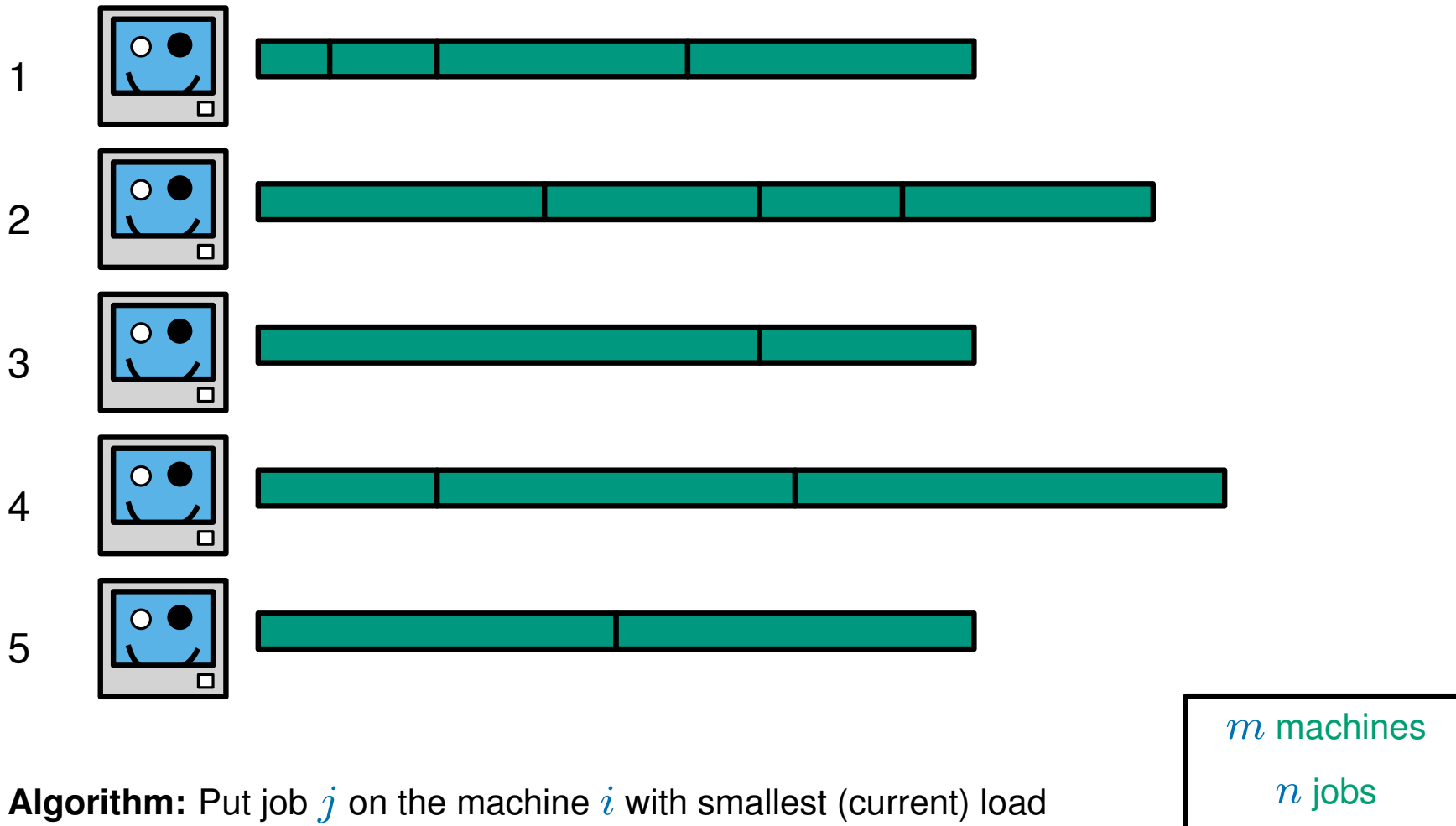
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*(it's also an online solution)*



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*How long does it take to compute this schedule?*

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*(it's also an online solution)*

*How good is it?*

## The greedy approximation

$L_i$  is the *load* of  
machine  $i$

Let  $O_{\text{pt}}$  denote the time taken by the optimal scheduling of jobs

Let  $T_g$  denote the time taken by the greedy schedule

**Theorem** The greedy algorithm given is a 2-approximation algorithm

Job  $j$  takes  $t_j$   
time units

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○ Some machine  $i$  must have load  $L_i$  at least  $\frac{\sum_j t_j}{m}$

*(the  $m$  machines can't all have below average load)*

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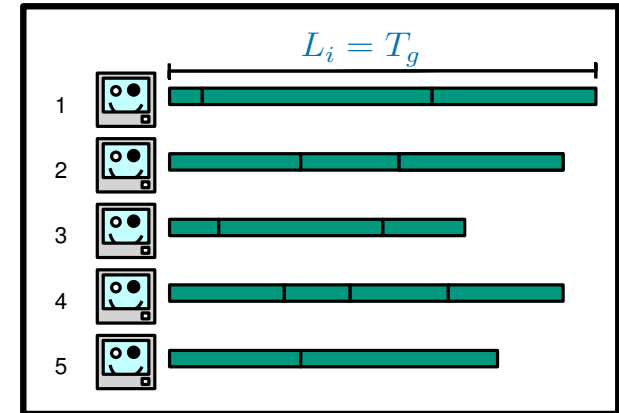
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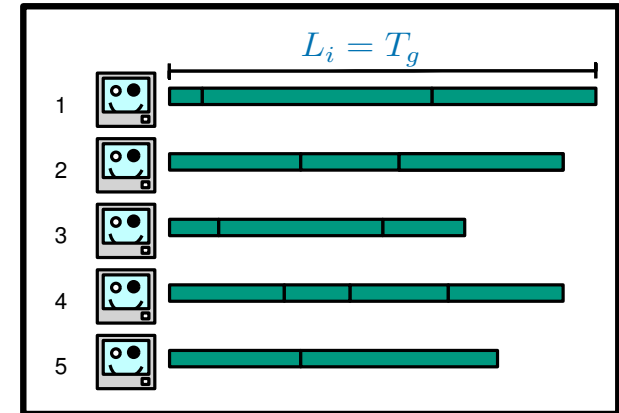
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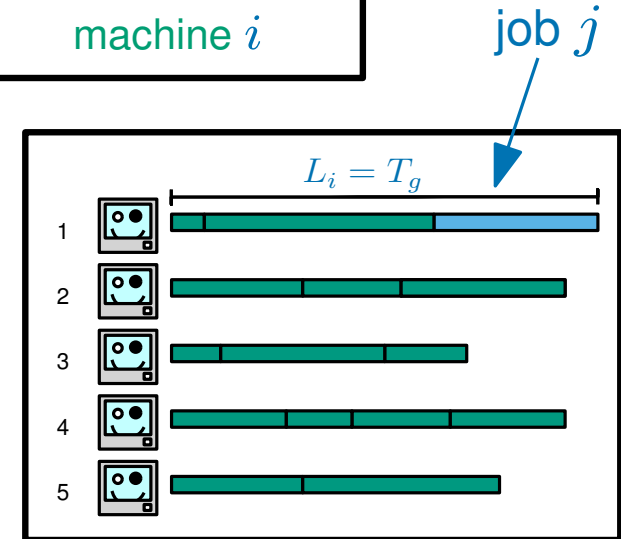
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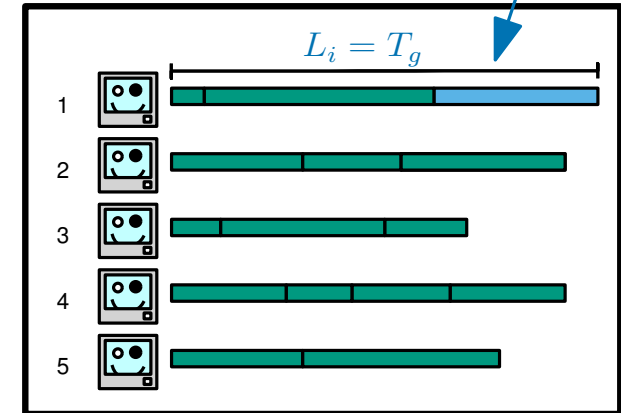
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- When job  $j$  was assigned, machine  $i$  had the smallest load,  $L_i - t_j$

$L_i$  is the load of machine  $i$



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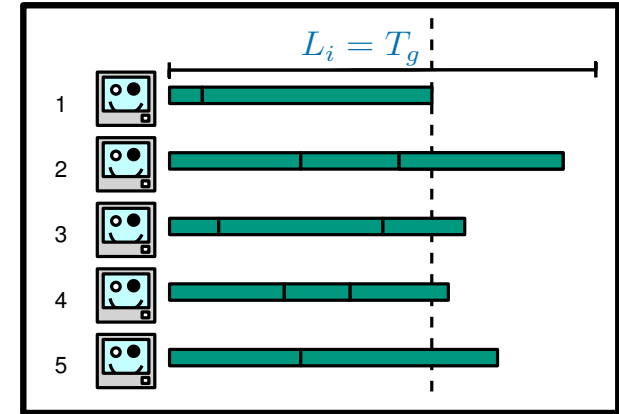
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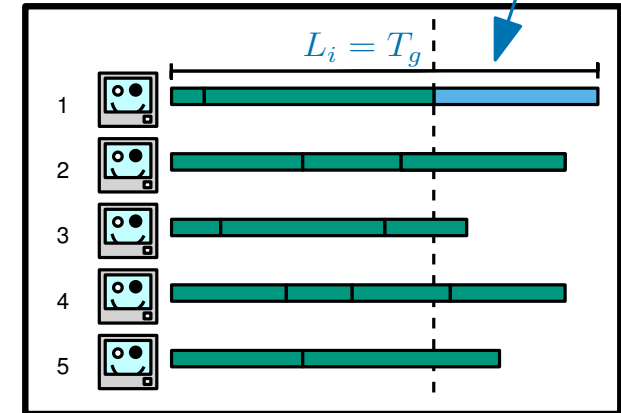
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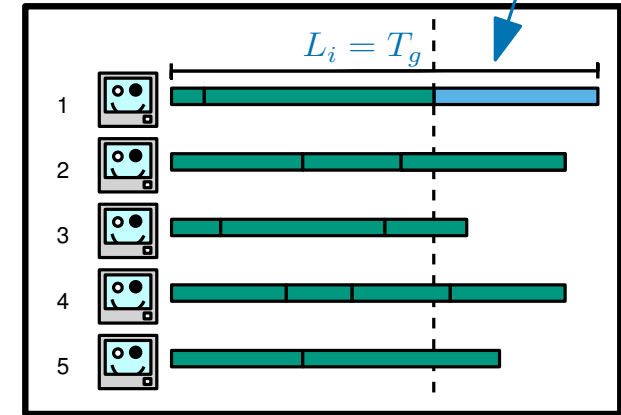
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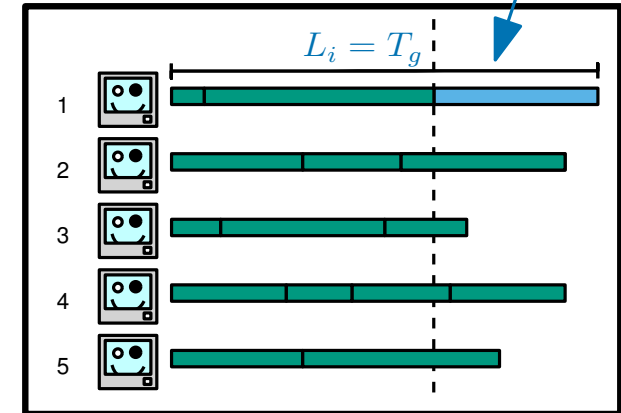
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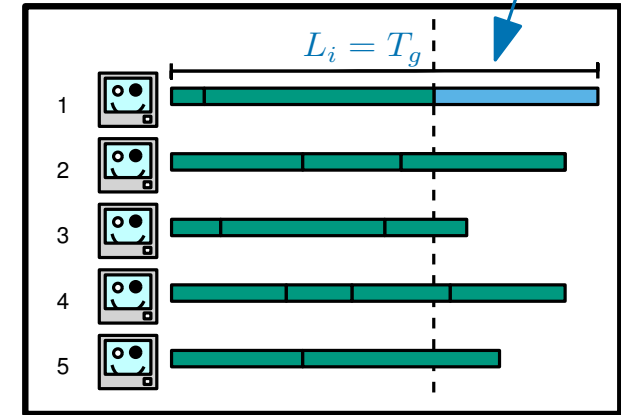
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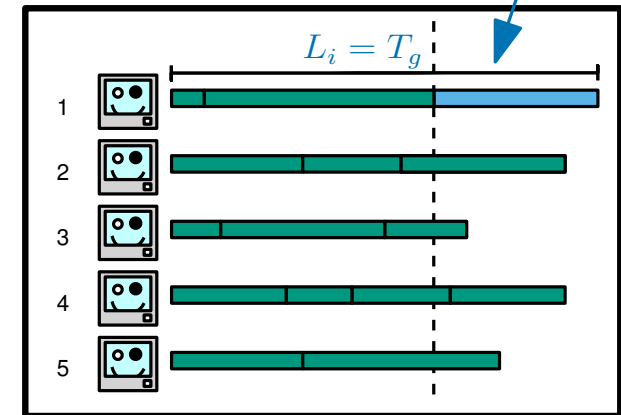
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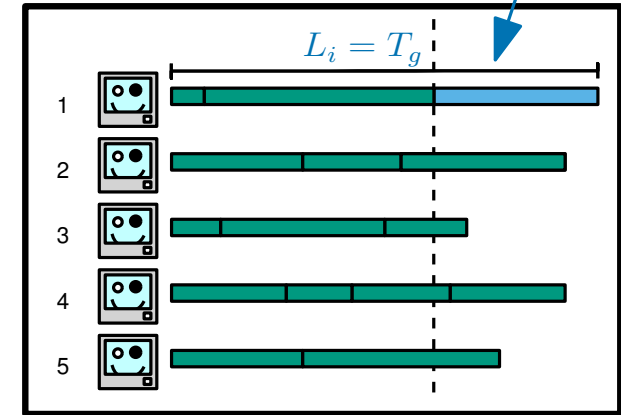
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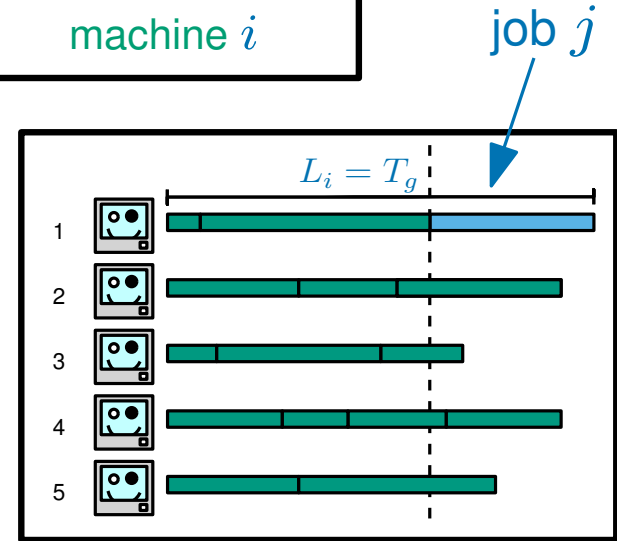
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**Fact**  $Opt \geq \frac{\sum_j t_j}{m}$

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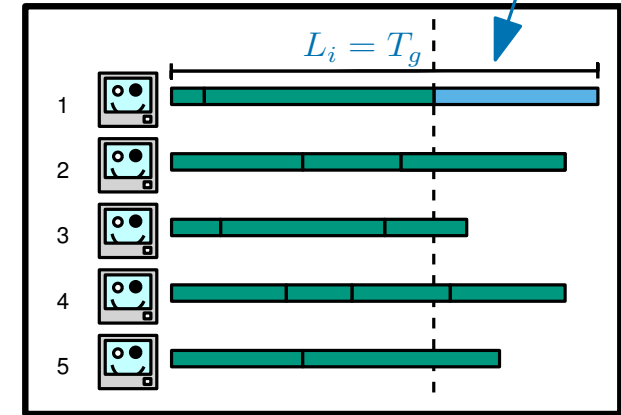
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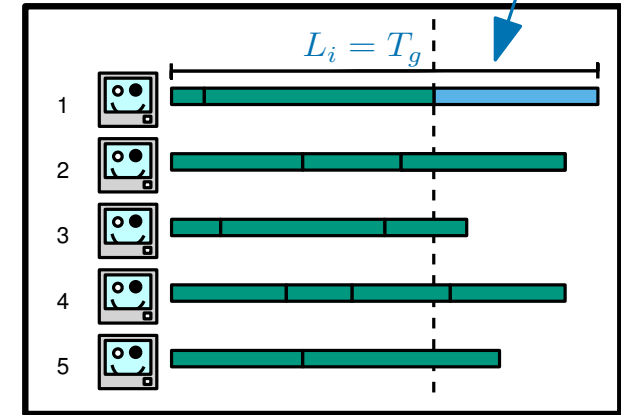
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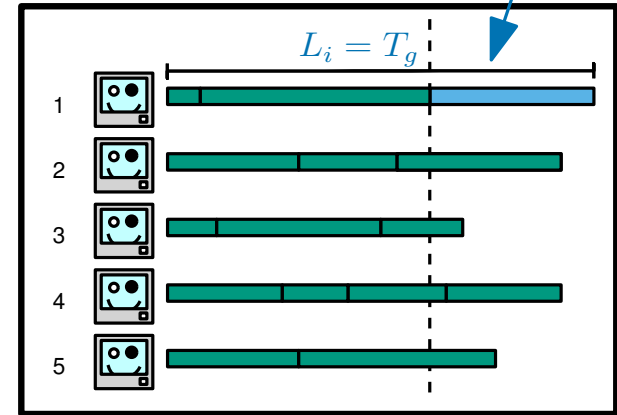
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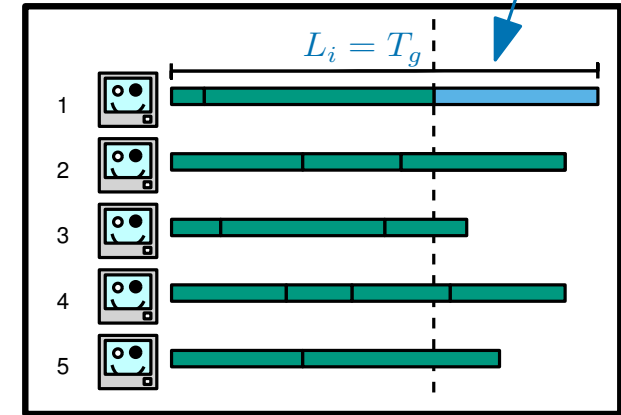
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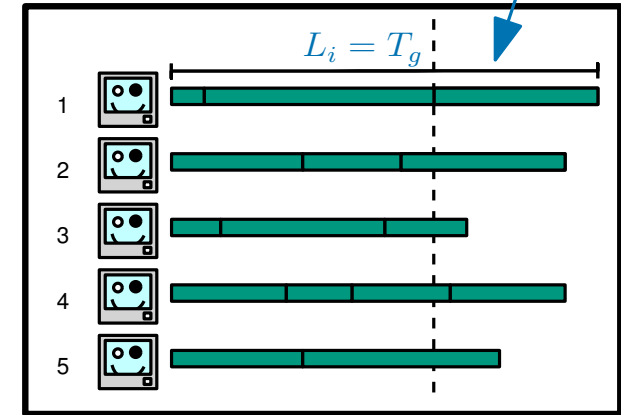
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Therefore,  $T_g = L_i = (L_i - t_j) + t_j \leq \text{Opt} + \text{Opt} = 2\text{Opt}$

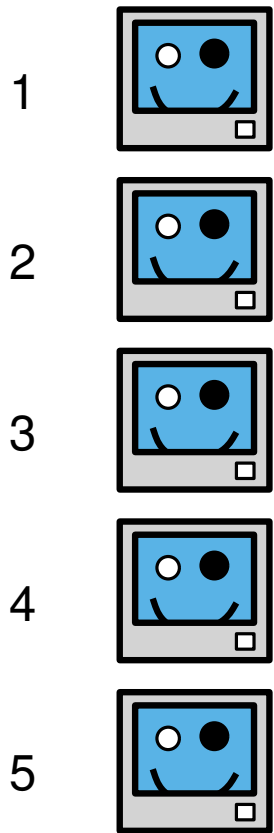
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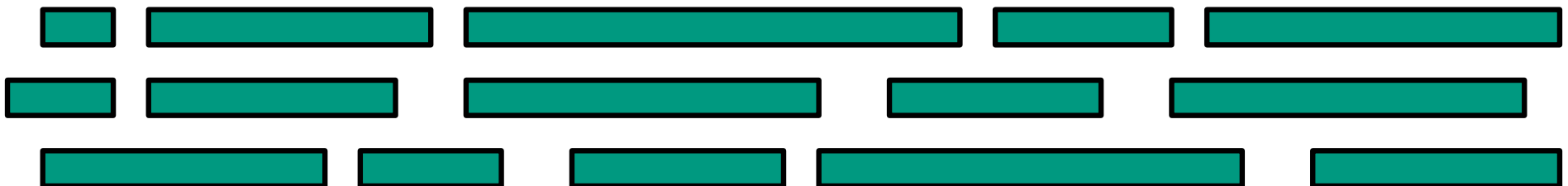
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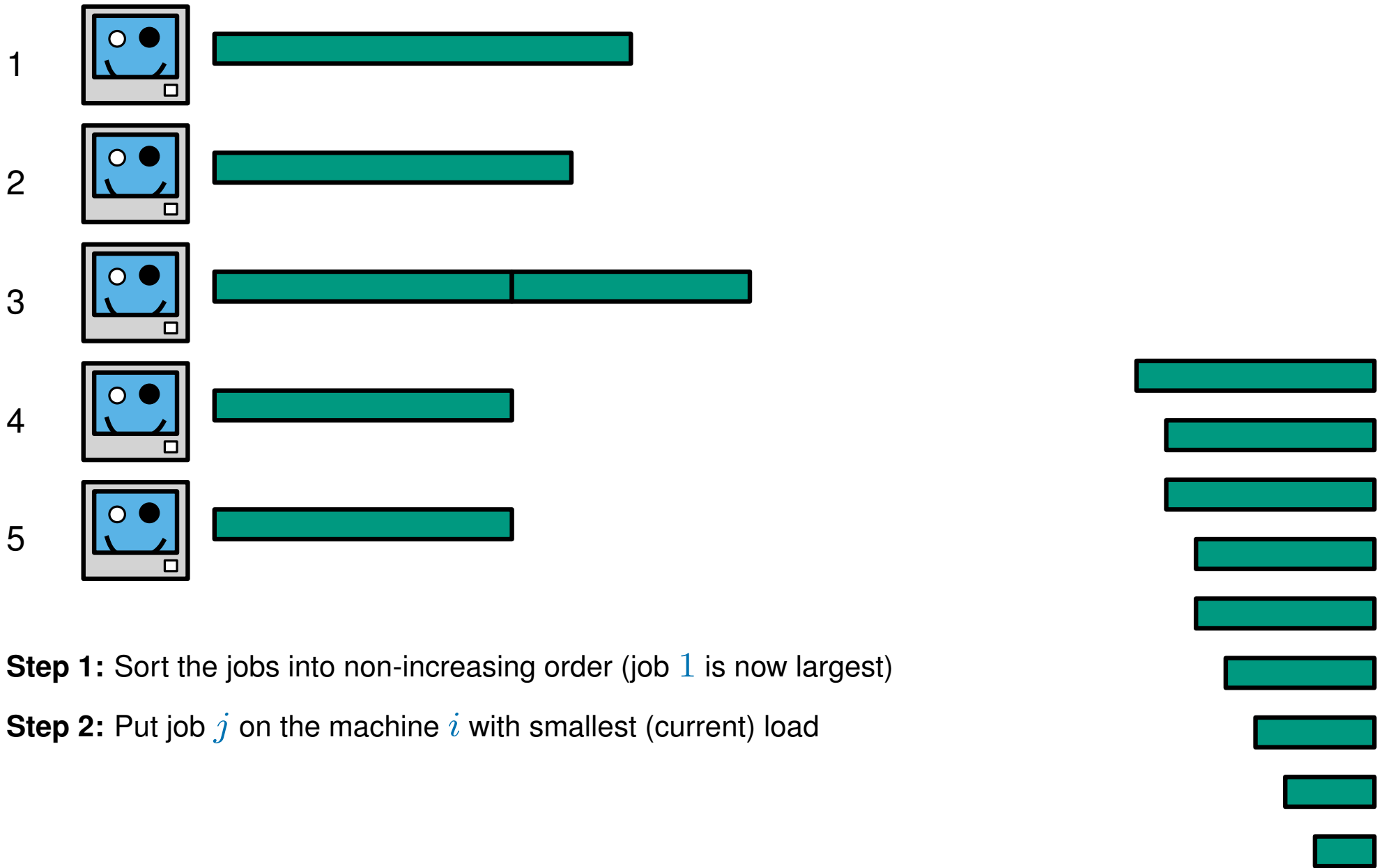
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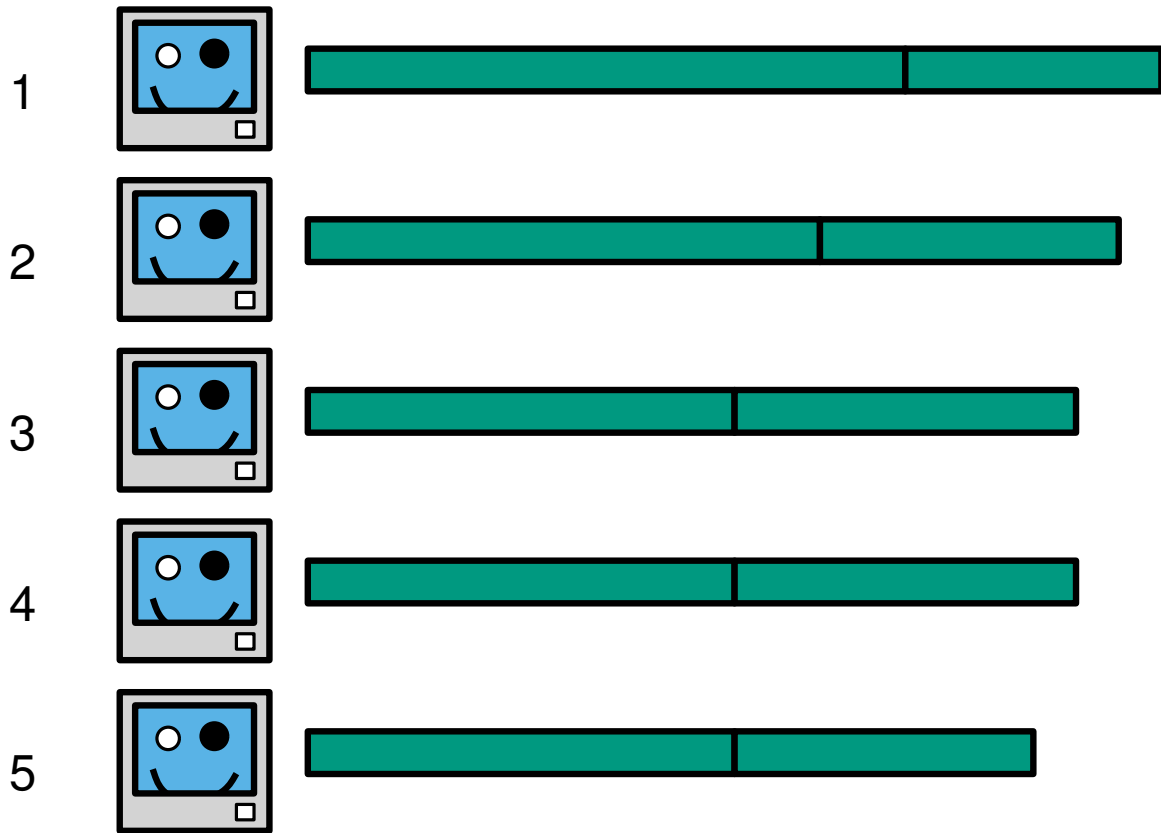


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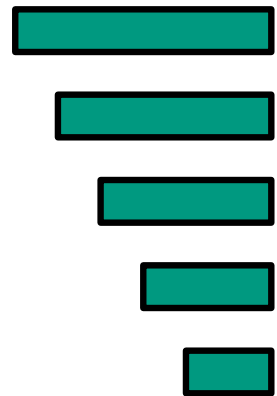


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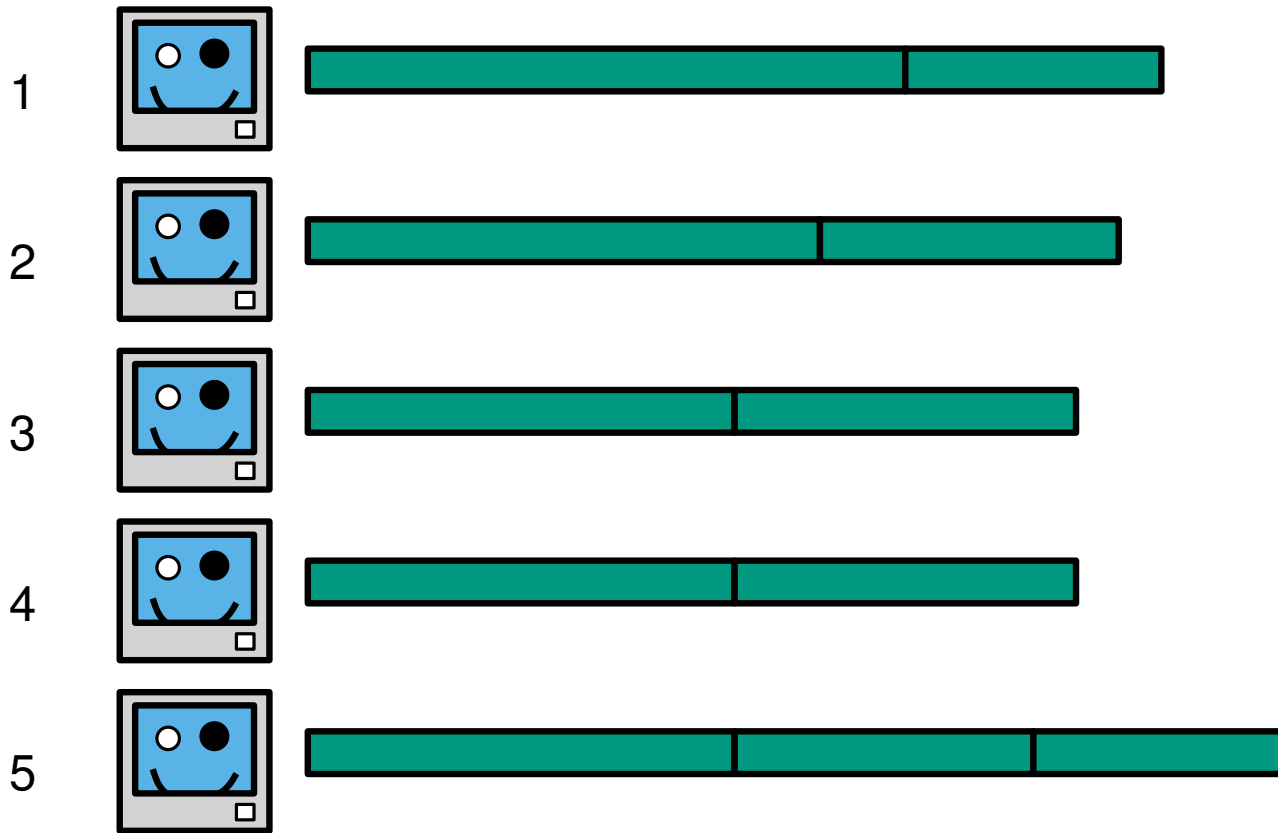


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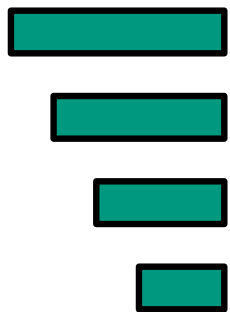


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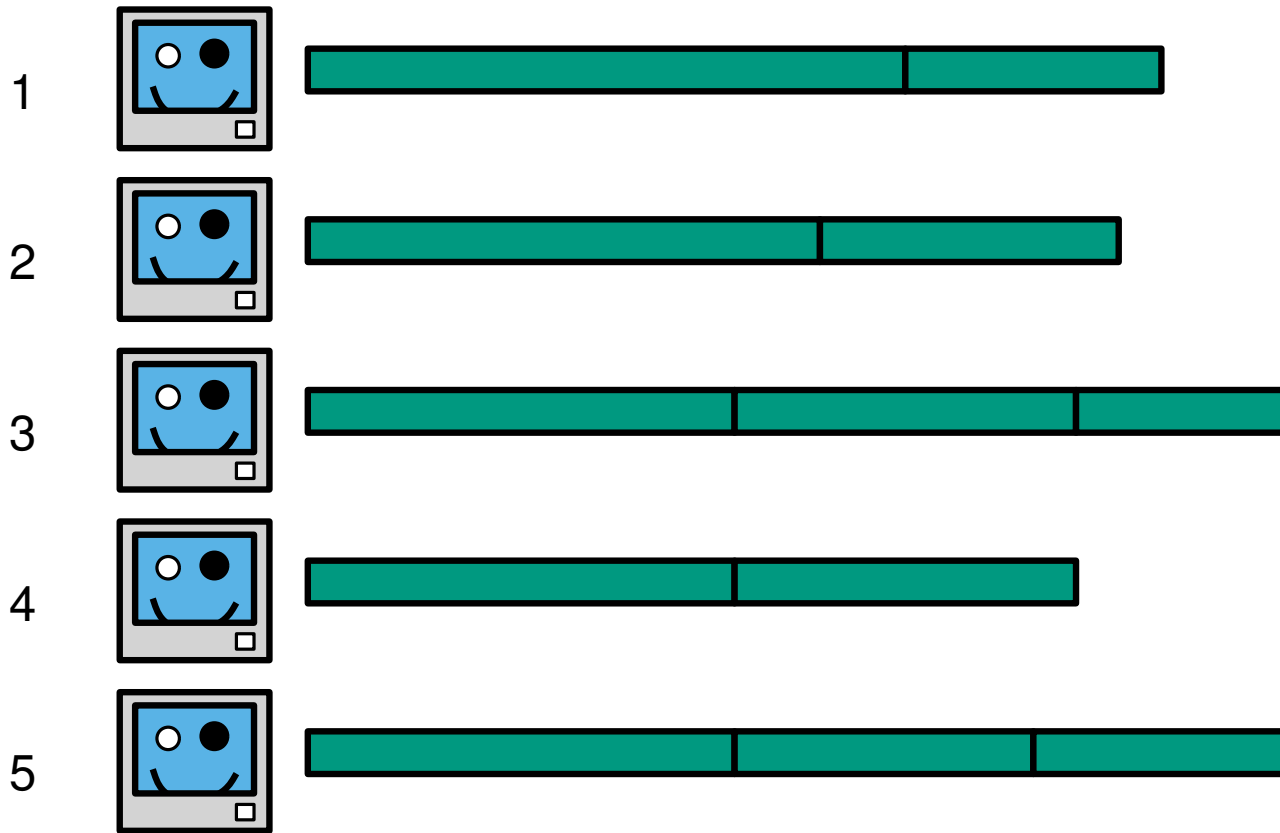


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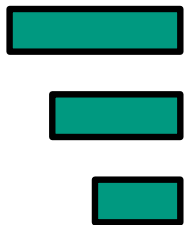


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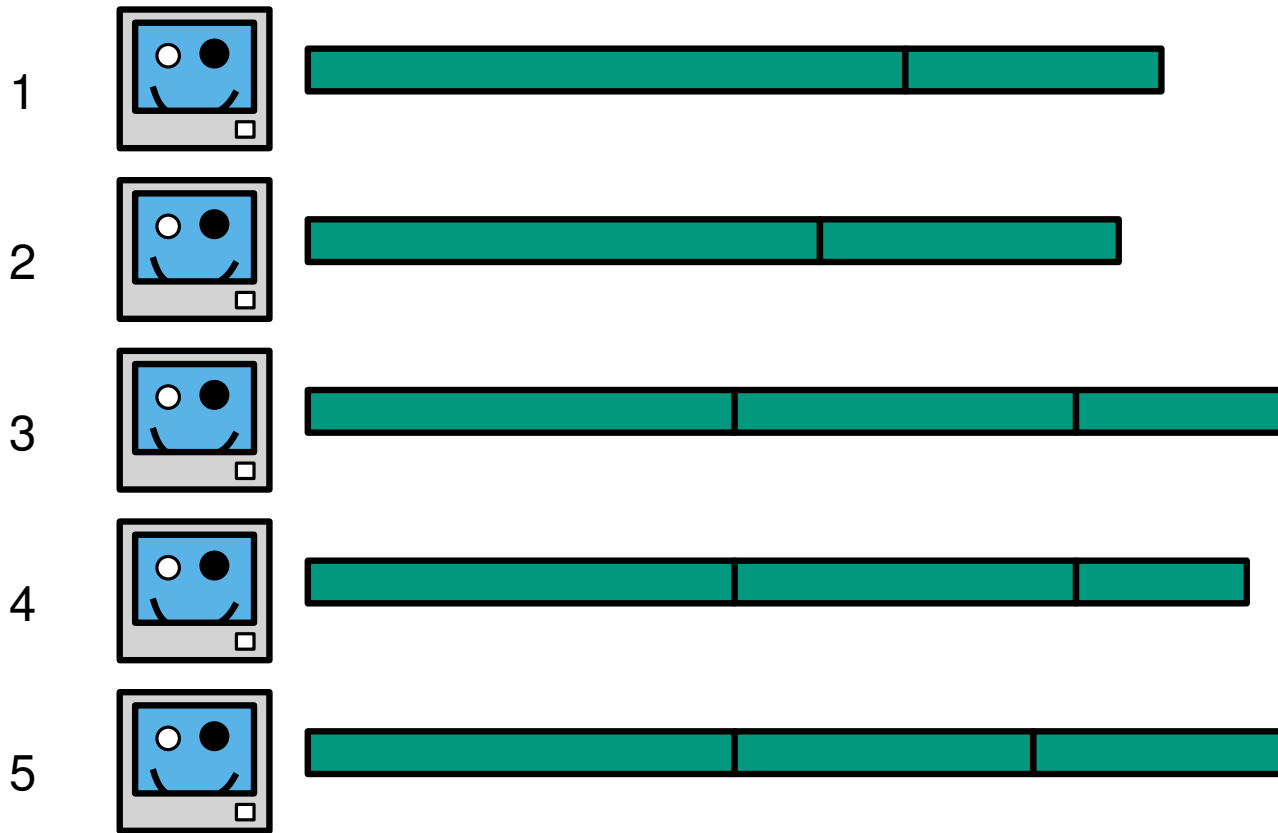


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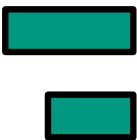


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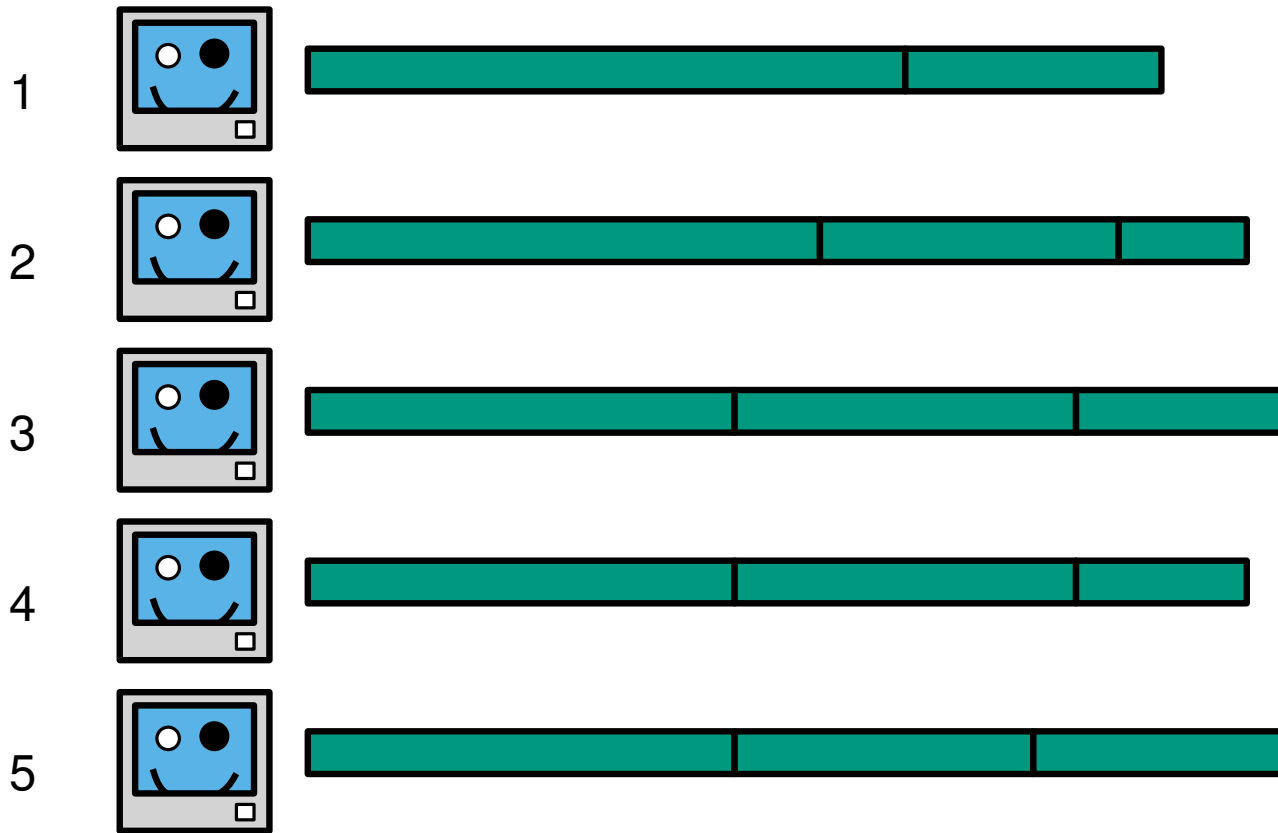


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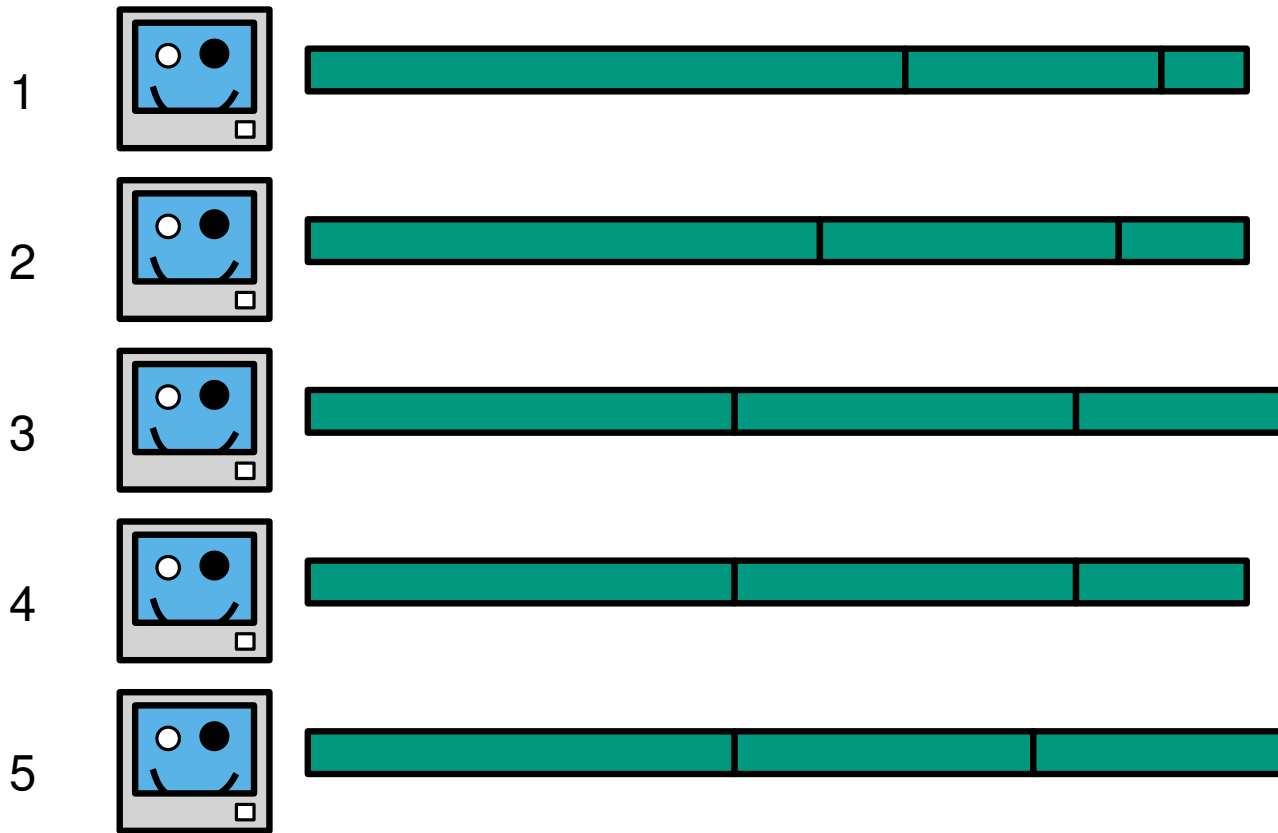


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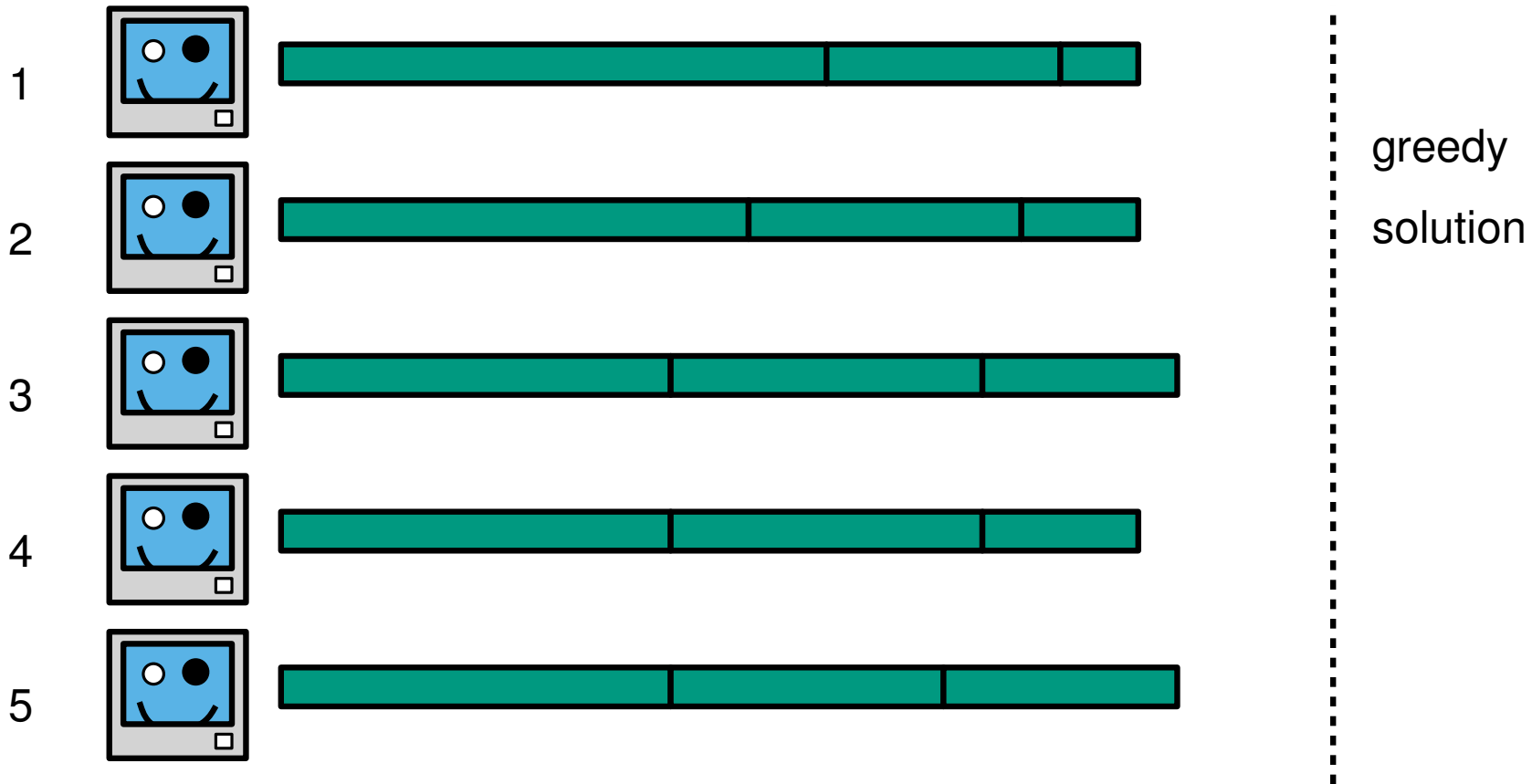
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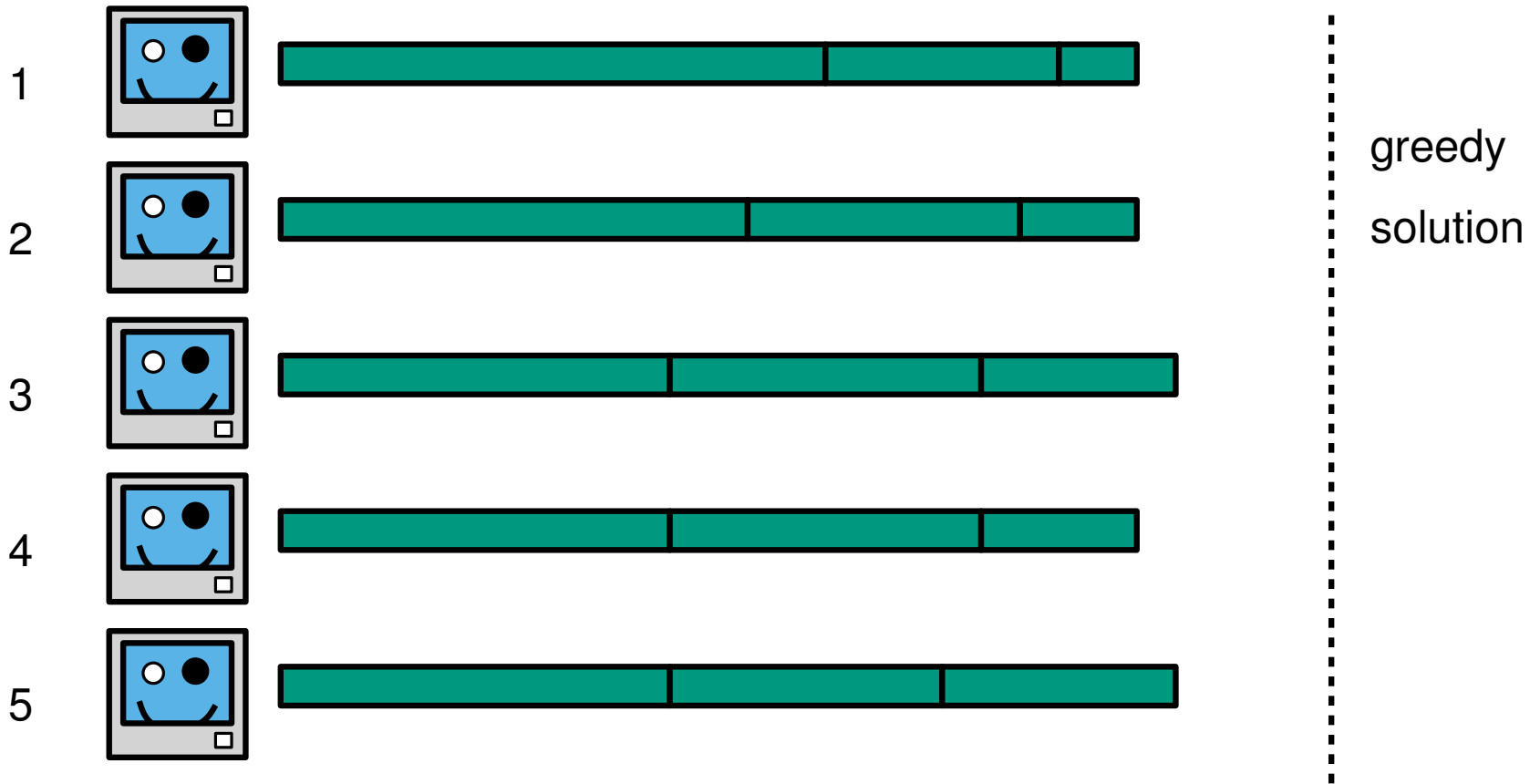
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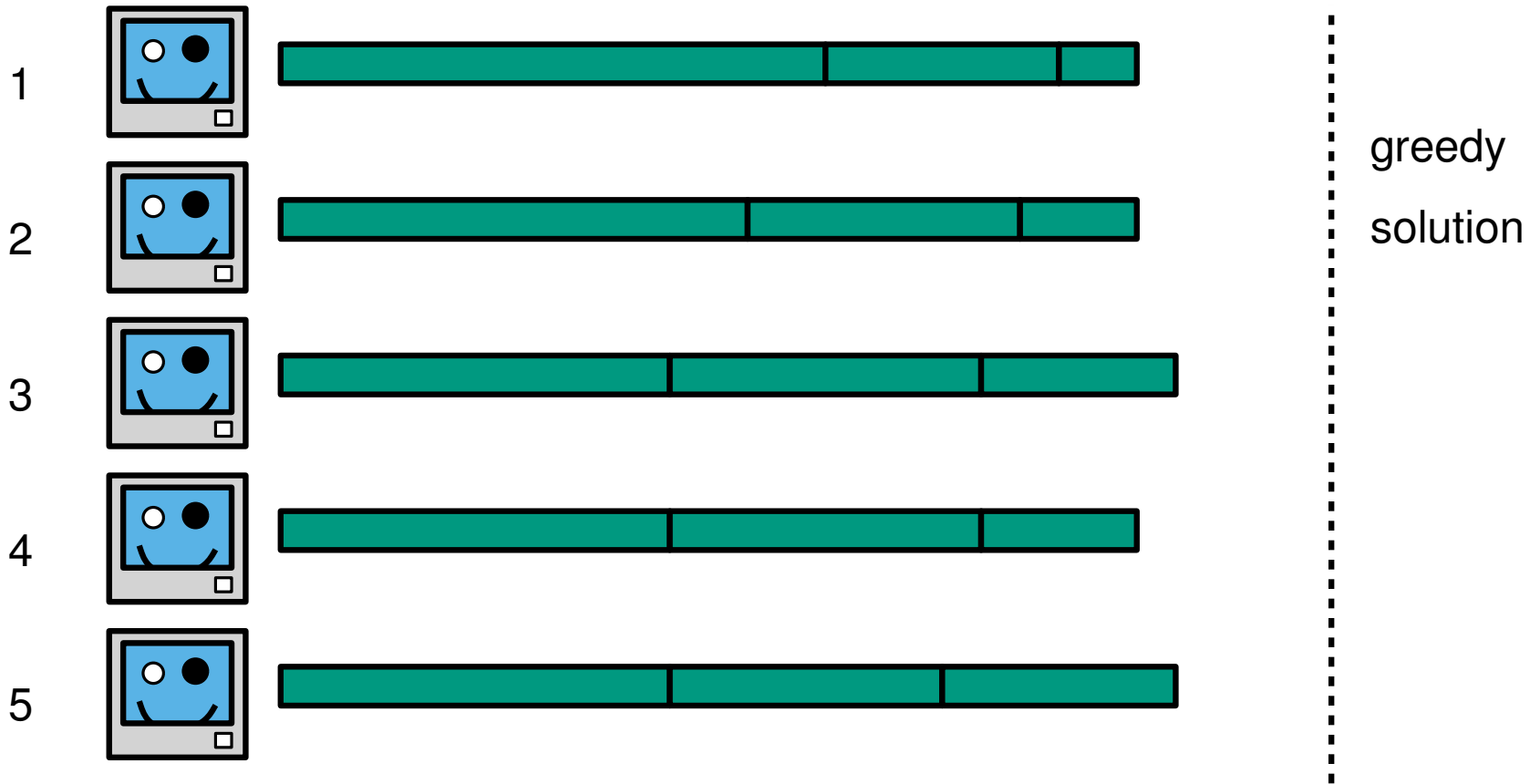
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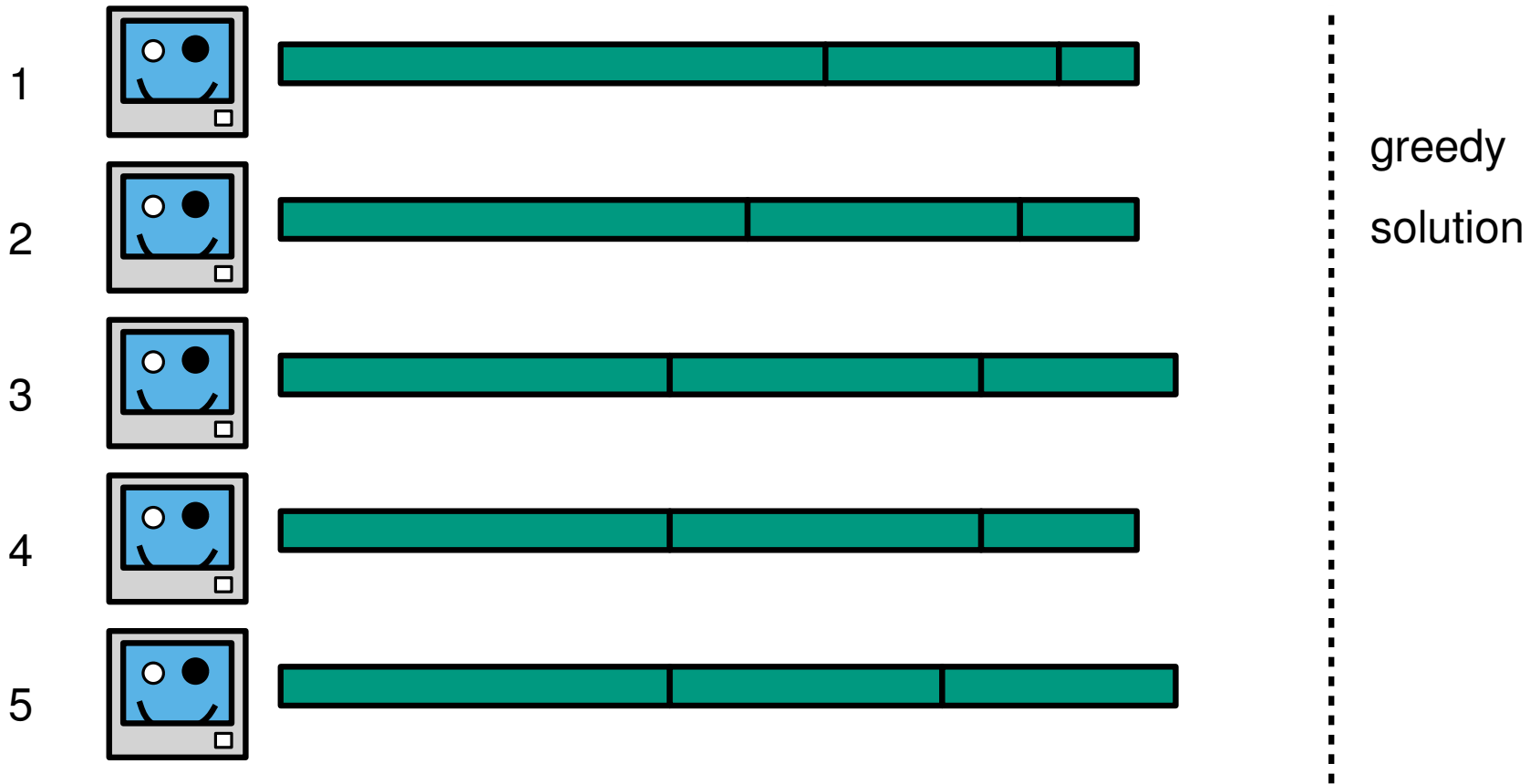
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- Let  $T_l$  denote the time taken by the LPT schedule

**Theorem** The LPT algorithm is a  $3/2$ -approximation algorithm

$L_i$  is the *load* of  
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**Proof**



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**Lemma** If  $n > m$  then  $\text{Opt} \geq 2t_{(m+1)}$  (after sorting)

Job  $j$  takes  $t_j$   
time units

**Proof**

- Note that  $t_1 \geq t_2 \geq t_3 \geq \dots t_m \geq t_{(m+1)}$

# The LPT approximation

- Let  $T_l$  denote the time taken by the LPT schedule

$L_i$  is the load of machine  $i$

**Theorem** The LPT algorithm is a  $3/2$ -approximation algorithm

- Before we prove this, we prove another useful fact and a Lemma

$m$  machines

**Fact** If there are at most  $m$  jobs ( $n \leq m$ ) then LPT is optimal

$n$  jobs

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in particular  $\text{Opt} \geq 2t_{(m+1)}$

# The LPT approximation

$L_i$  is the *load* of  
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**Theorem** The LPT algorithm is a  $3/2$ -approximation algorithm

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$m$  machines  
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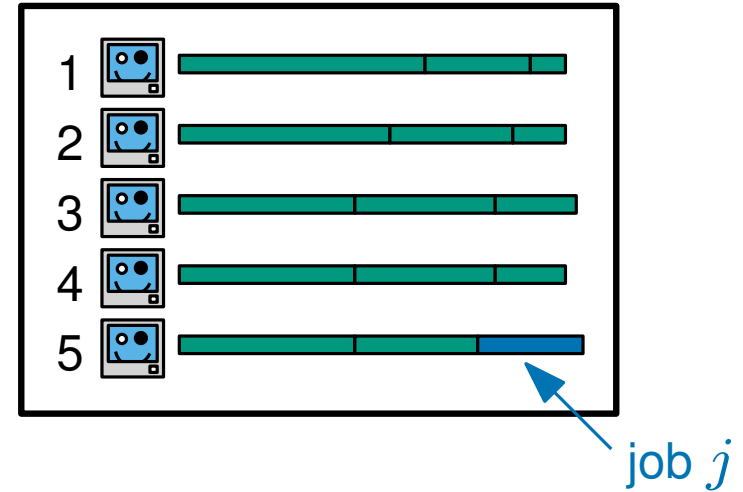
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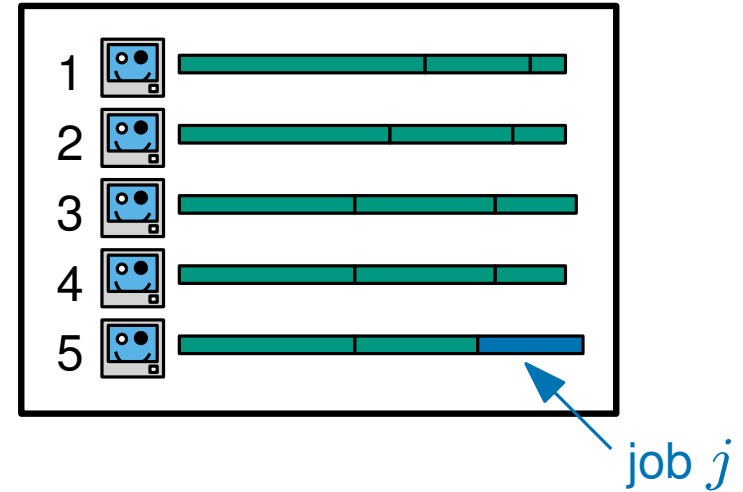
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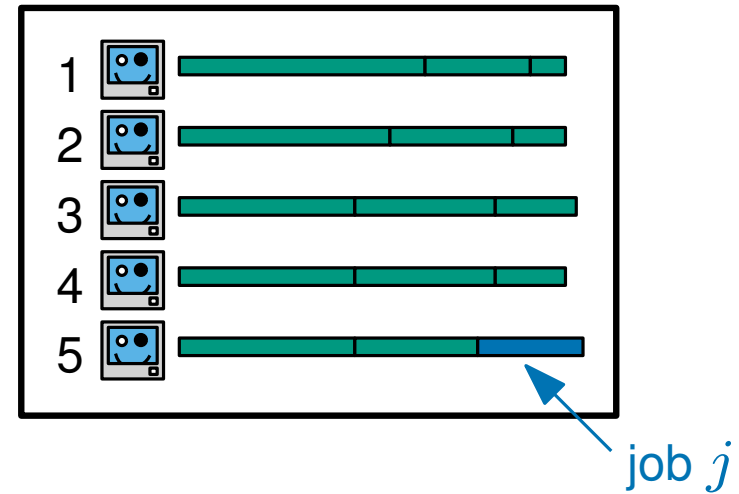
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$m$  machines  
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# The LPT approximation

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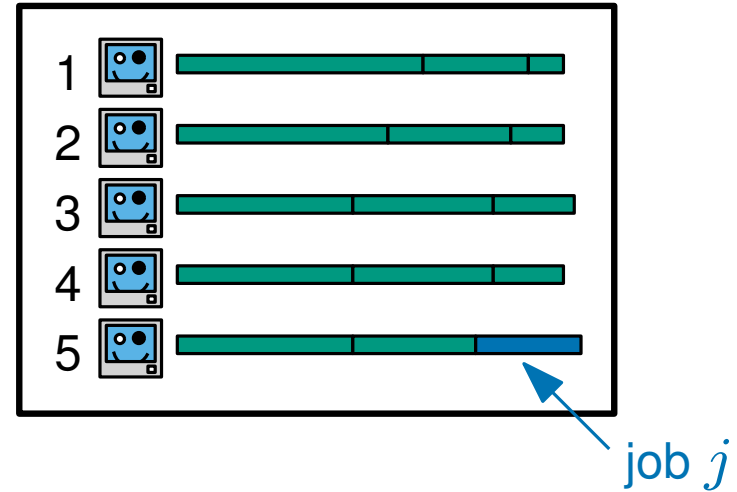
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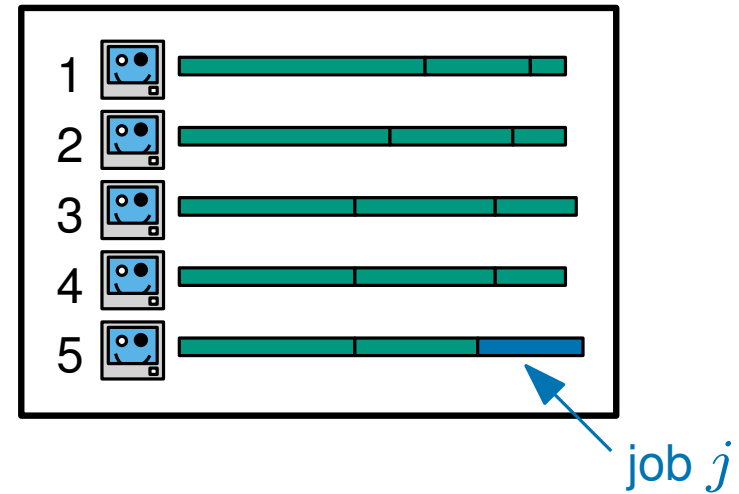
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because LPT is optimal in this case



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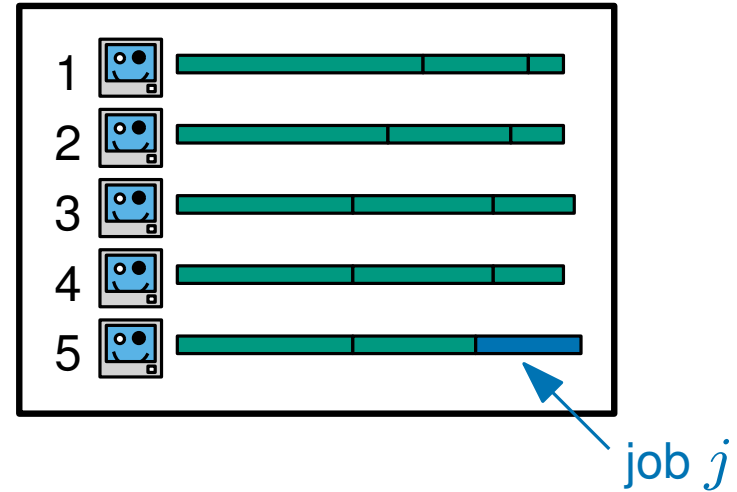
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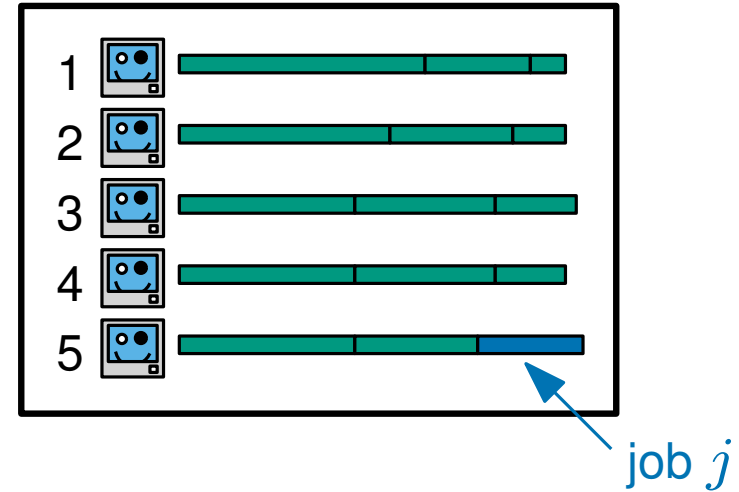
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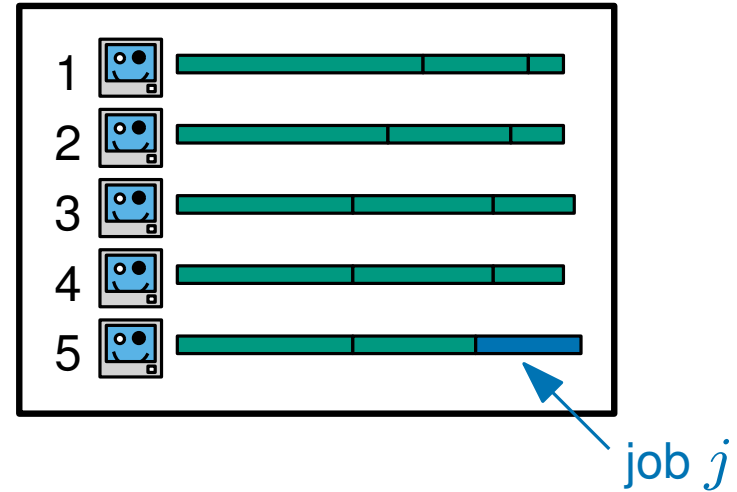
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**Fact**  $\text{Opt} \geq \max_j t_j$



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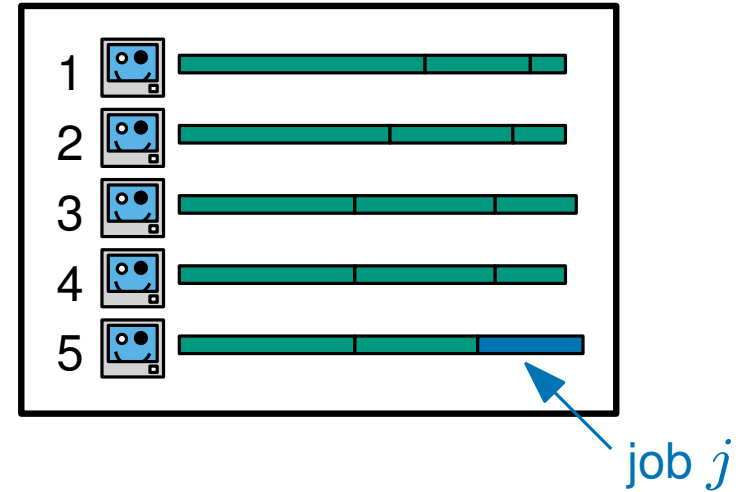
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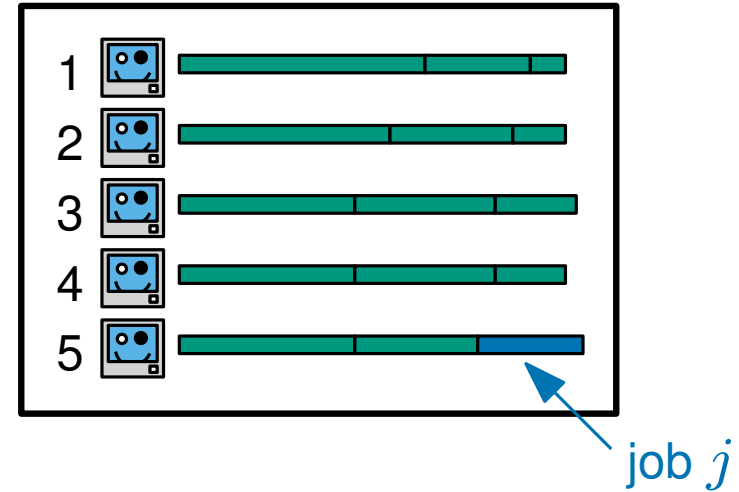
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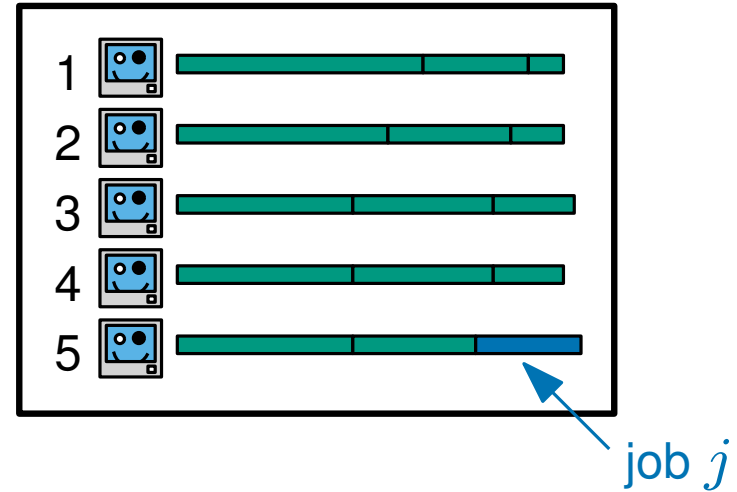
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- Therefore machine  $i$  was assigned at least **two jobs**



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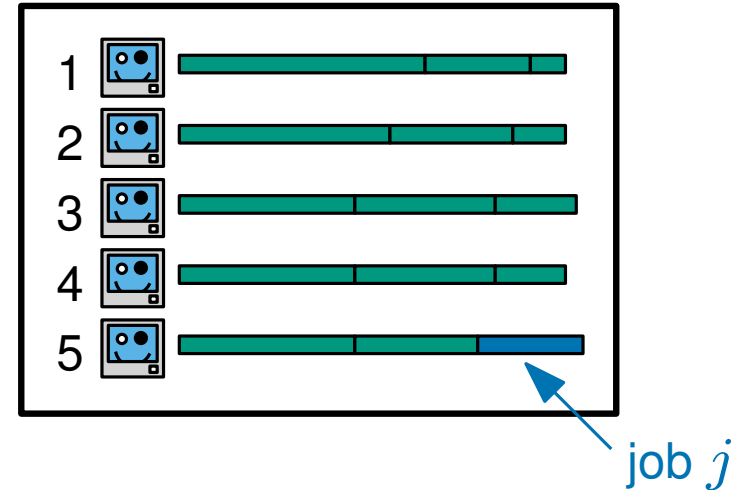
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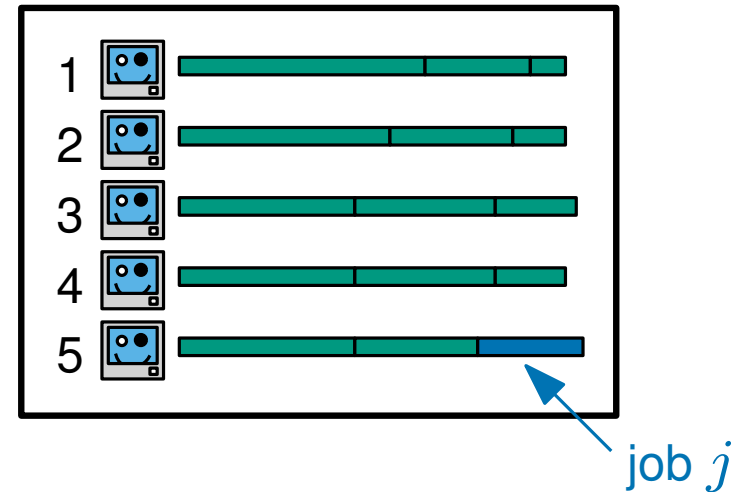
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it doesn't assign a second job to any machine until every machine has at least one job



$m$  machines  
 $n$  jobs

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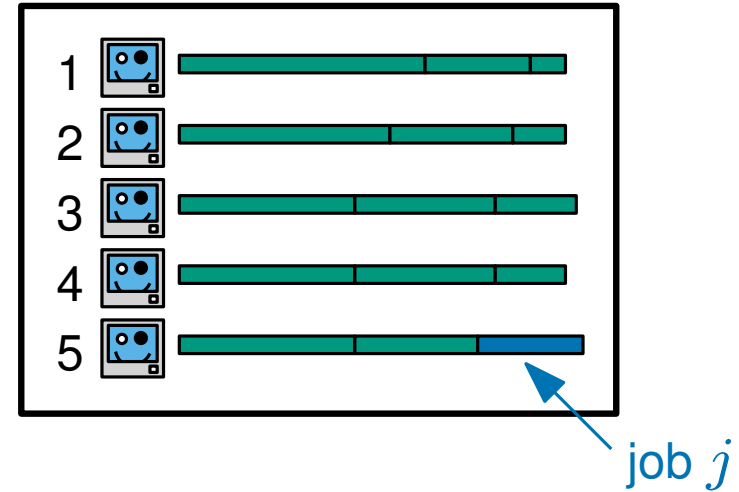
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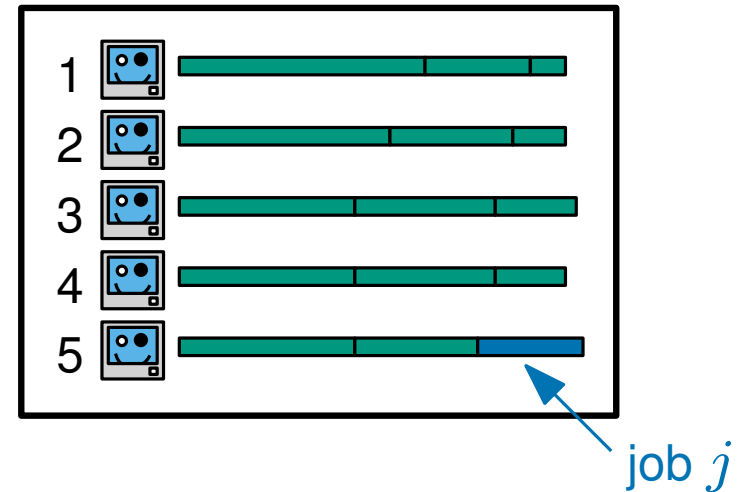
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By the algorithm description, we have that  $j \geq m + 1$

$$t_j \leq t_{m+1} \leq \text{Opt}/2 \text{ (by the Lemma)}$$



$m$  machines  
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# The LPT approximation

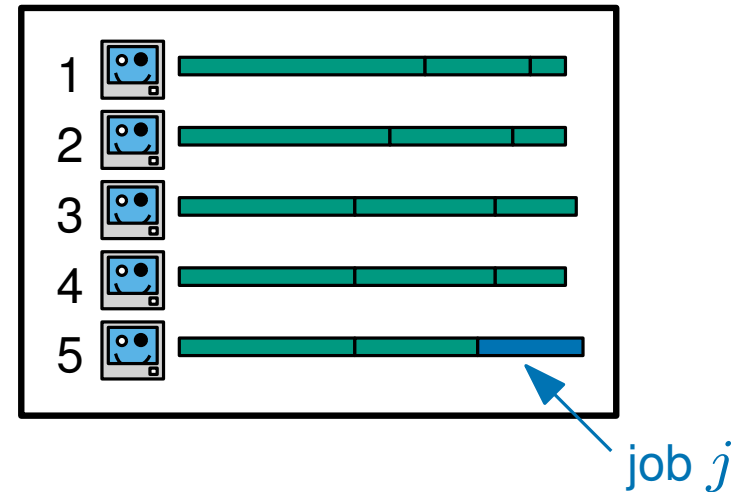
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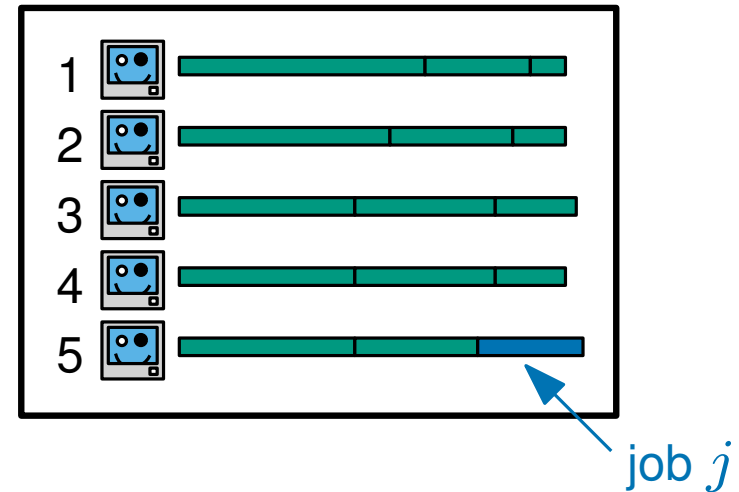
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$m$  machines  
 $n$  jobs

Job  $j$  takes  $t_j$   
time units



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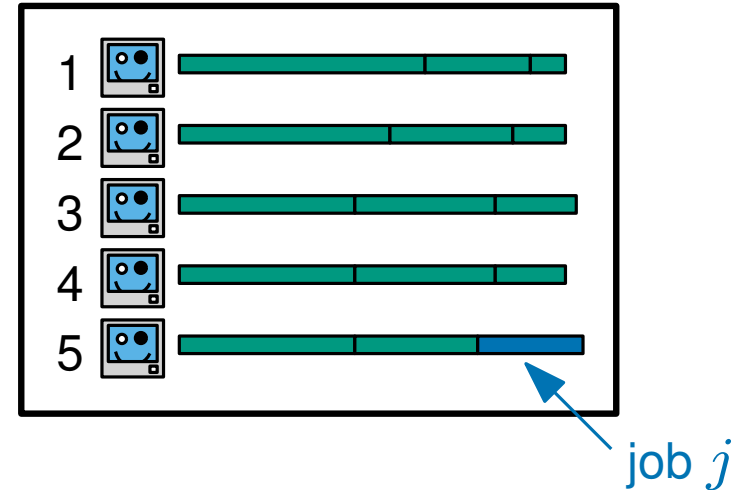
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Job  $j$  takes  $t_j$   
time units

Therefore,  $T_l = L_i = (L_i - t_j) + t_j \leq \text{Opt} + \text{Opt}/2 = (3/2) \cdot \text{Opt}$

# Scheduling conclusions

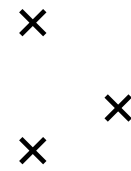
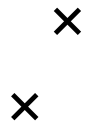
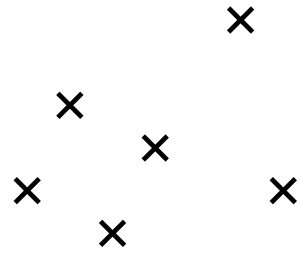
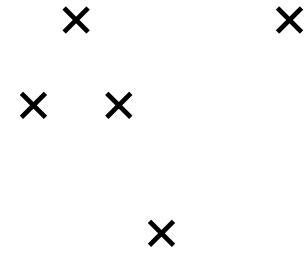
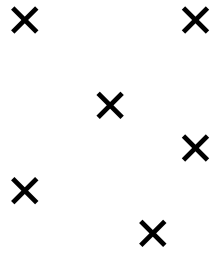
$m$  machines  
 $n$  jobs

**Theorem** The greedy algorithm is a  $2$ -approximation algorithm  
which runs in  $O(n \log m)$  time and it's online

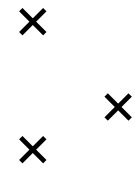
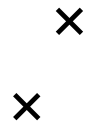
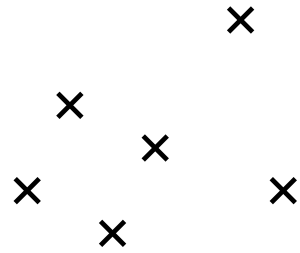
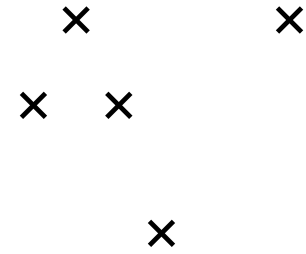
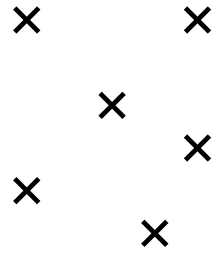
**Theorem** The LPT algorithm is a  $3/2$ -approximation algorithm  
which runs in  $O(n \log n)$  time

In fact, LPT is a  $4/3$ -approximation algorithm (using better analysis)

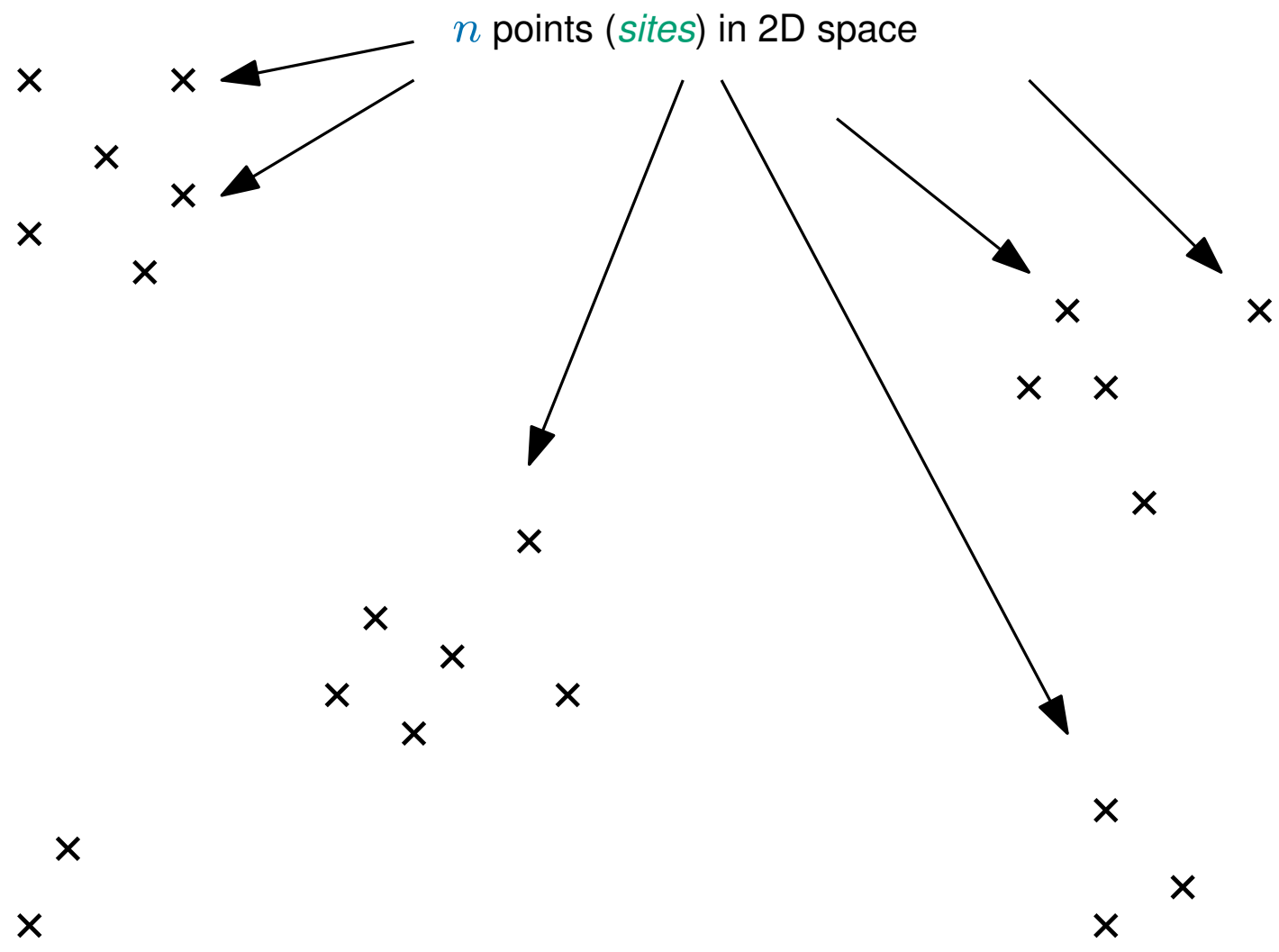
# $k$ -centers



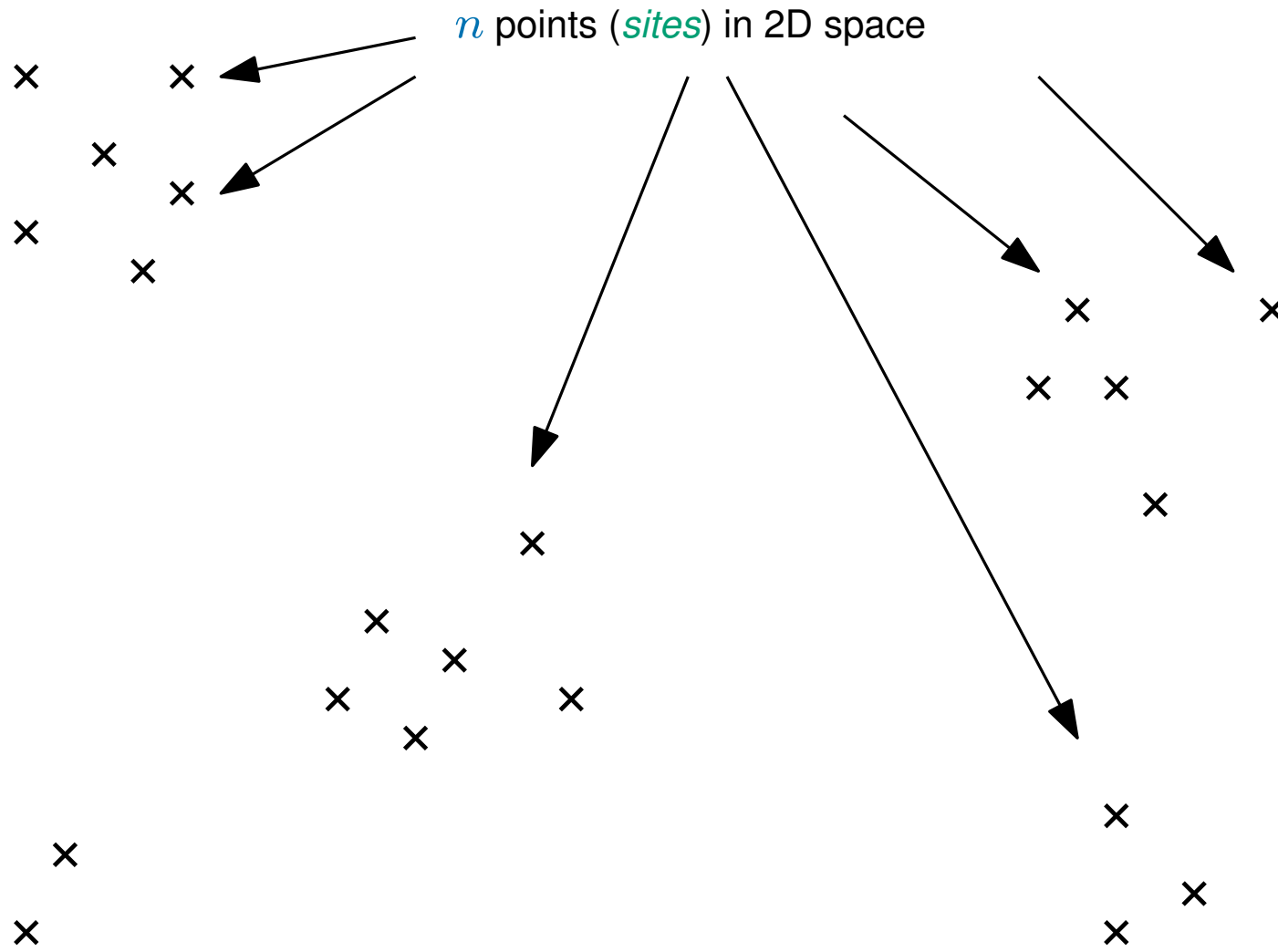
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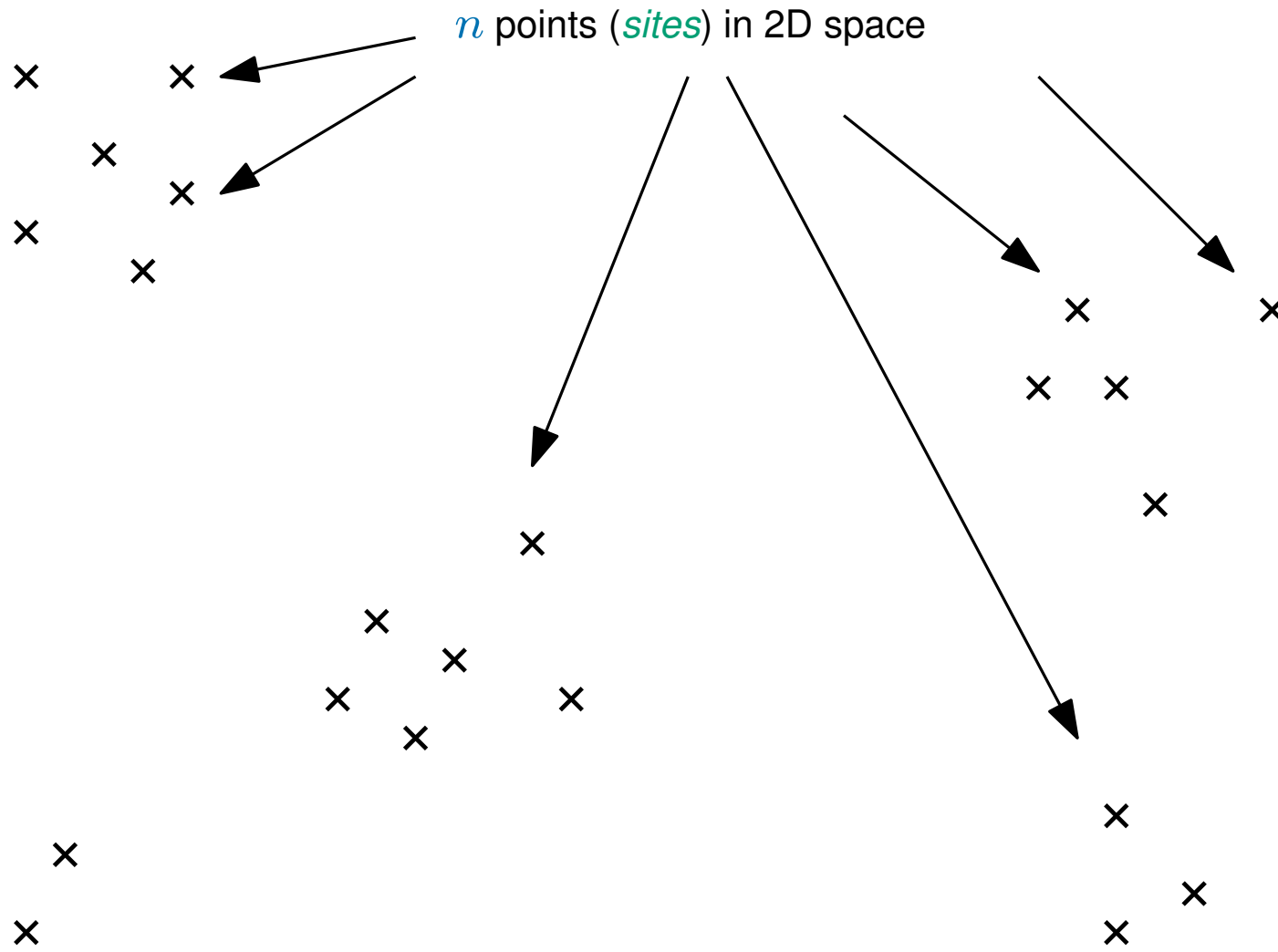


# $k$ -centers



The distance between points  $s_i, s_j$  is  $\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$

# $k$ -centers

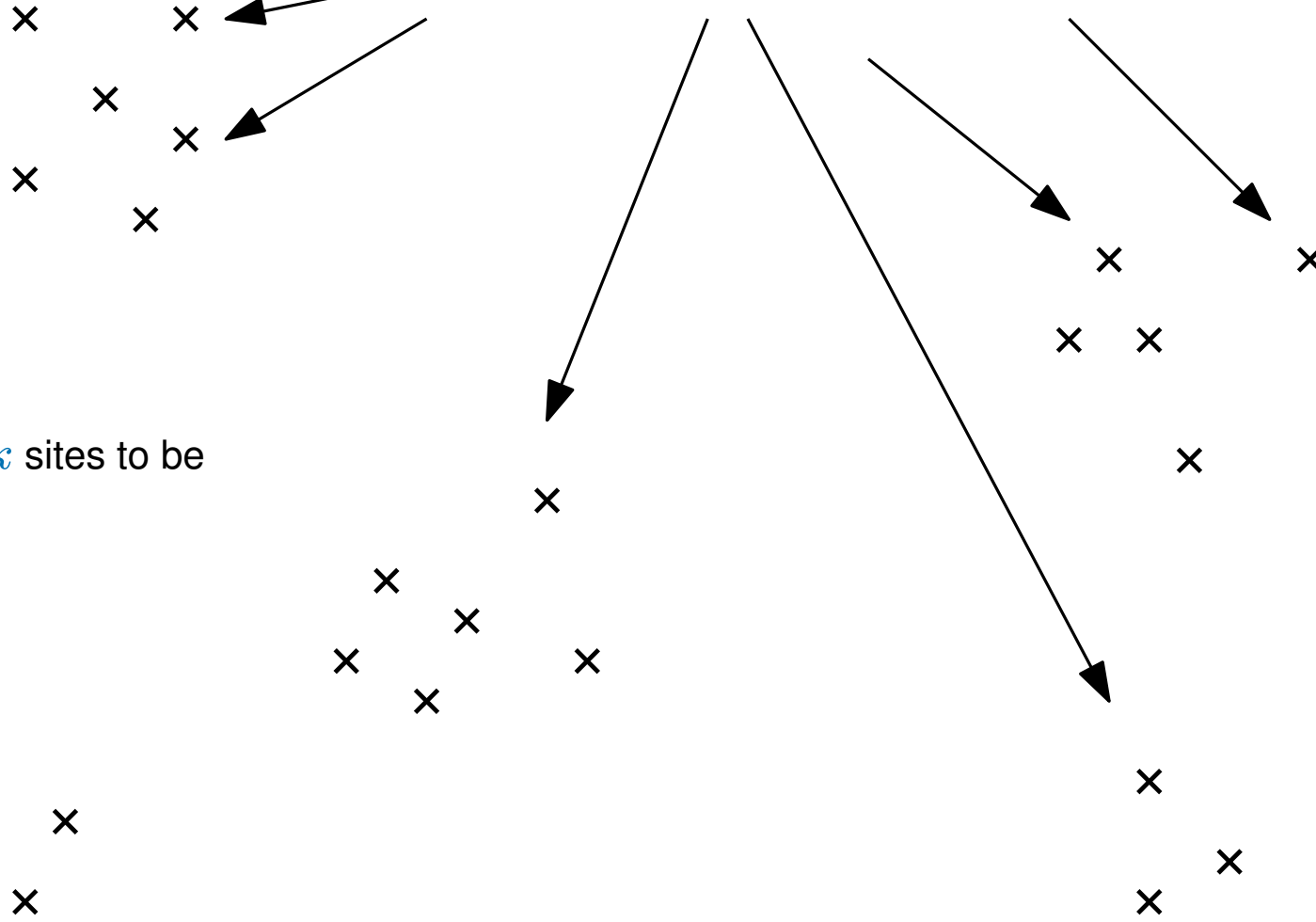


The distance between points  $s_i, s_j$  is  $\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$

(i.e. 'normal' euclidean distance)

# $k$ -centers

$n$  points (*sites*) in 2D space



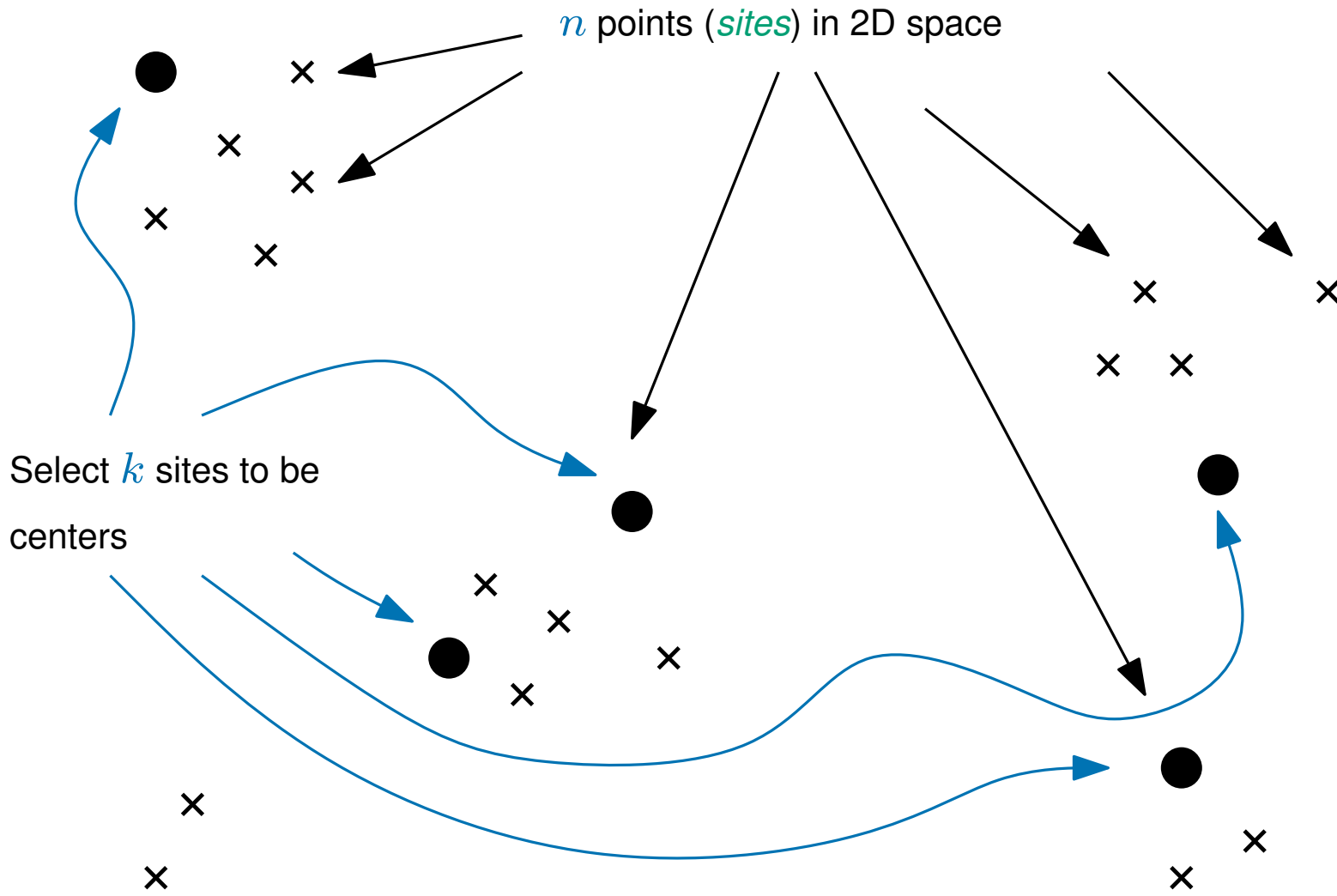
Select  $k$  sites to be centers

The distance between points  $s_i, s_j$  is  $\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$

(i.e. 'normal' euclidean distance)



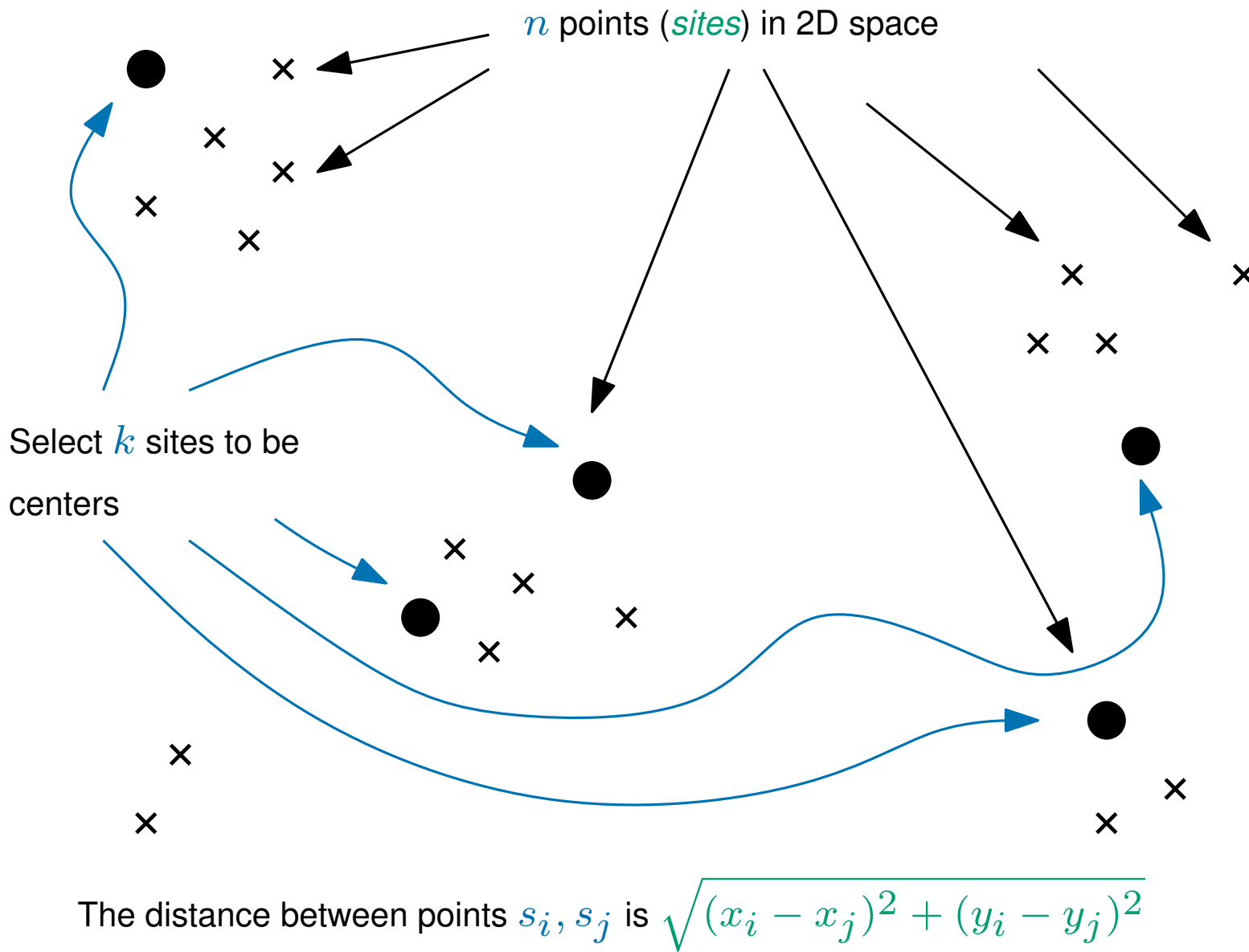
# $k$ -centers



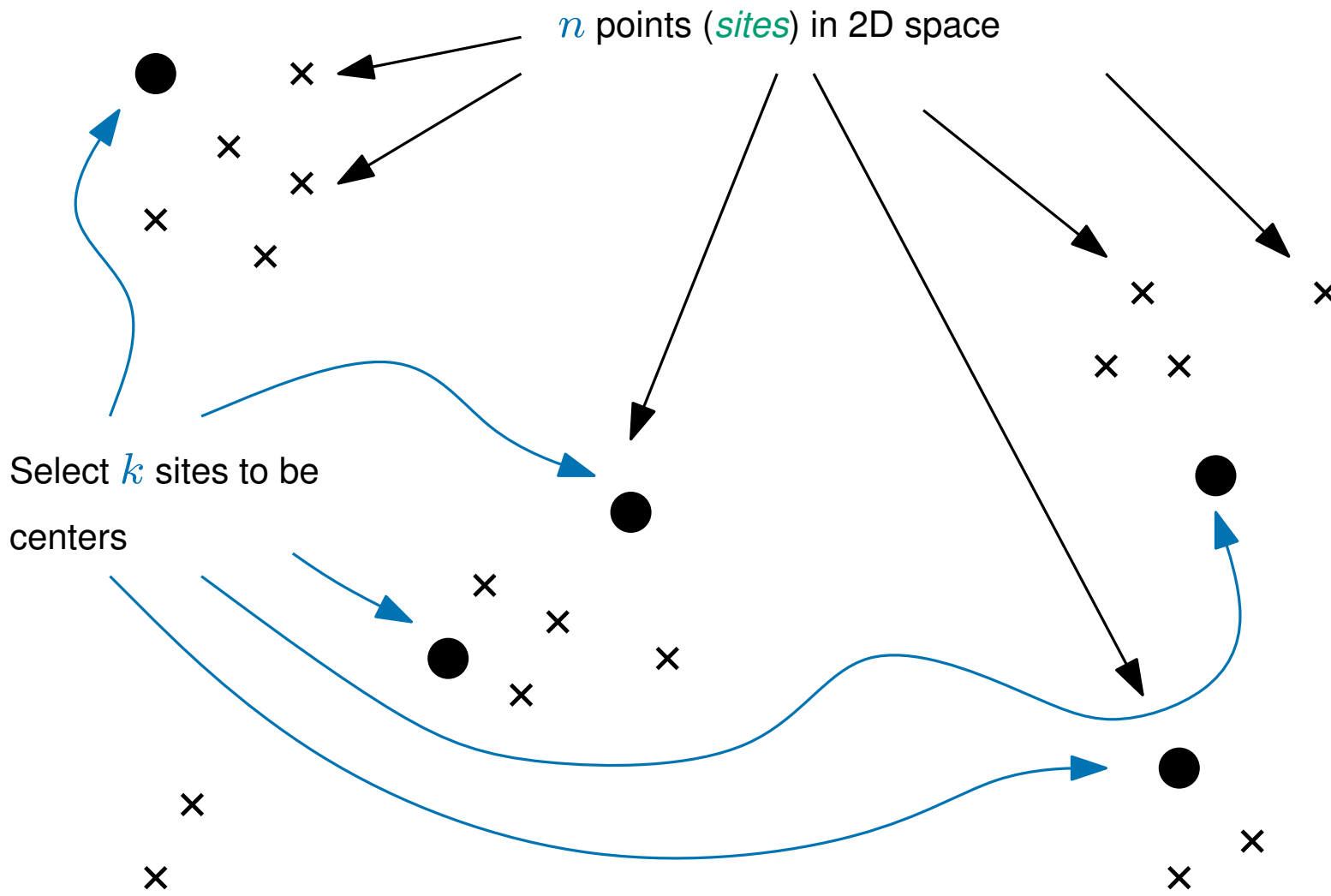
The distance between points  $s_i, s_j$  is  $\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$

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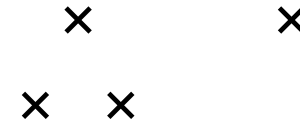
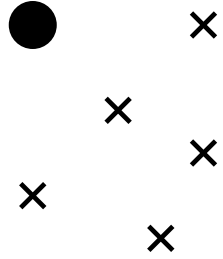


The distance between points  $s_i, s_j$  is  $\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$

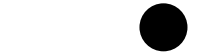
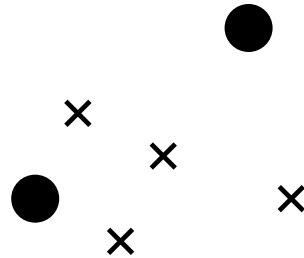
**Goal** Minimise the largest distance from any site to the closest center

# $k$ -centers

$n$  points (*sites*) in 2D space



Select  $k$  sites to be centers



The distance between points  $s_i, s_j$  is  $\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$

**Goal** Minimise the largest distance from any site to the closest center

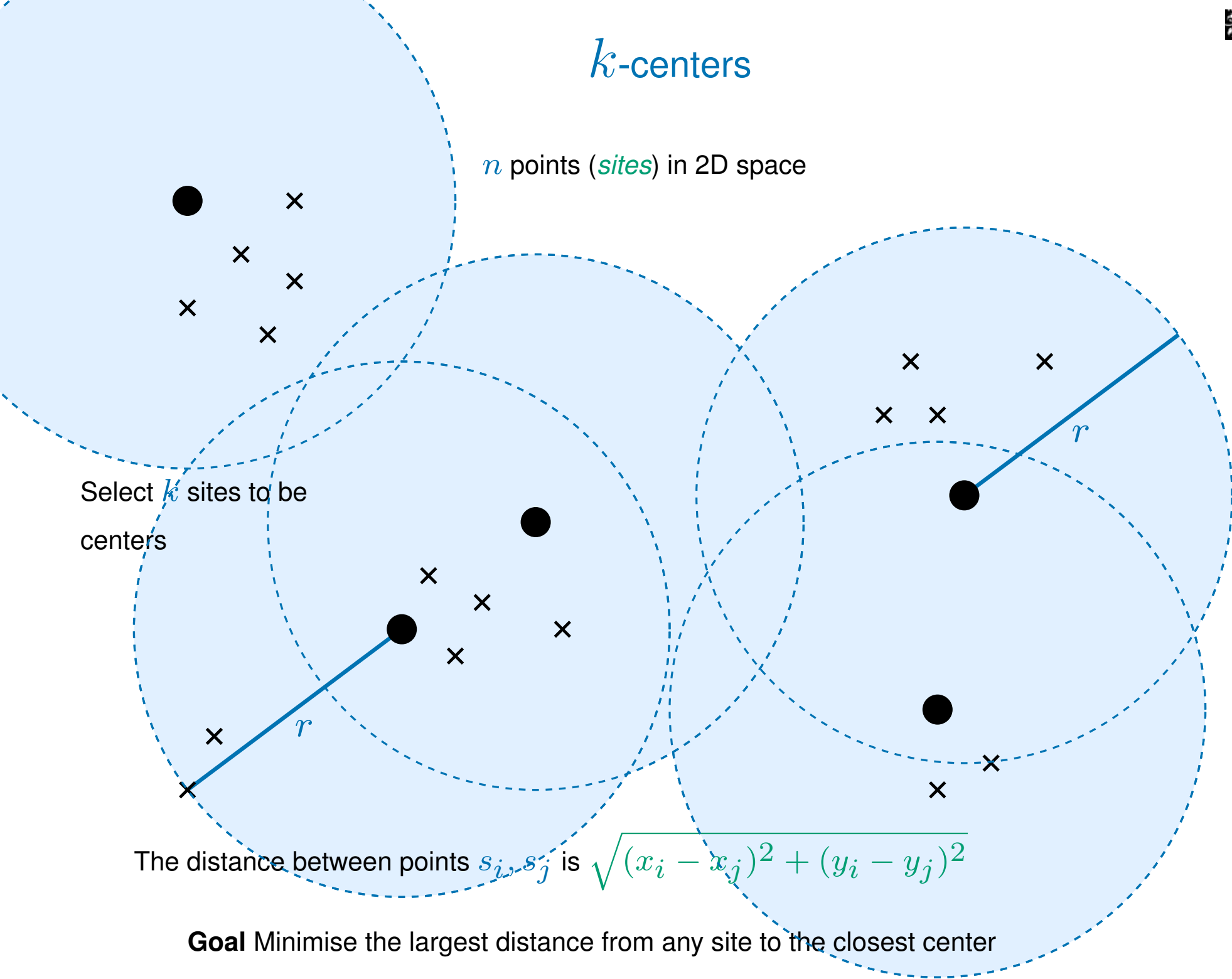
# $k$ -centers

$n$  points (*sites*) in 2D space

Select  $k$  sites to be centers

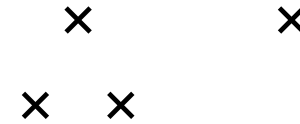
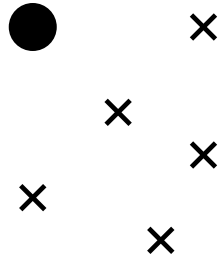
The distance between points  $s_i, s_j$  is  $\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$

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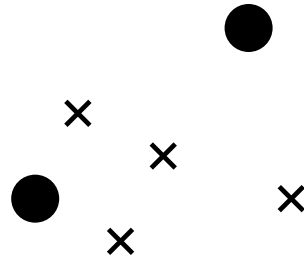


# $k$ -centers

$n$  points (*sites*) in 2D space



Select  $k$  sites to be centers

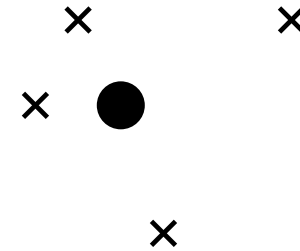
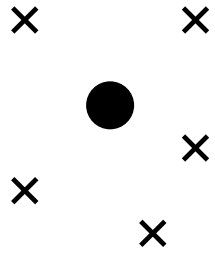


The distance between points  $s_i, s_j$  is  $\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$

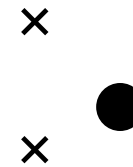
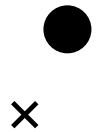
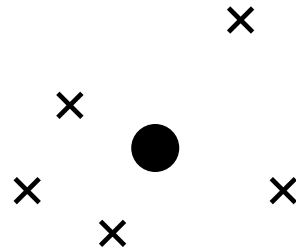
**Goal** Minimise the largest distance from any site to the closest center

# $k$ -centers

$n$  points (*sites*) in 2D space



Select  $k$  sites to be centers

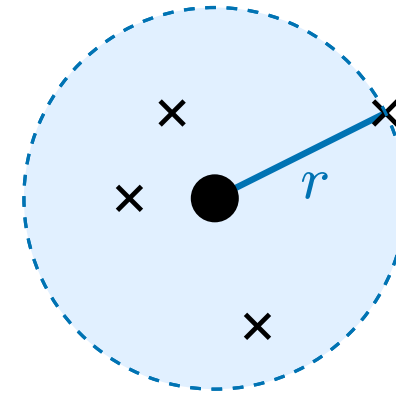
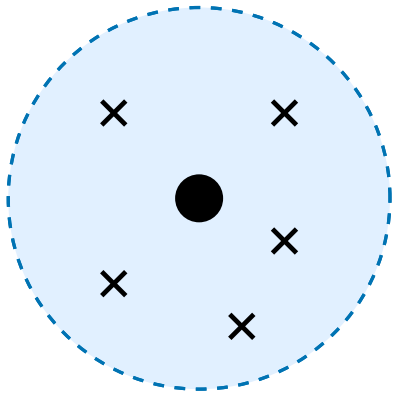


The distance between points  $s_i, s_j$  is  $\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$

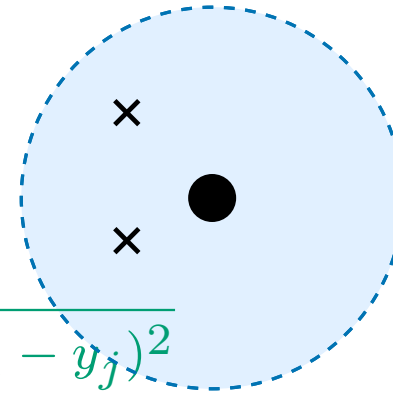
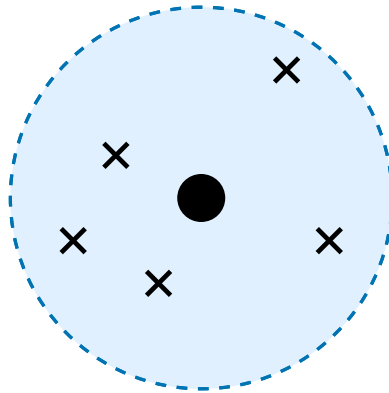
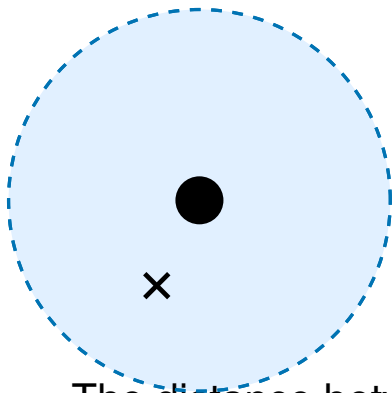
**Goal** Minimise the largest distance from any site to the closest center

# $k$ -centers

$n$  points (*sites*) in 2D space



Select  $k$  sites to be centers



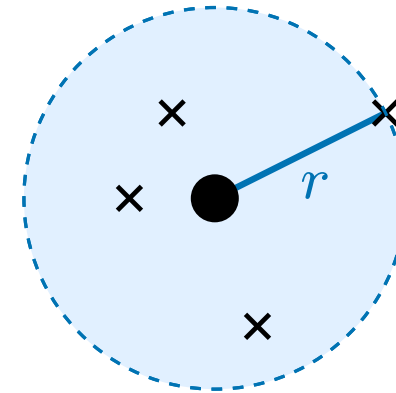
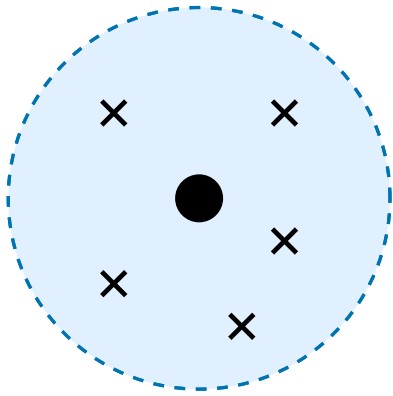
The distance between points  $s_i, s_j$  is  $\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$

**Goal** Minimise the largest distance from any site to the closest center



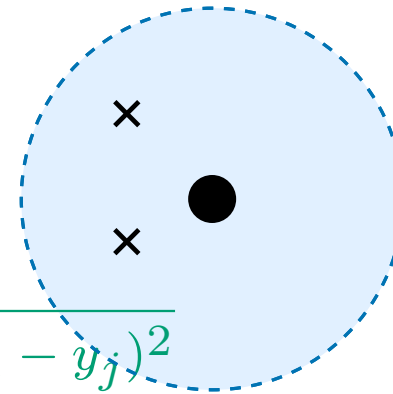
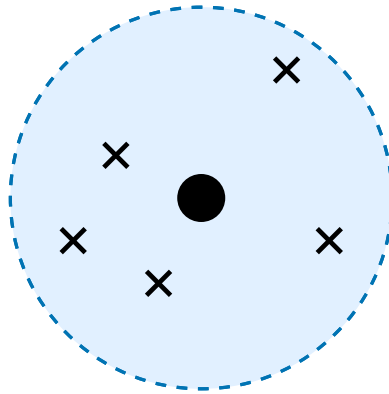
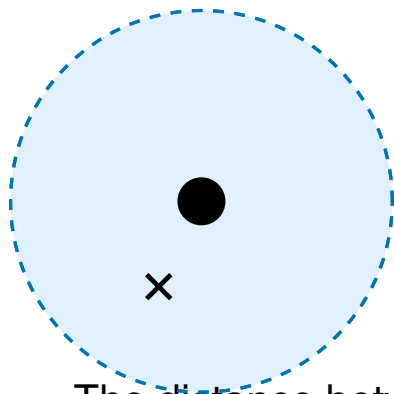
# $k$ -centers

$n$  points (*sites*) in 2D space



Select  $k$  sites to be centers

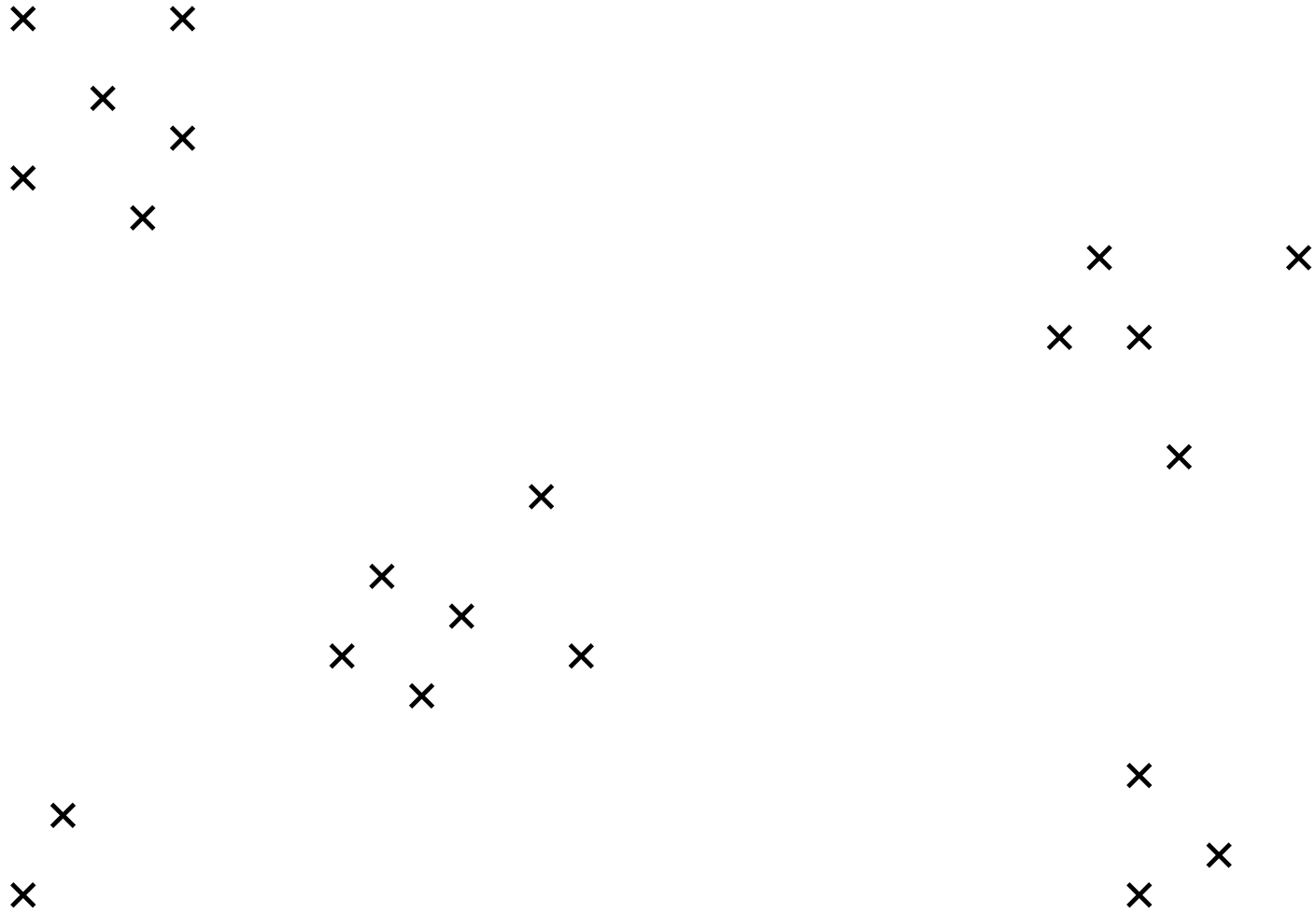
(in general it's NP-hard)



The distance between points  $s_i, s_j$  is  $\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$

**Goal** Minimise the largest distance from any site to the closest center

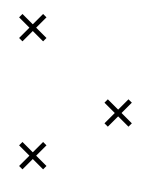
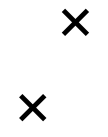
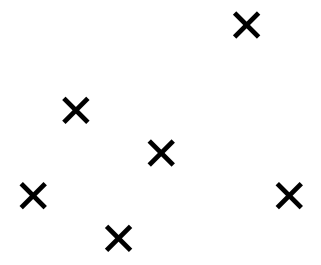
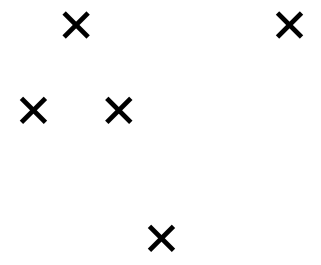
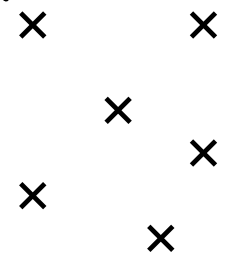
# A Greedy approximation



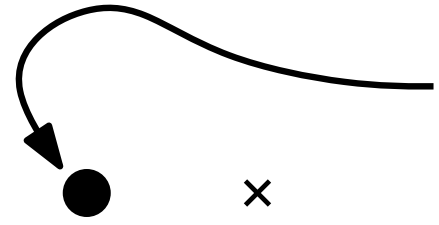
# A Greedy approximation



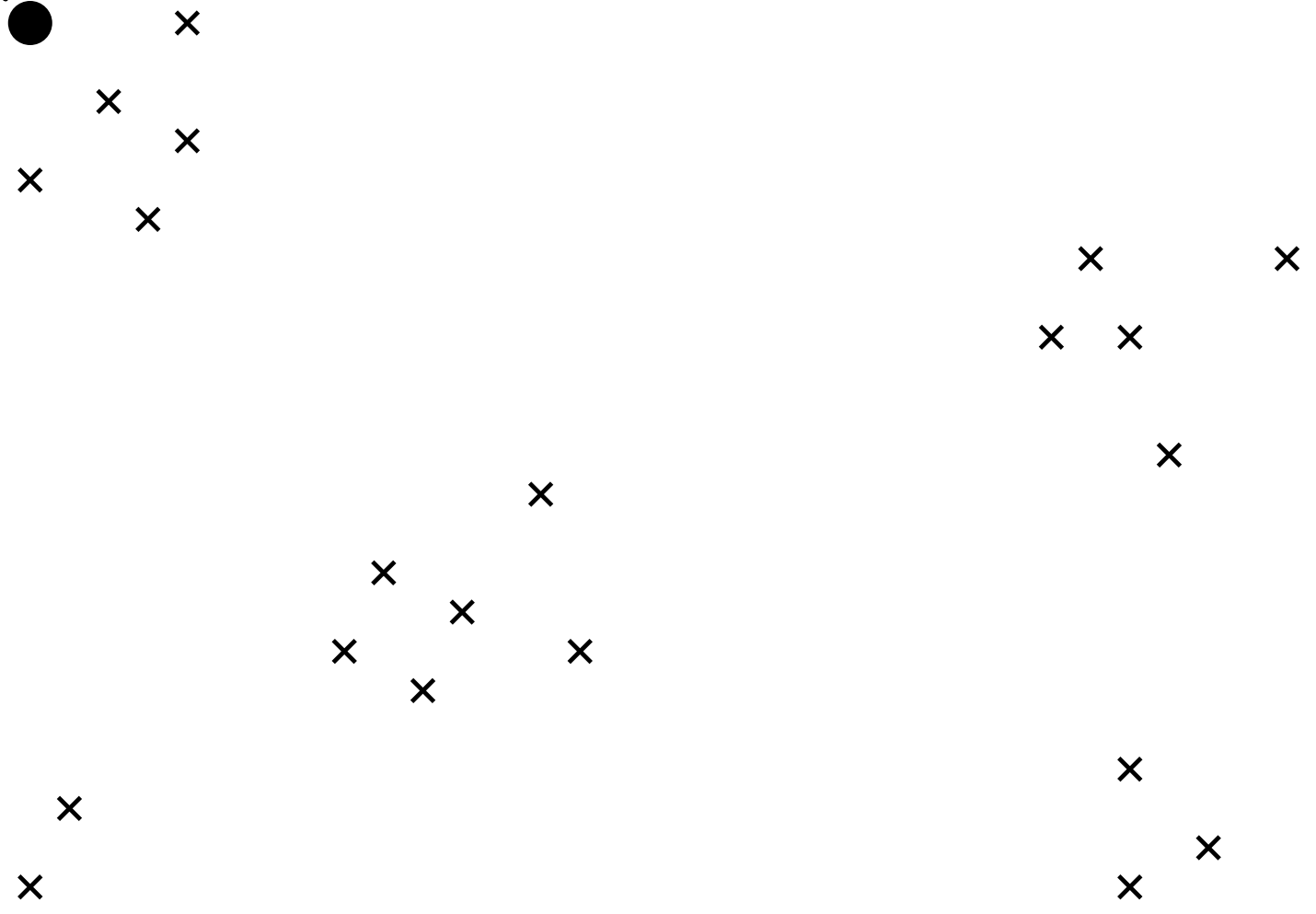
Start by picking any point to be a center



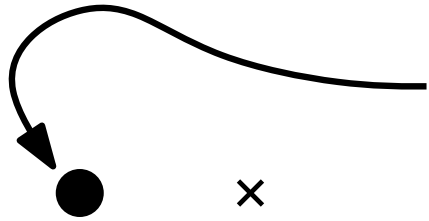
# A Greedy approximation



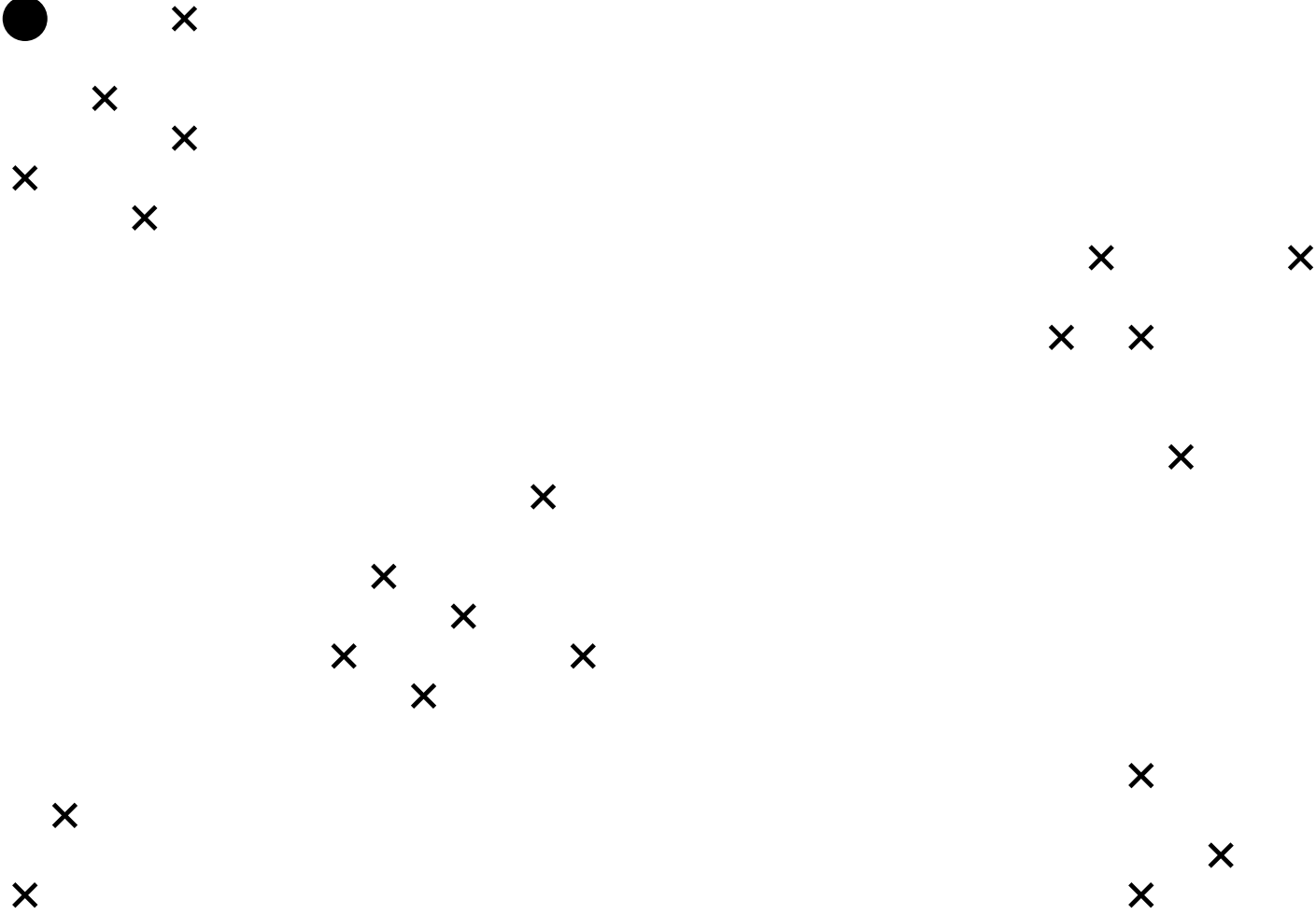
Start by picking any point to be a center



# A Greedy approximation



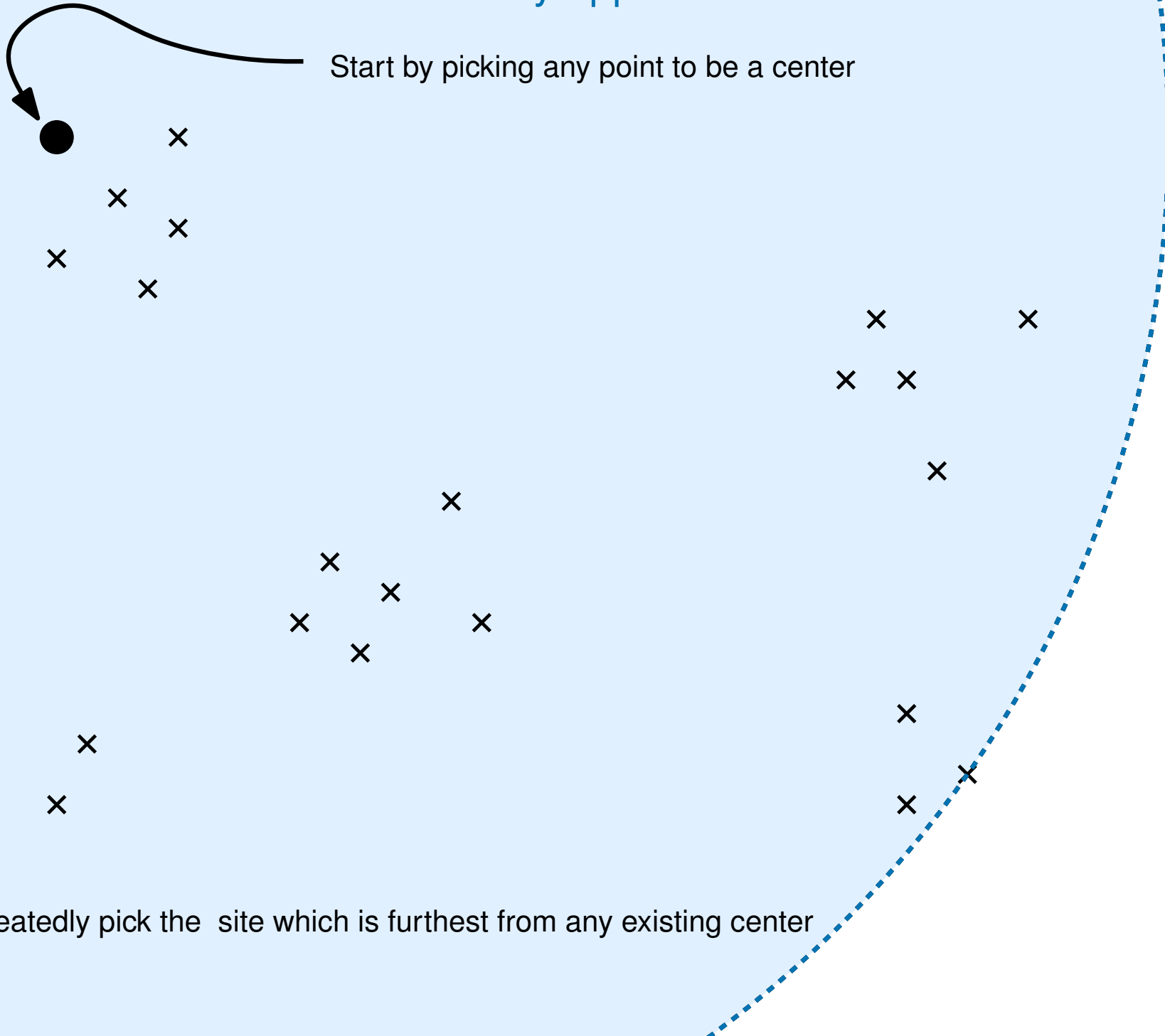
Start by picking any point to be a center



Repeatedly pick the site which is furthest from any existing center

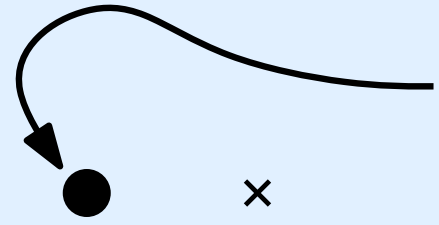
## A Greedy approximation

Start by picking any point to be a center

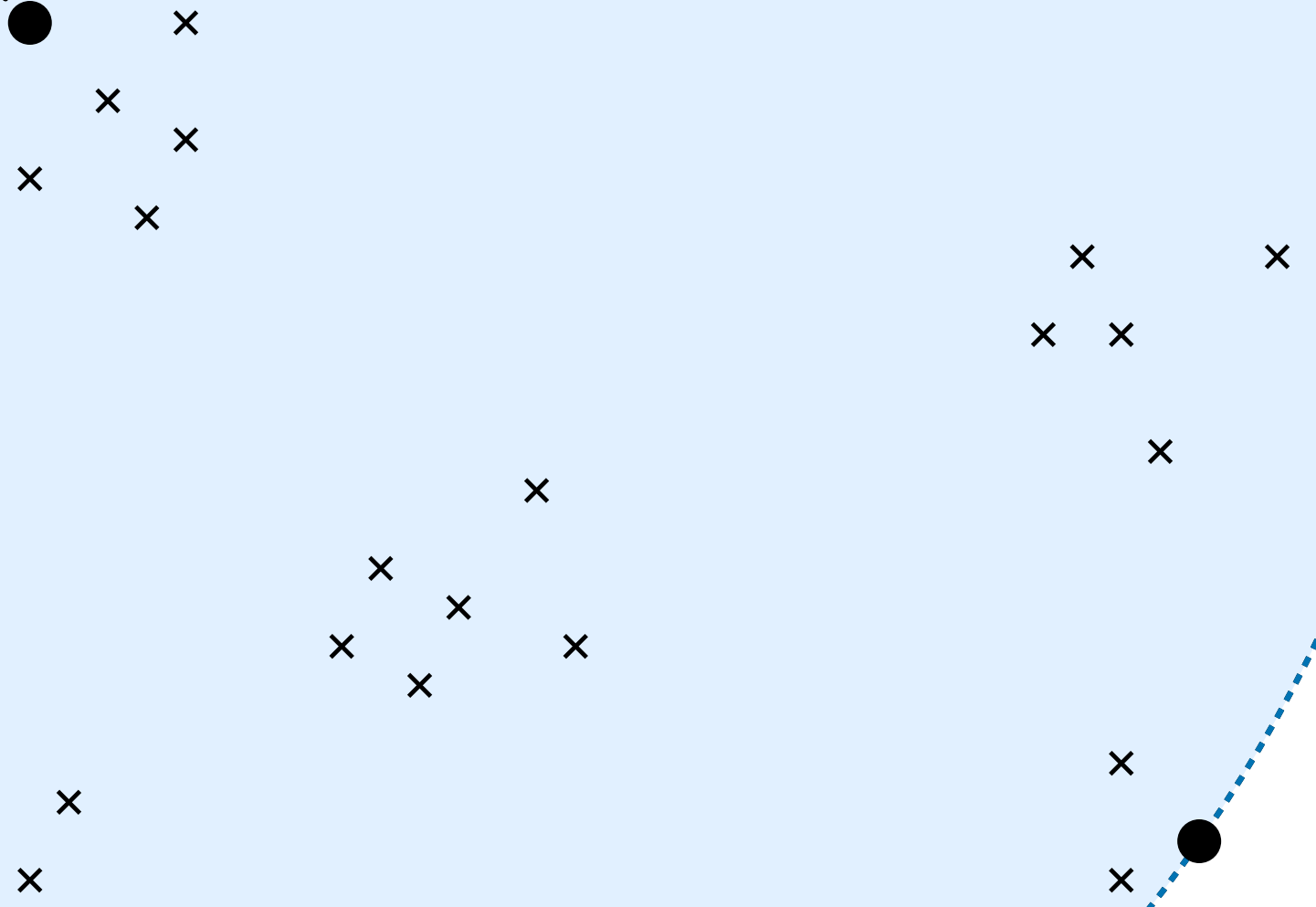


Repeatedly pick the site which is furthest from any existing center

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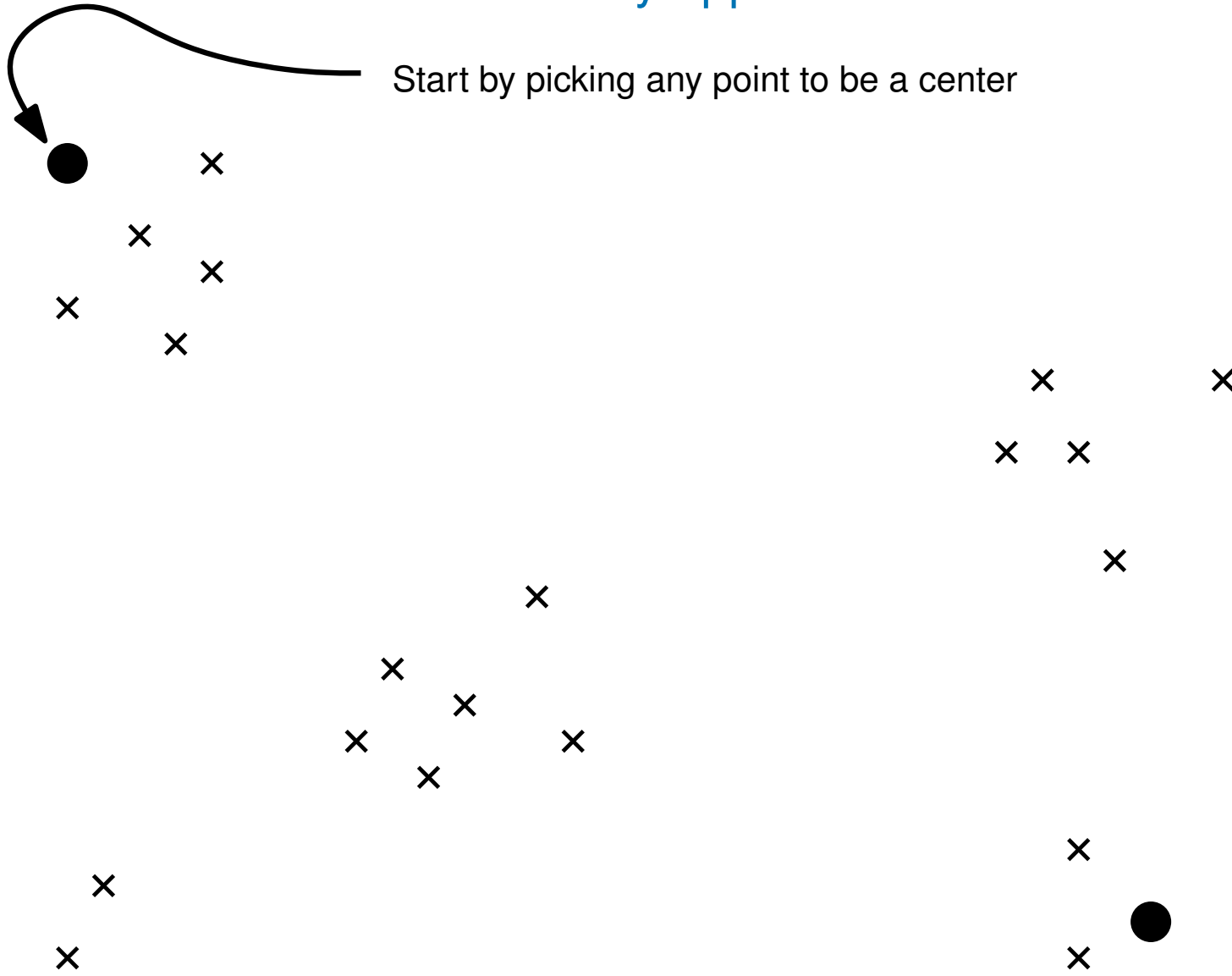


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Repeatedly pick the site which is furthest from any existing center

# A Greedy approximation

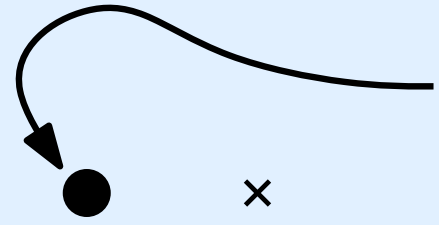


Start by picking any point to be a center

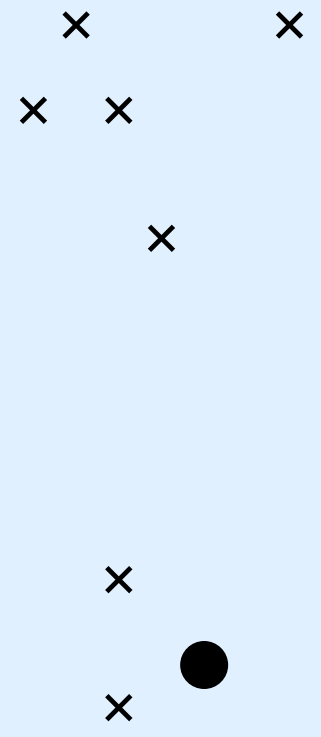
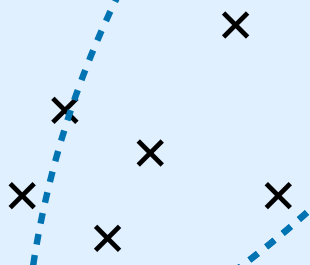
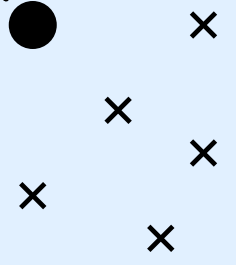
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# A Greedy approximation

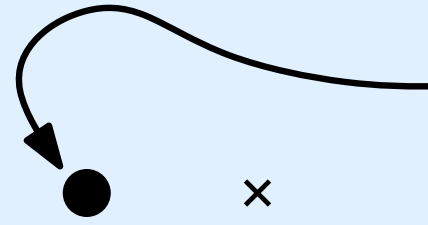


Start by picking any point to be a center

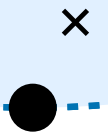
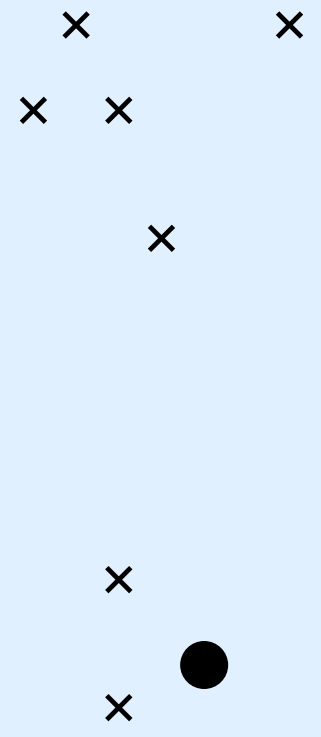
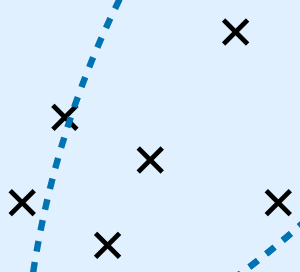
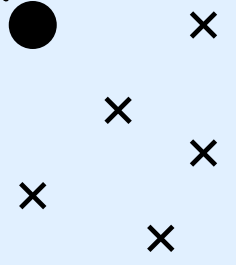


Repeatedly pick the site which is furthest from any existing center

# A Greedy approximation

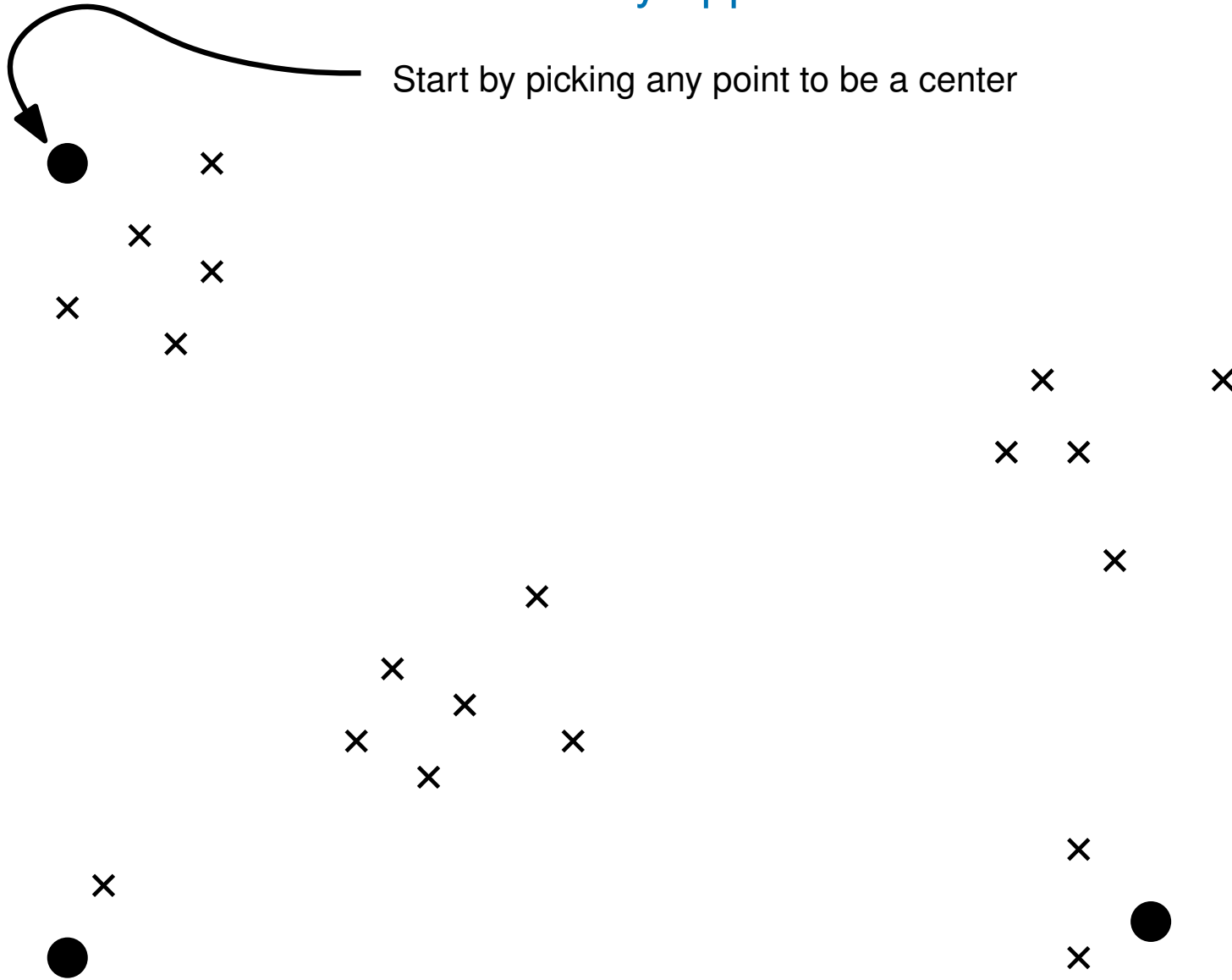


Start by picking any point to be a center



Repeatedly pick the site which is furthest from any existing center

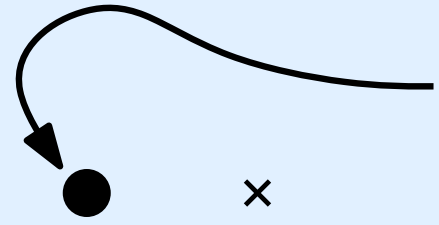
# A Greedy approximation



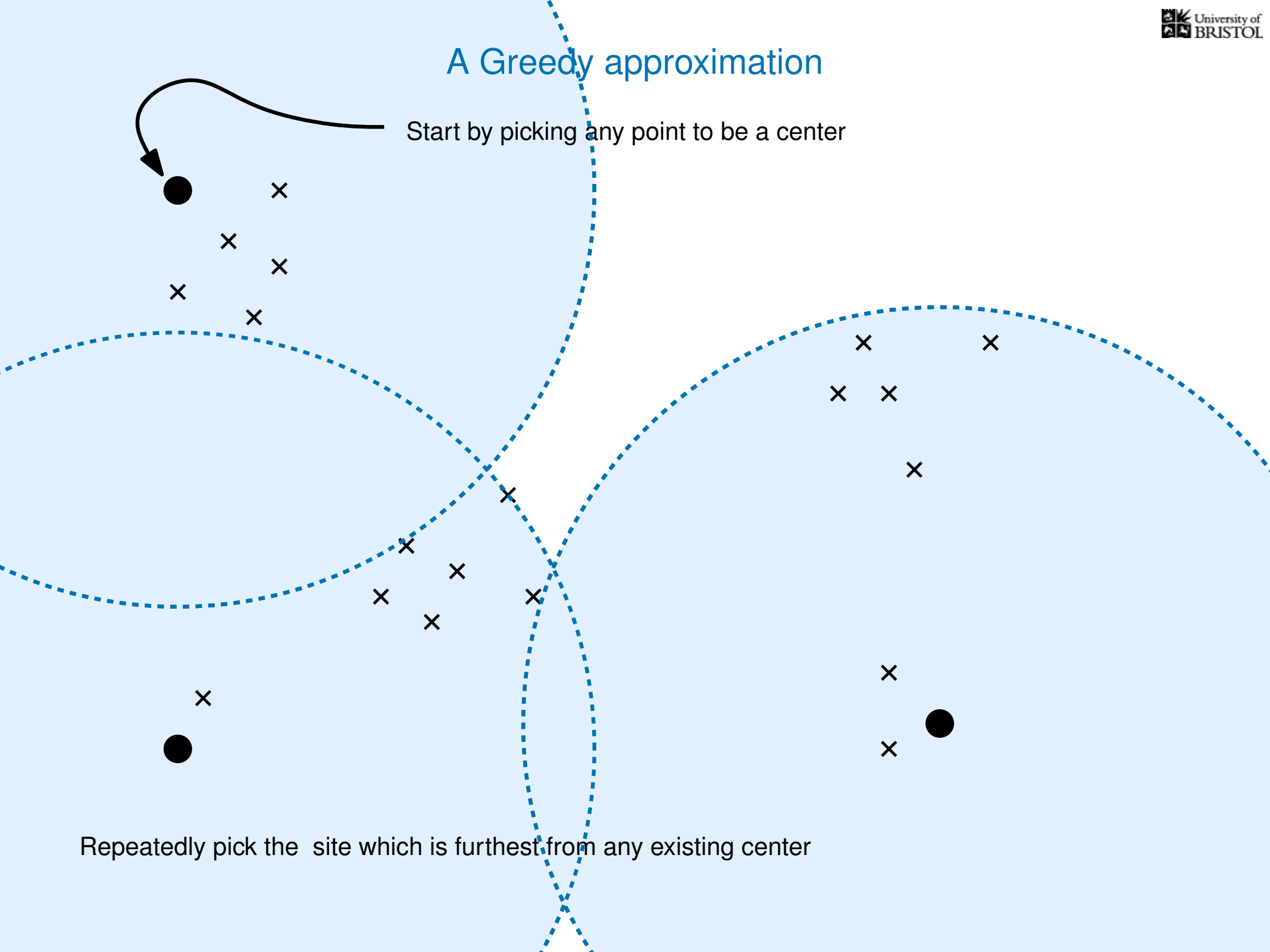
Start by picking any point to be a center

Repeatedly pick the site which is furthest from any existing center

# A Greedy approximation

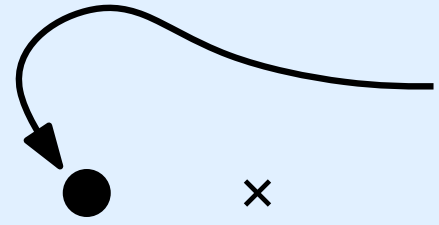


Start by picking any point to be a center

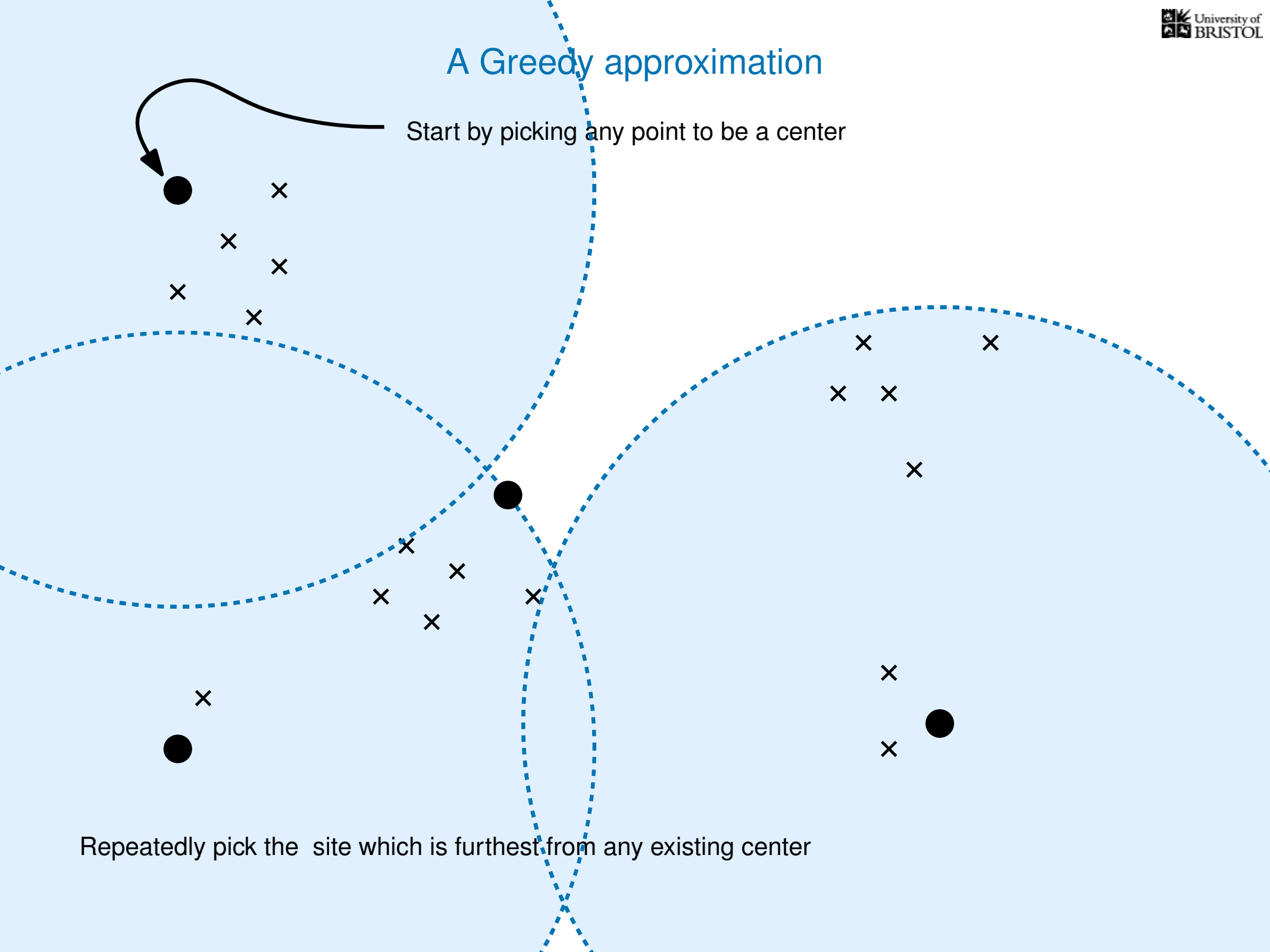


Repeatedly pick the site which is furthest from any existing center

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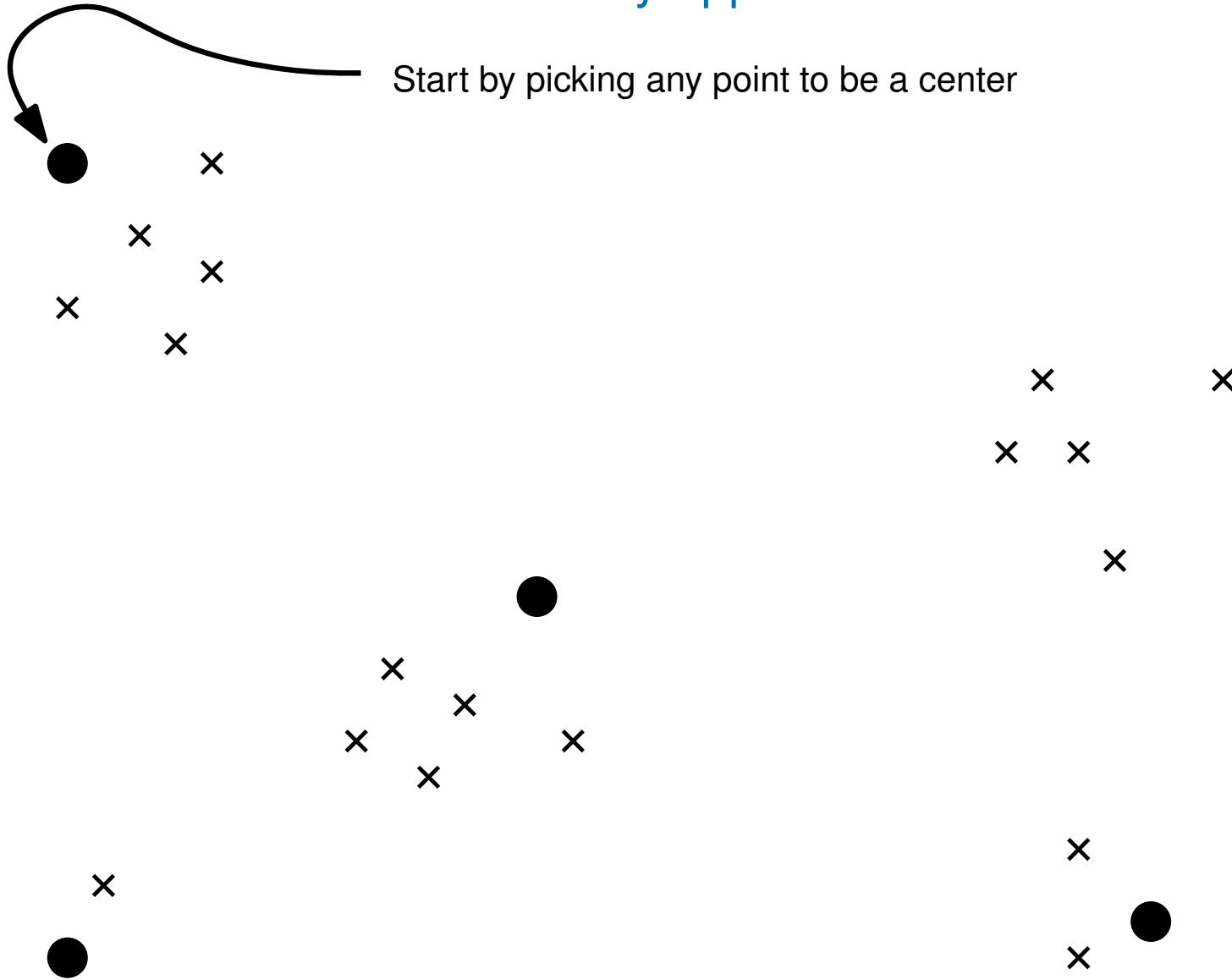


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Repeatedly pick the site which is furthest from any existing center

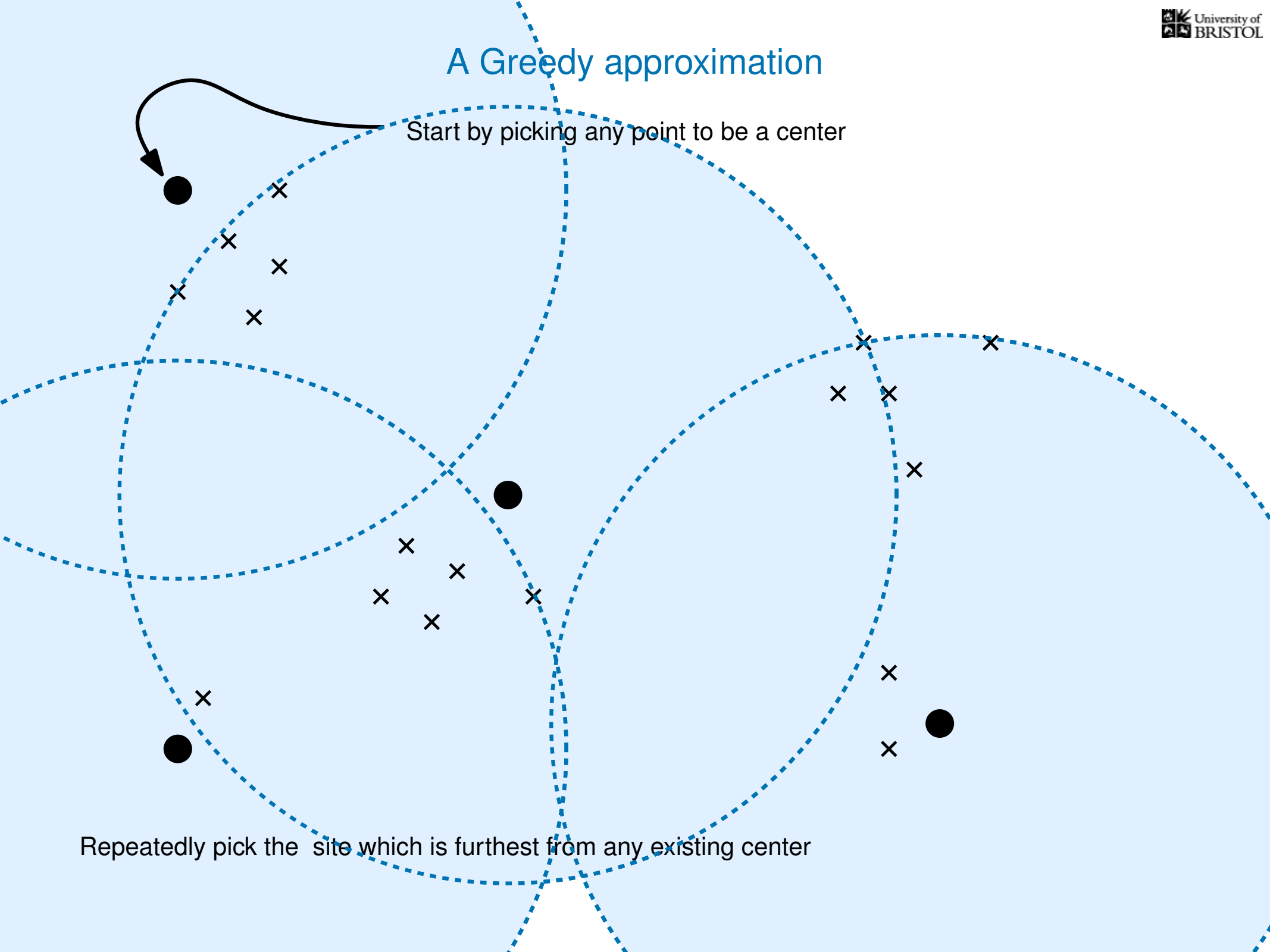
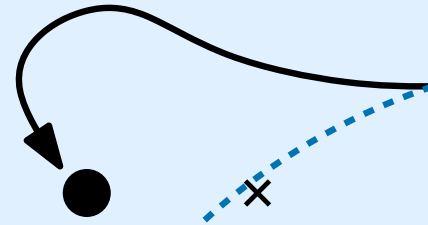
# A Greedy approximation



Repeatedly pick the site which is furthest from any existing center

# A Greedy approximation

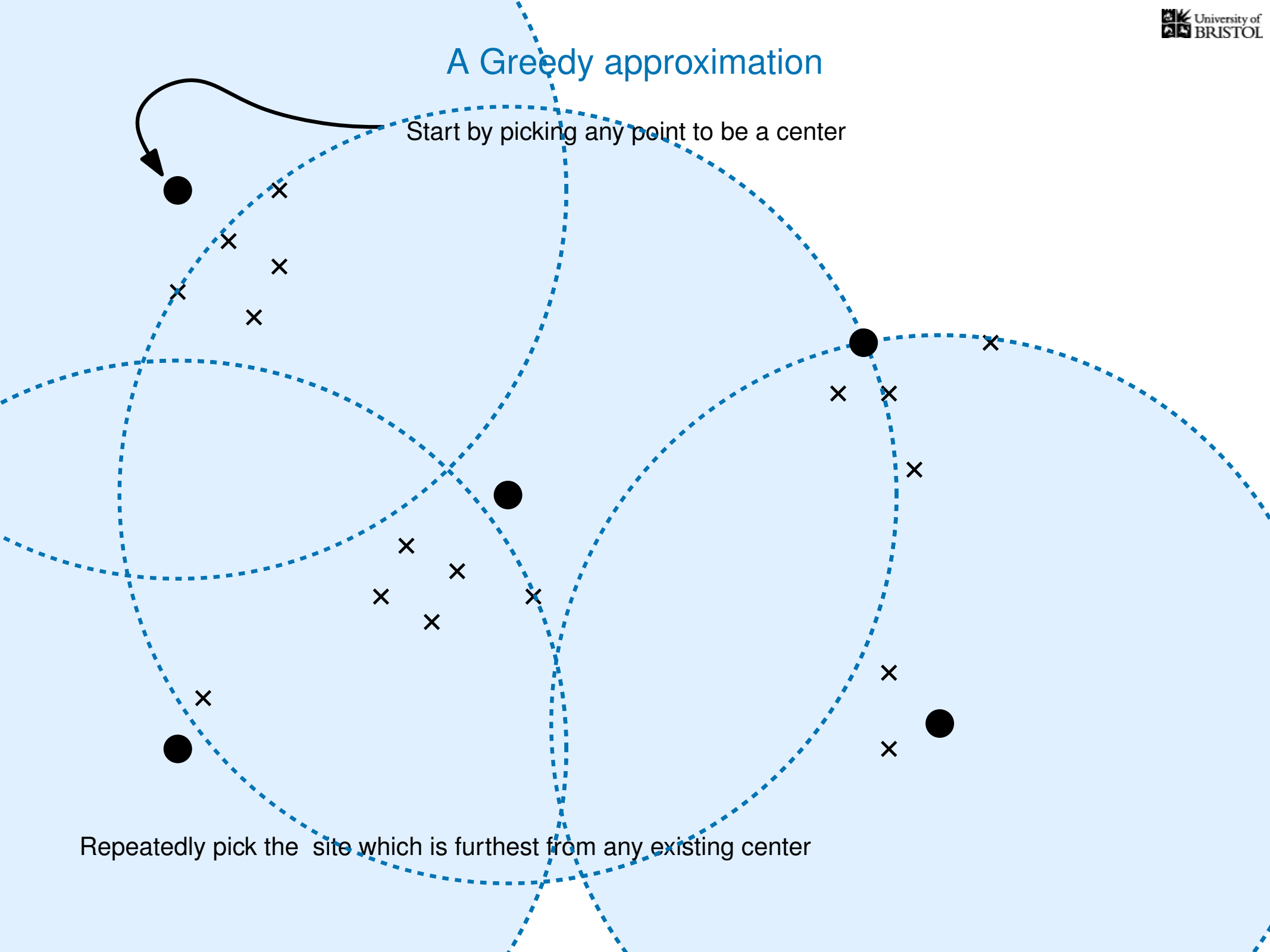
Start by picking any point to be a center



Repeatedly pick the site which is furthest from any existing center

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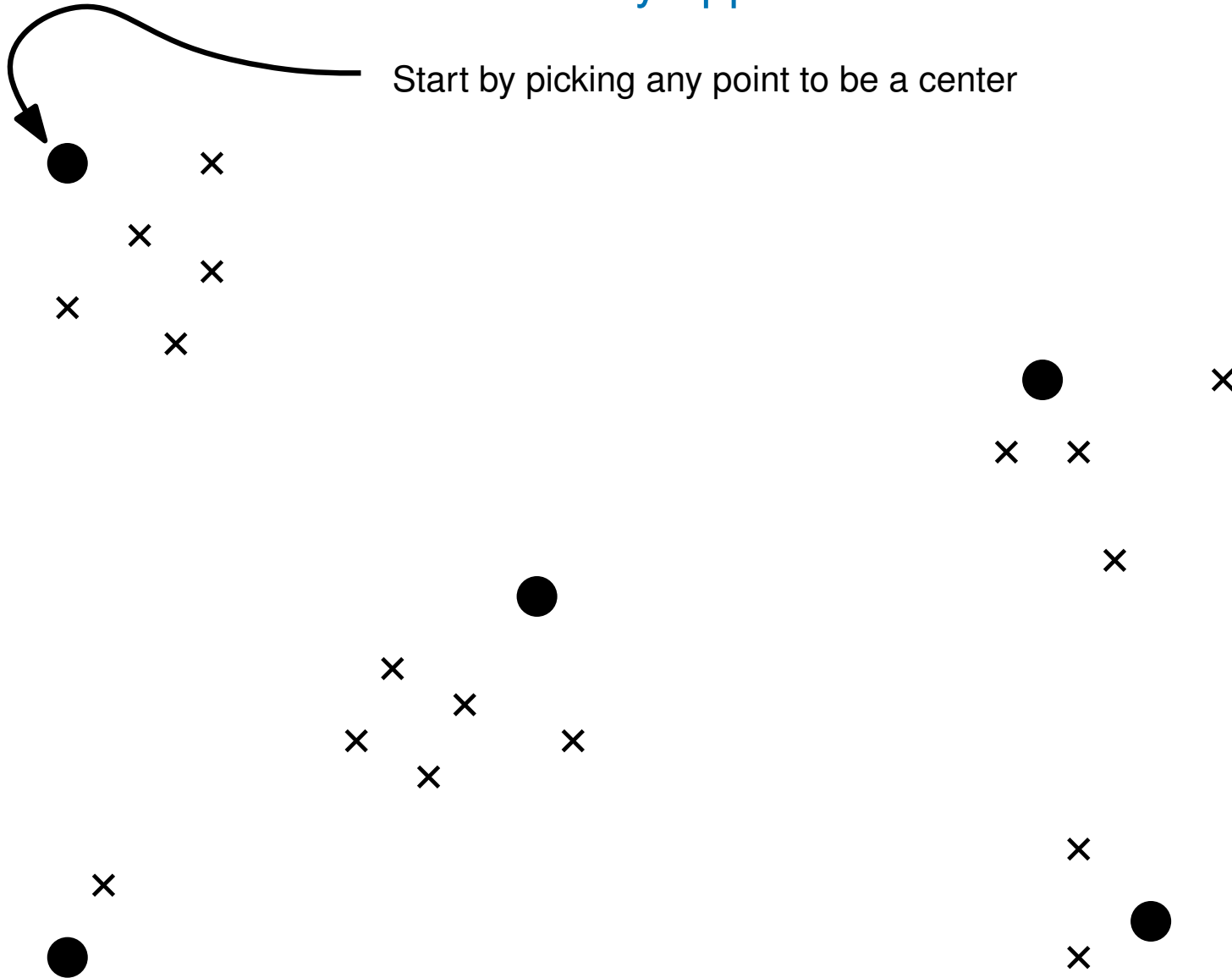
Start by picking any point to be a center



Repeatedly pick the site which is furthest from any existing center



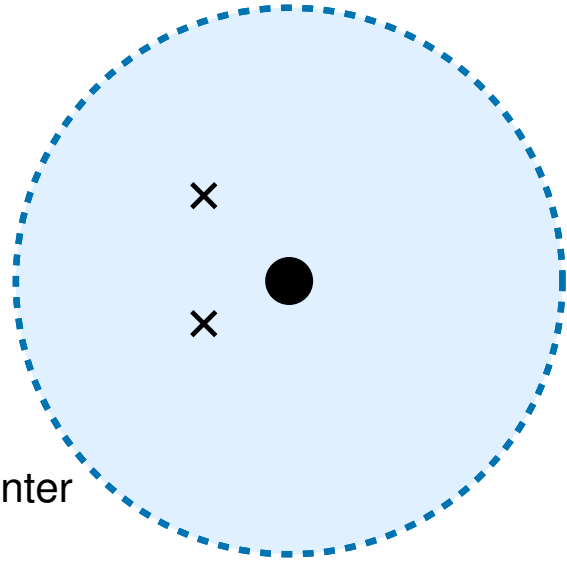
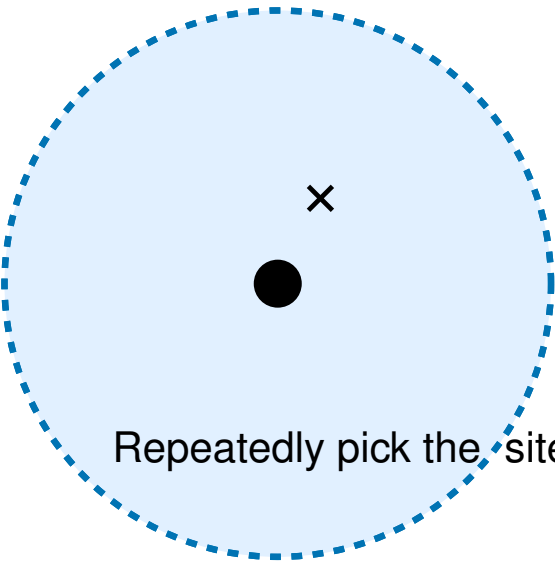
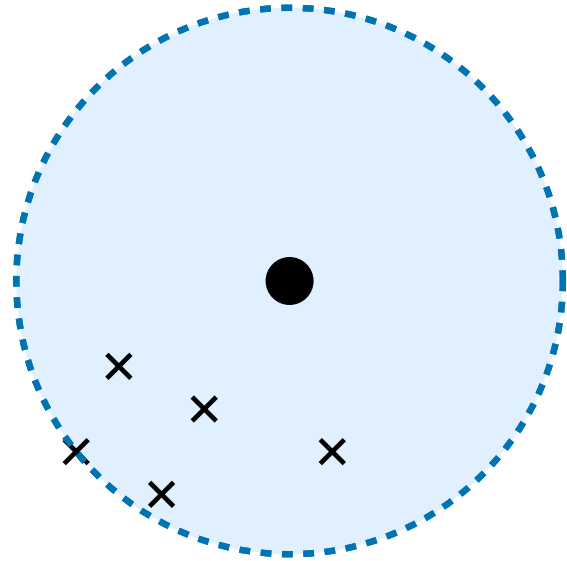
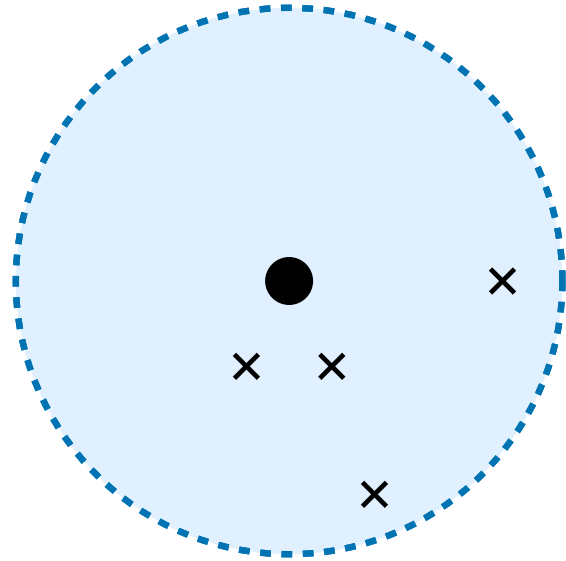
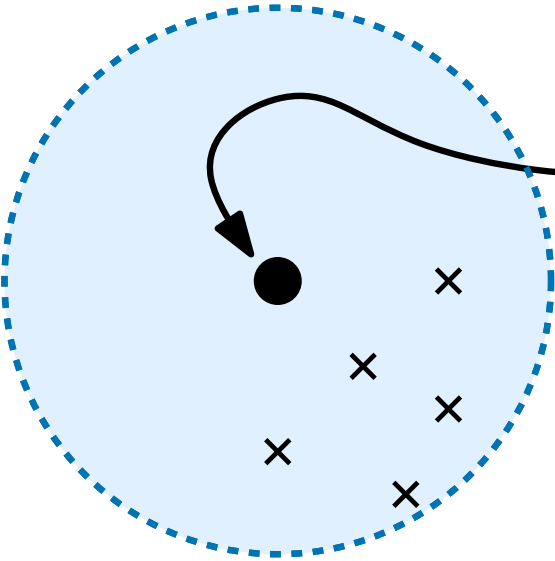
## A Greedy approximation



Repeatedly pick the site which is furthest from any existing center

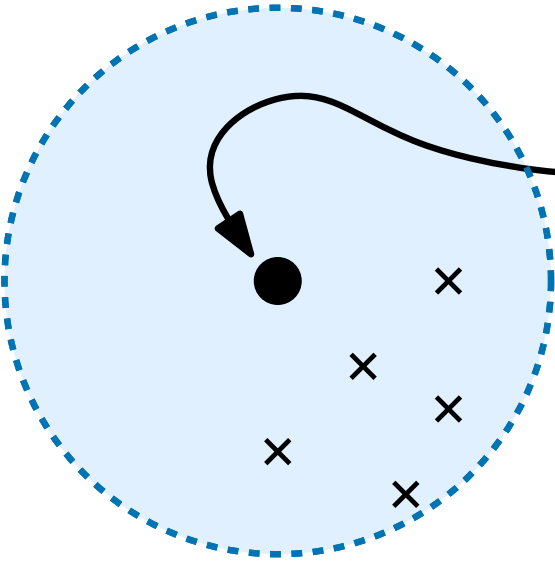
## A Greedy approximation

Start by picking any point to be a center

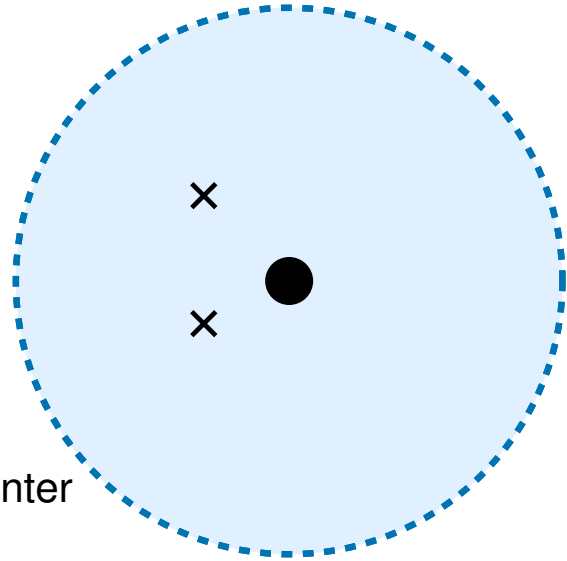
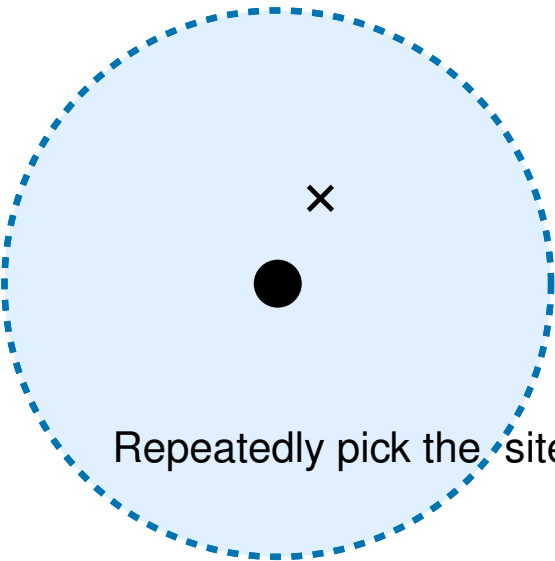
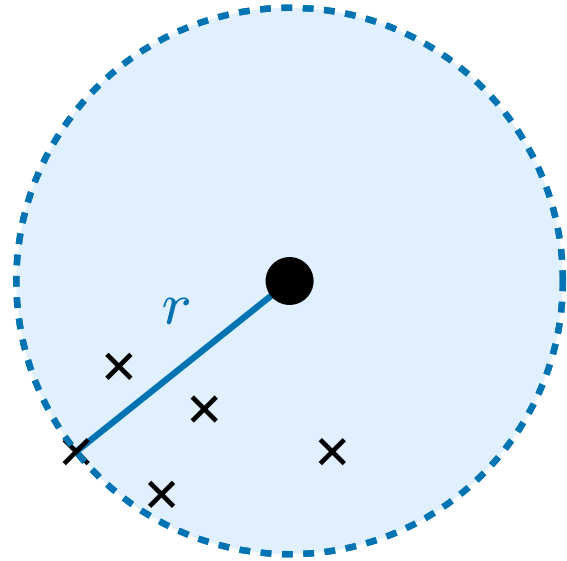
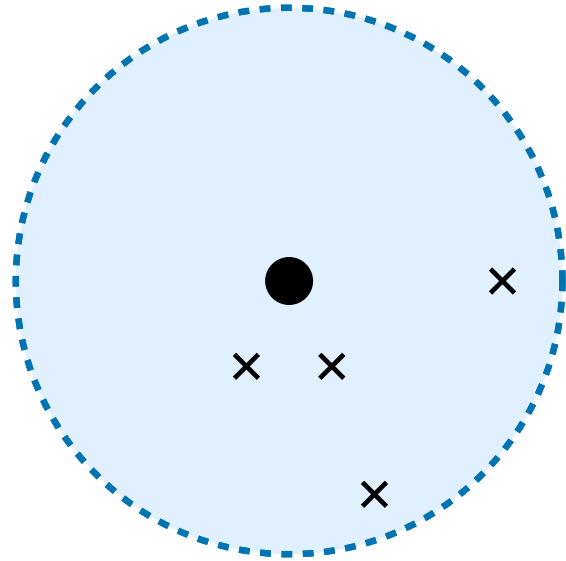


Repeatedly pick the site which is furthest from any existing center

# A Greedy approximation



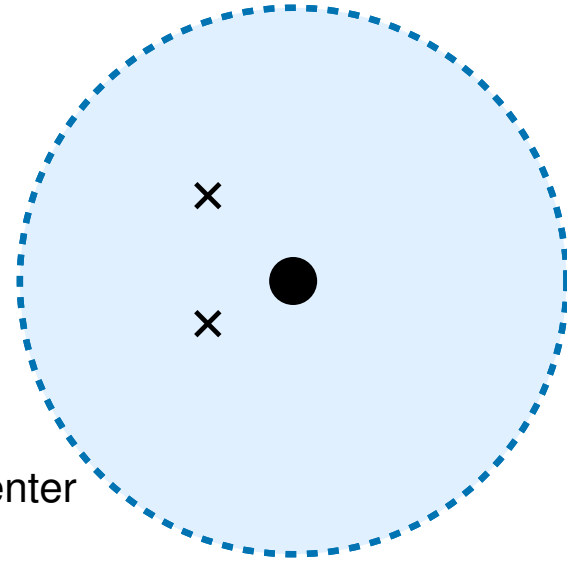
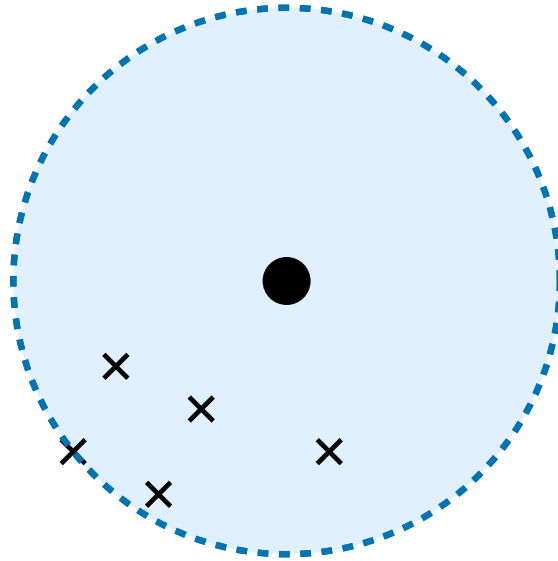
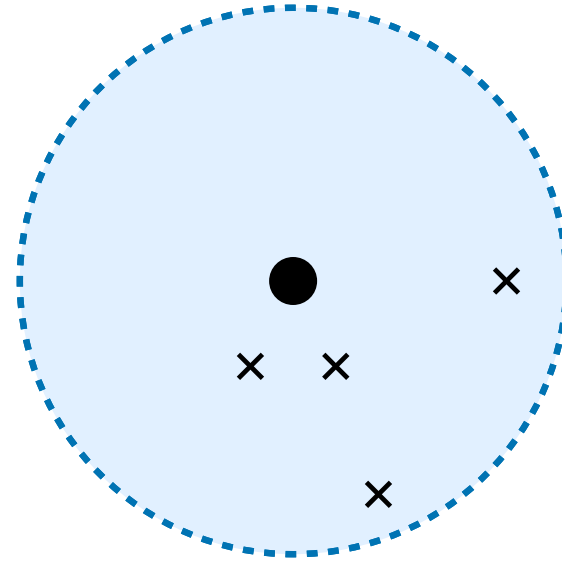
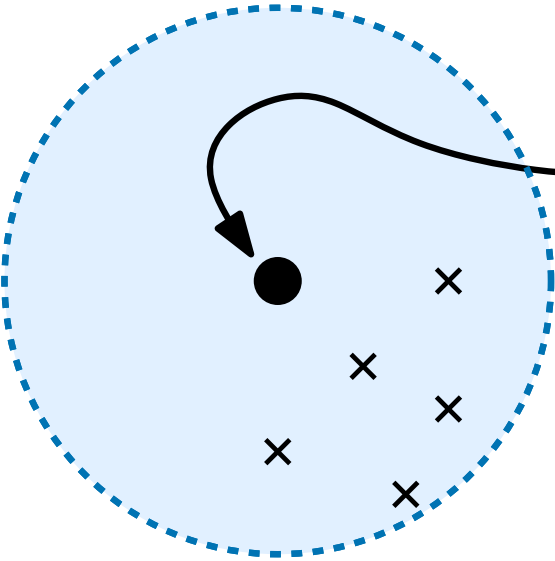
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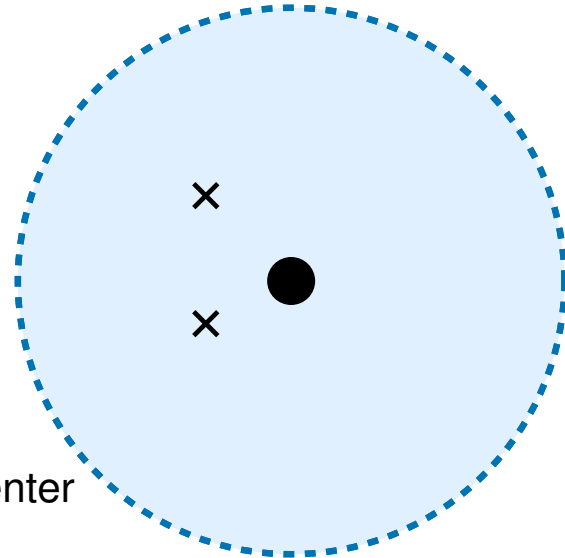
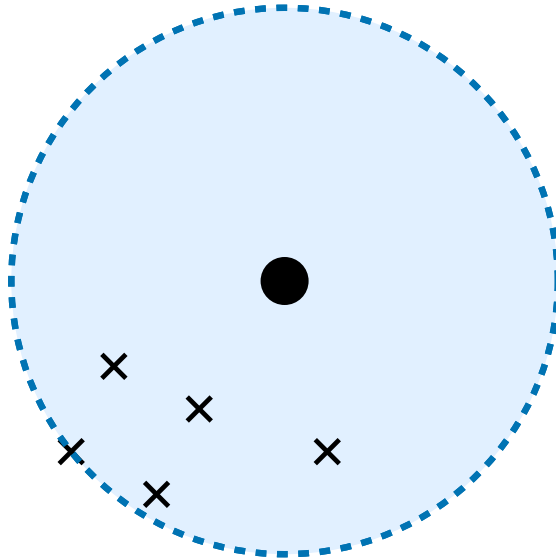
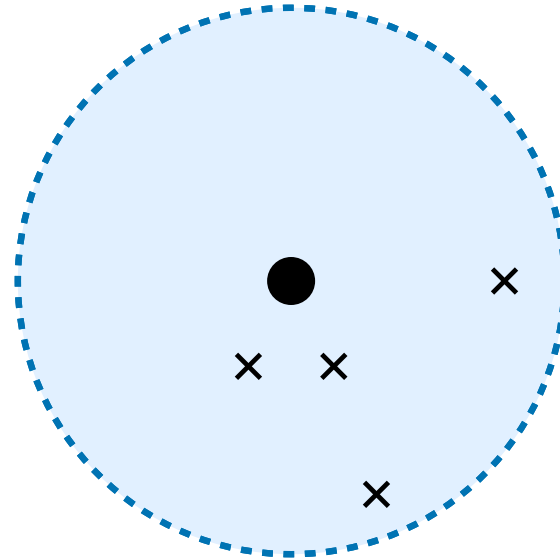
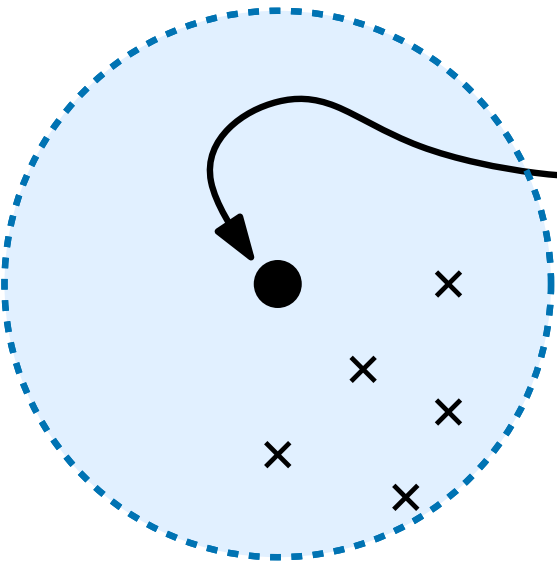


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*but is it any good?*

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**Theorem** The Greedy algorithm for  $k$ -center is a 2-approximation algorithm

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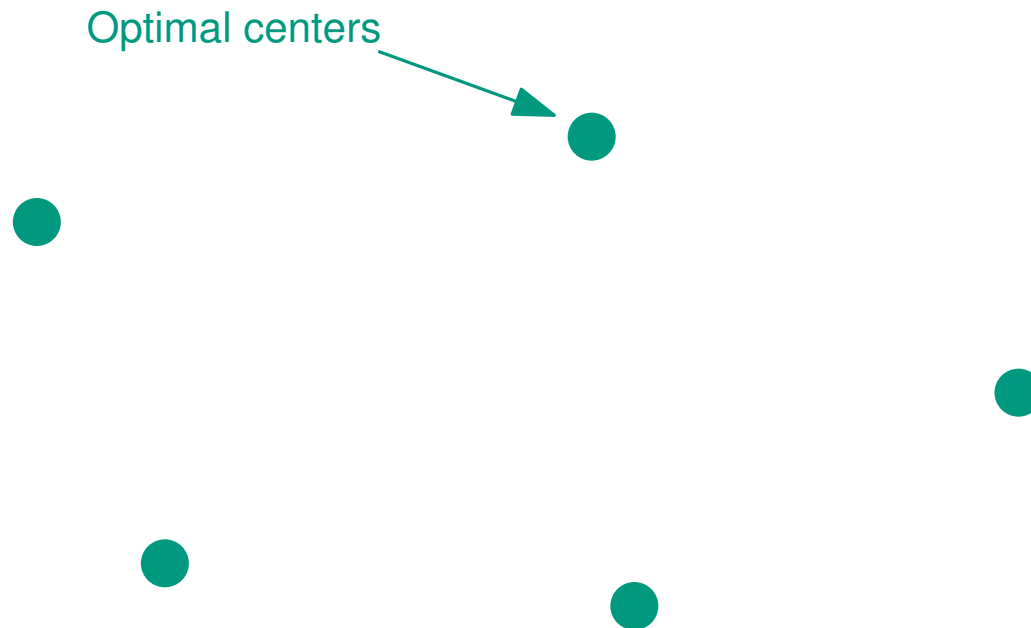
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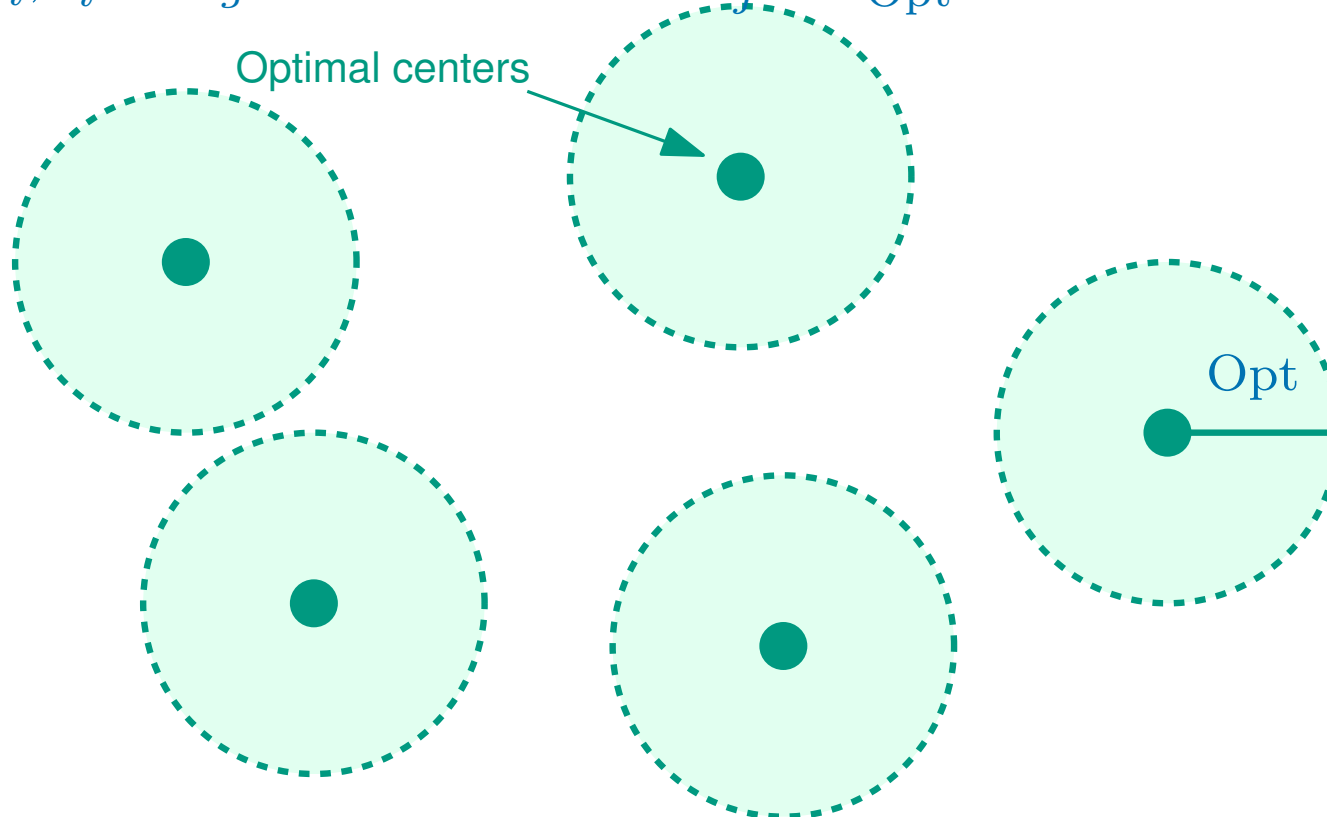
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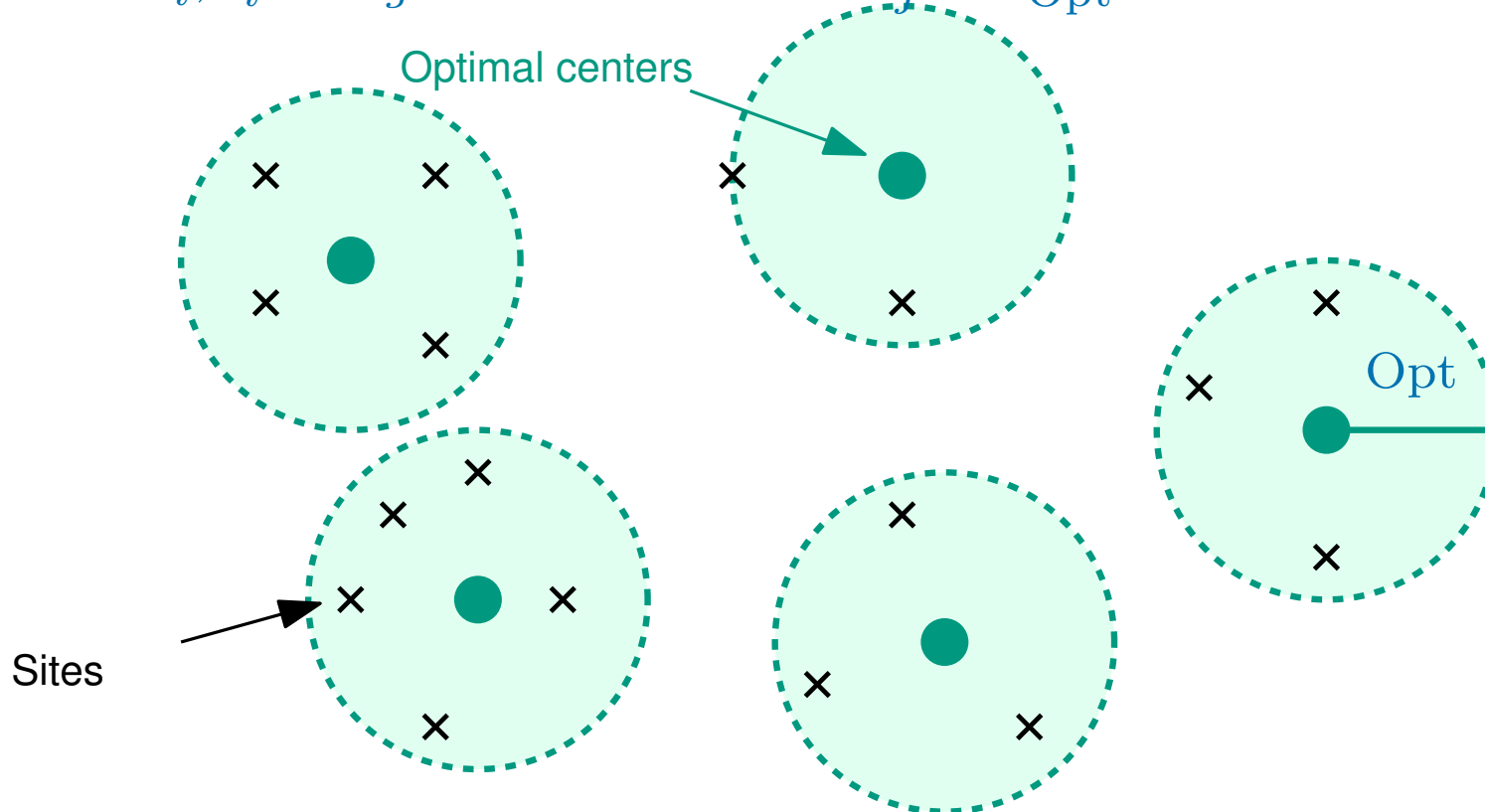
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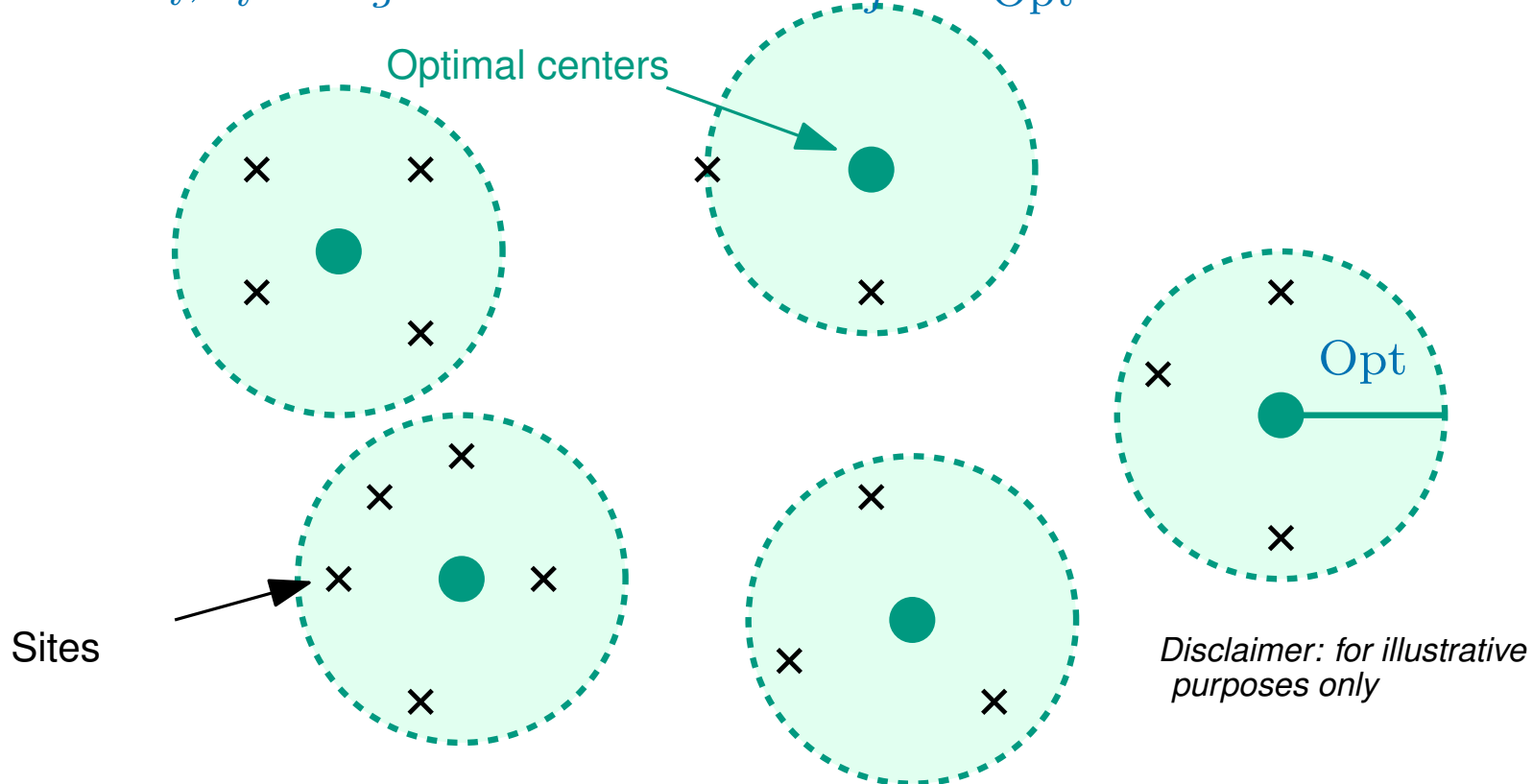
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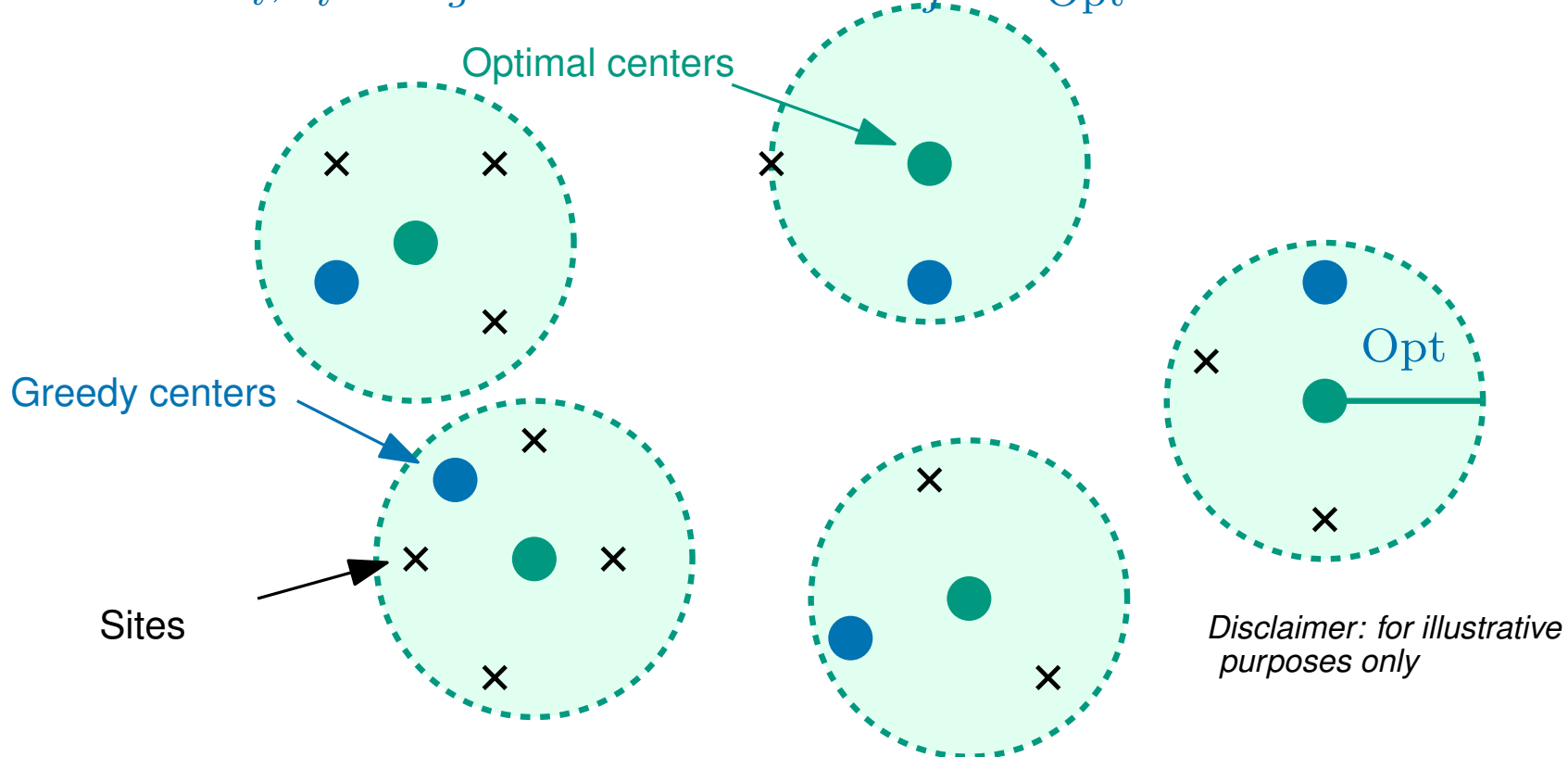
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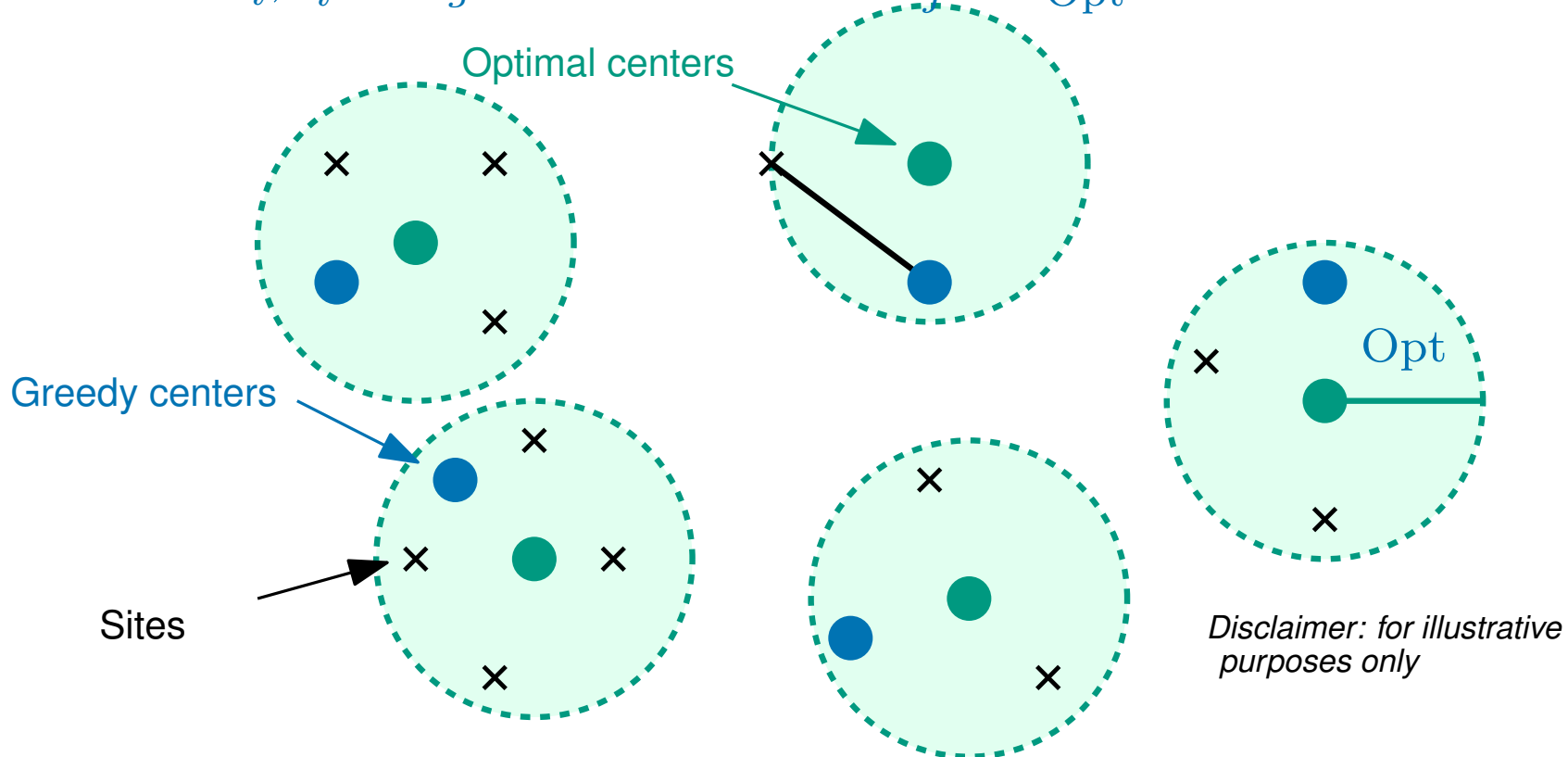
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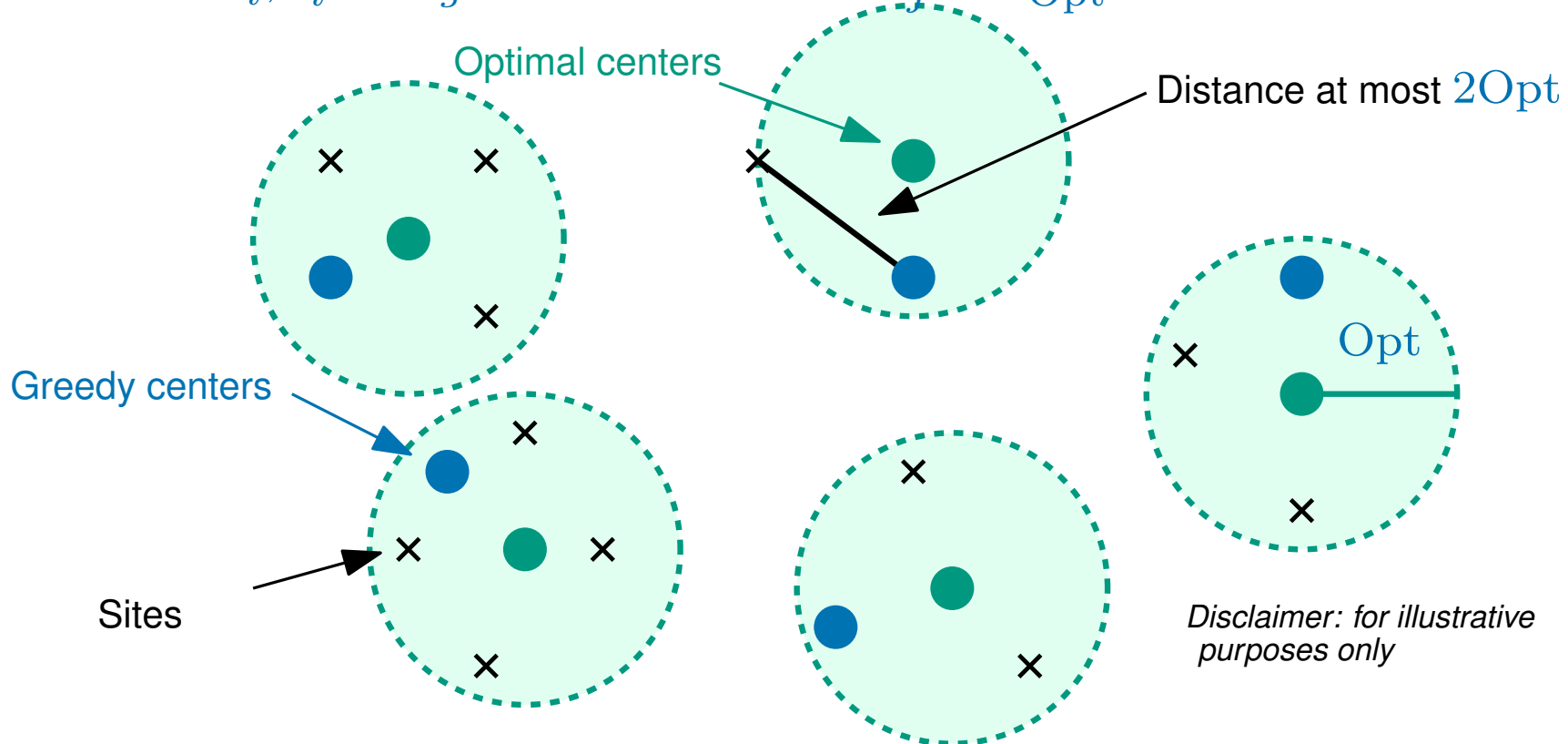
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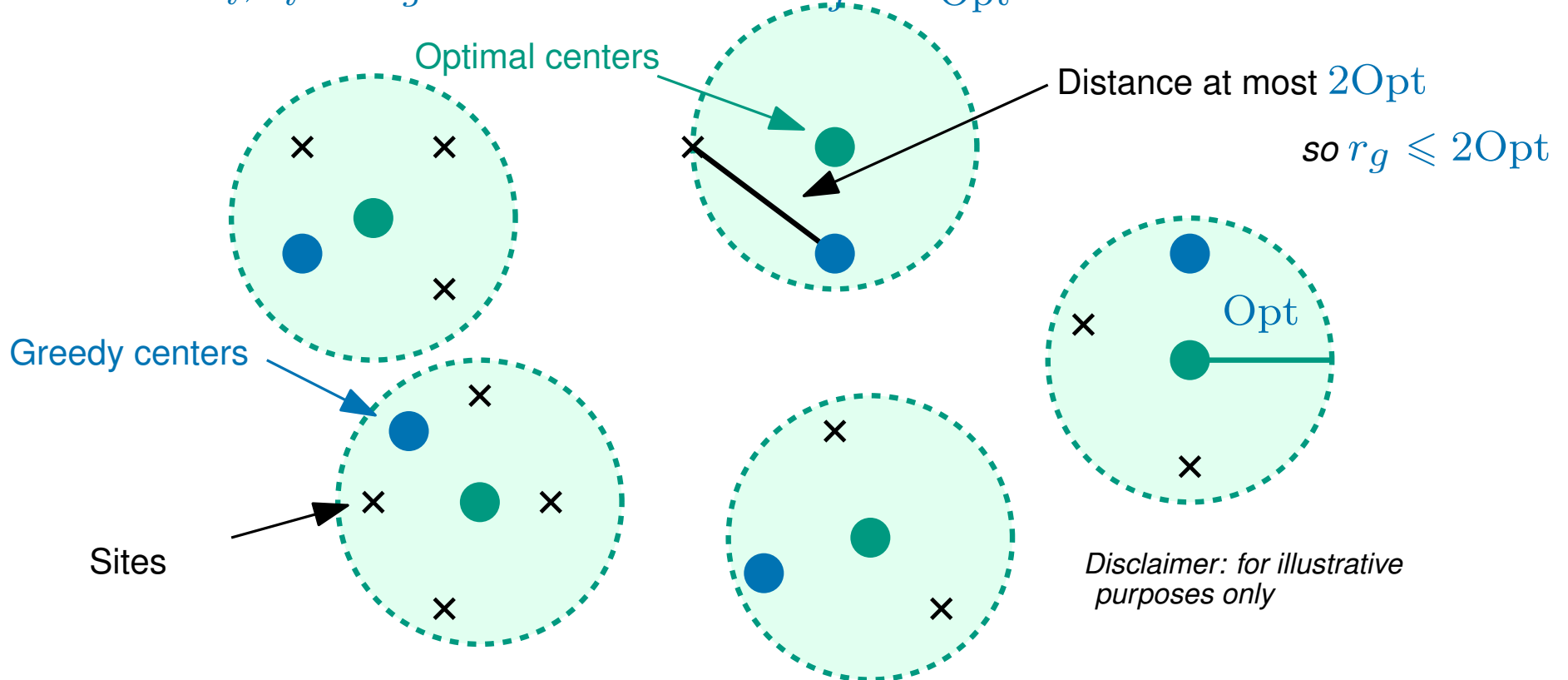
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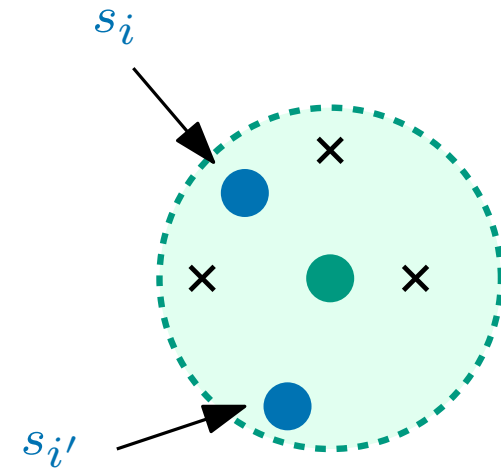
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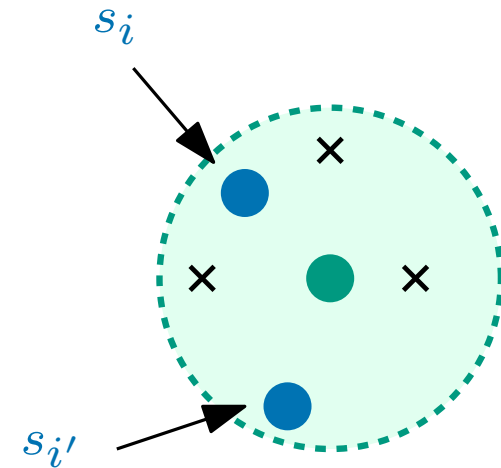
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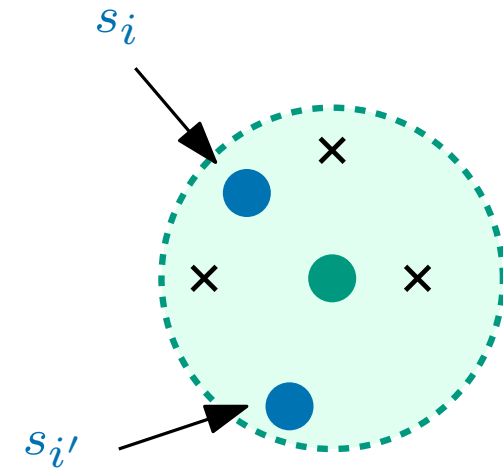
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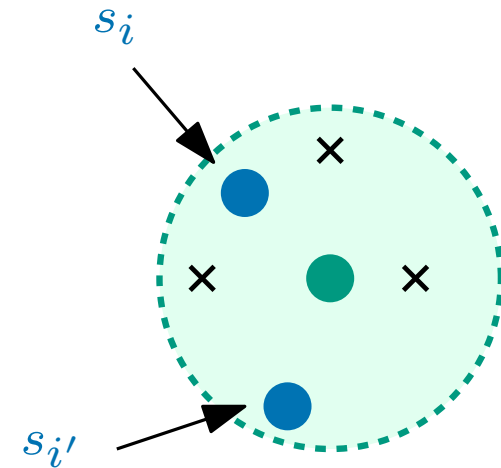
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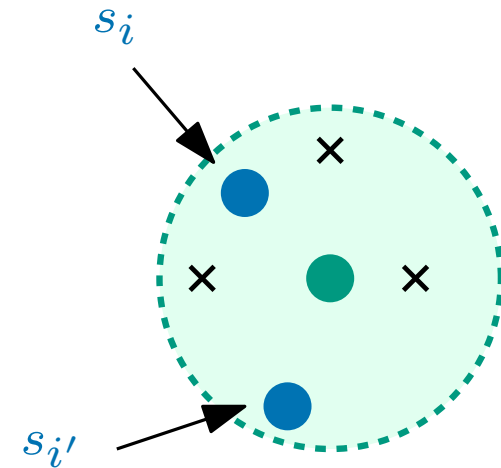
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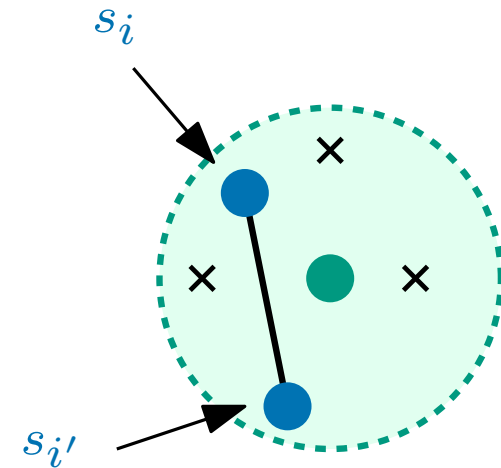
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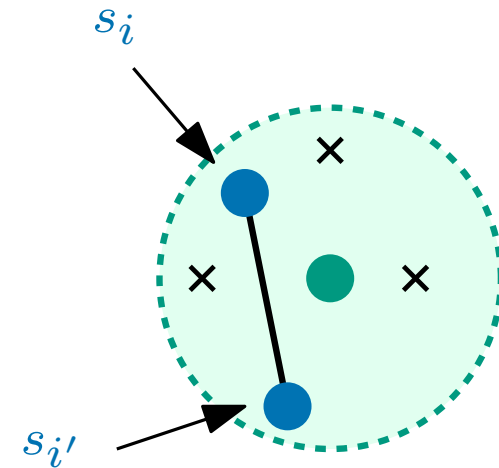
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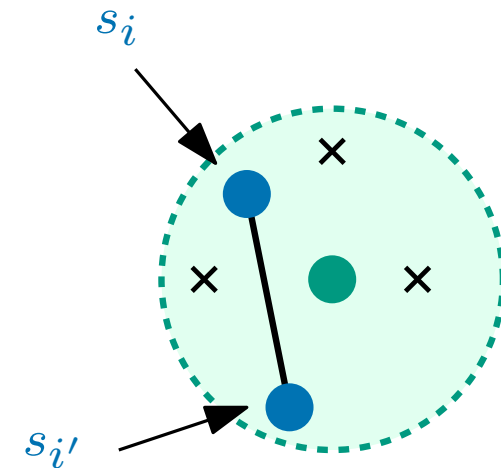
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