

Advanced Algorithms – COMS31900

Approximation algorithms part three

(Fully) Polynomial Time Approximation Schemes

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Slides by Benjamin Sach

Approximation Algorithms Recap

An algorithm A is an α -approximation algorithm for problem P if,

- A runs in polynomial time
- A always outputs a solution with value s
within an α factor of Opt
- Here P is an optimisation problem with optimal solution of value Opt
- If P is a *maximisation* problem, $\frac{\text{Opt}}{\alpha} \leq s \leq \text{Opt}$
- If P is a *minimisation* problem, $\text{Opt} \leq s \leq \alpha \cdot \text{Opt}$

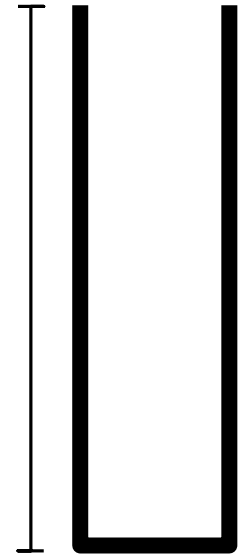
We have seen:

- a $3/2$ -approximation algorithm for Bin Packing
- a $3/2$ -approximation algorithm for scheduling multiple machines
- a 2-approximation algorithm for k -centers

The Subset Sum problem

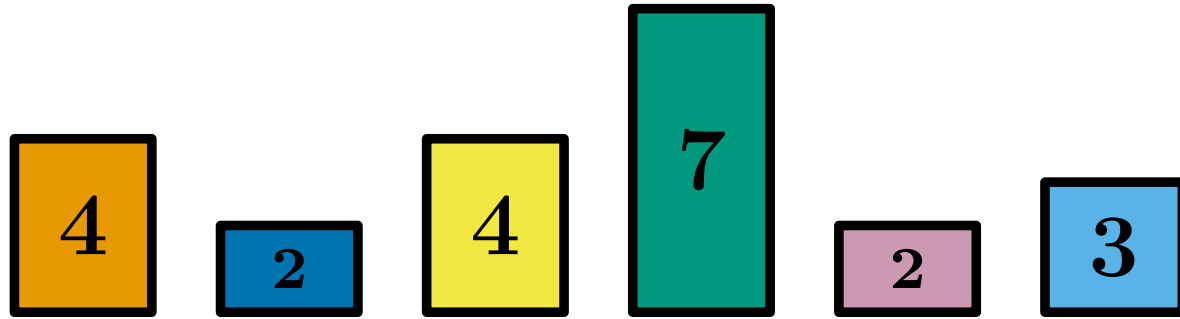


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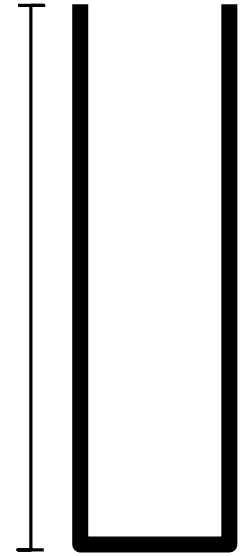


$$|S| = m$$

The Subset Sum problem



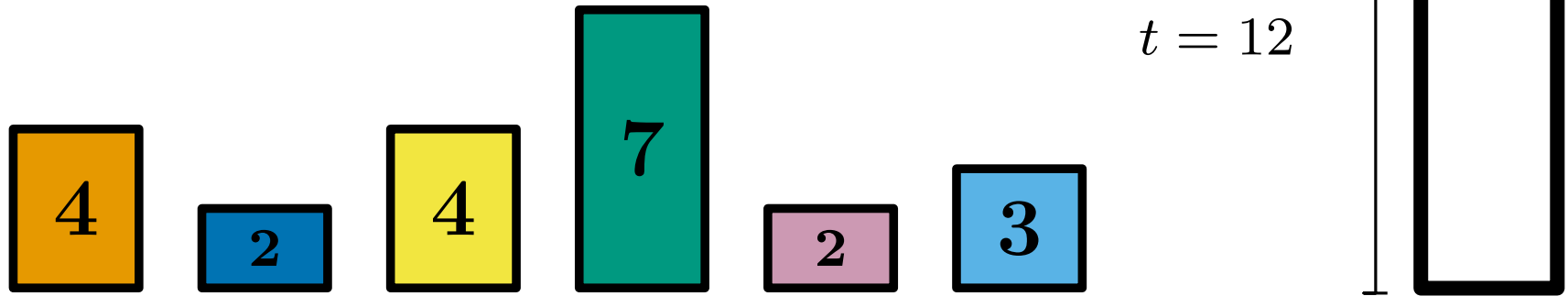
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- Let S be a multi-set of positive integers and t be a positive integer

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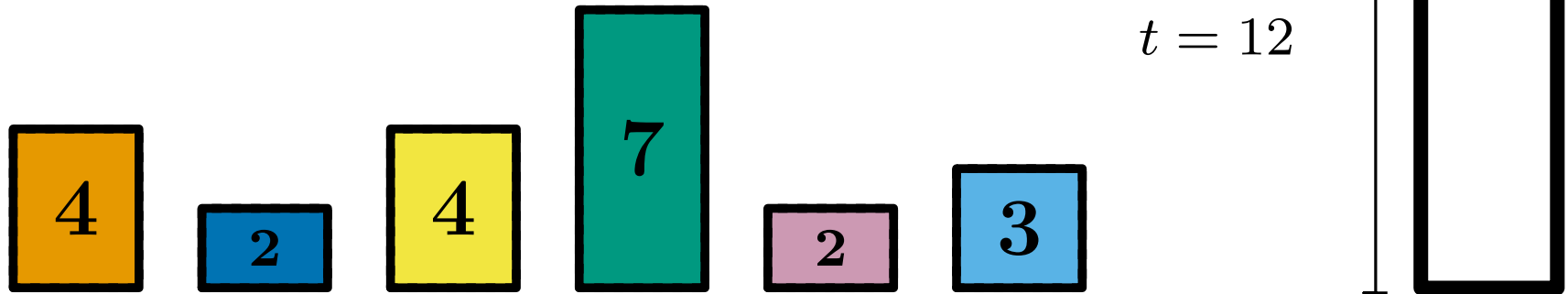


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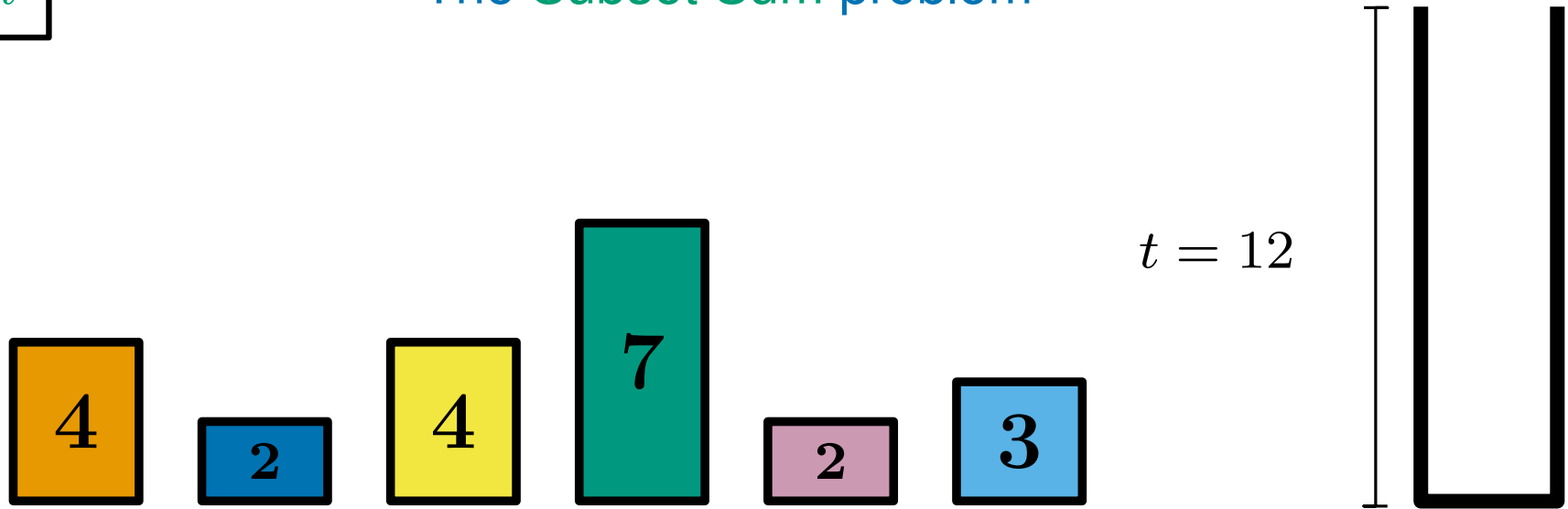
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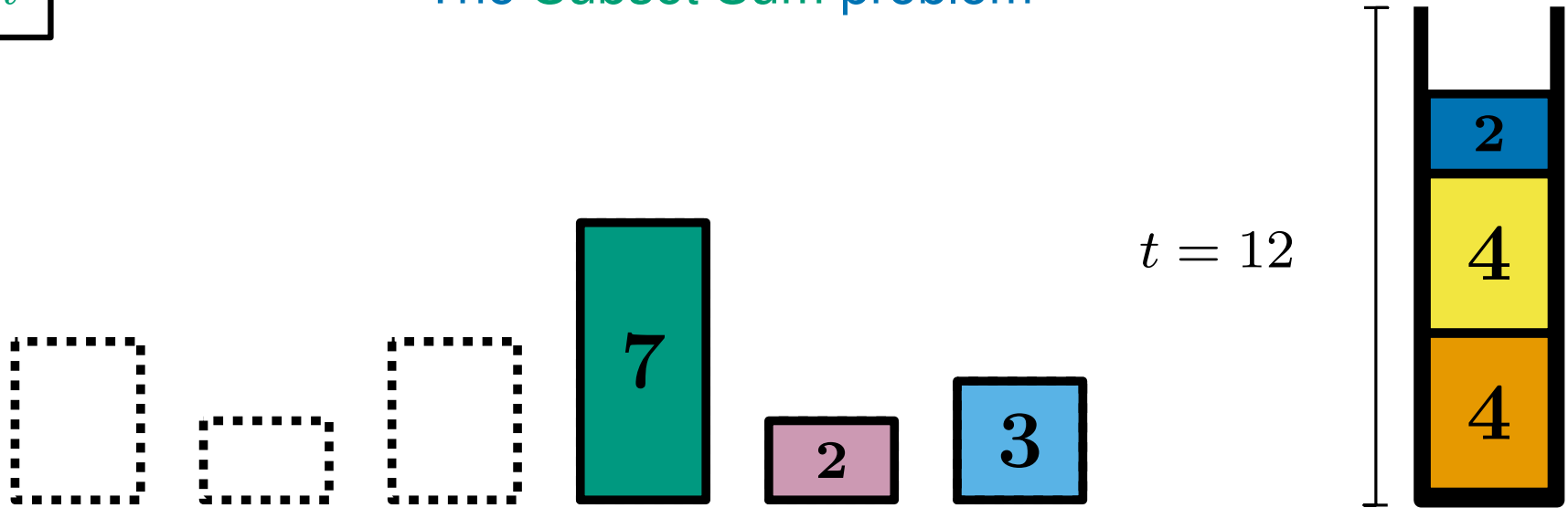
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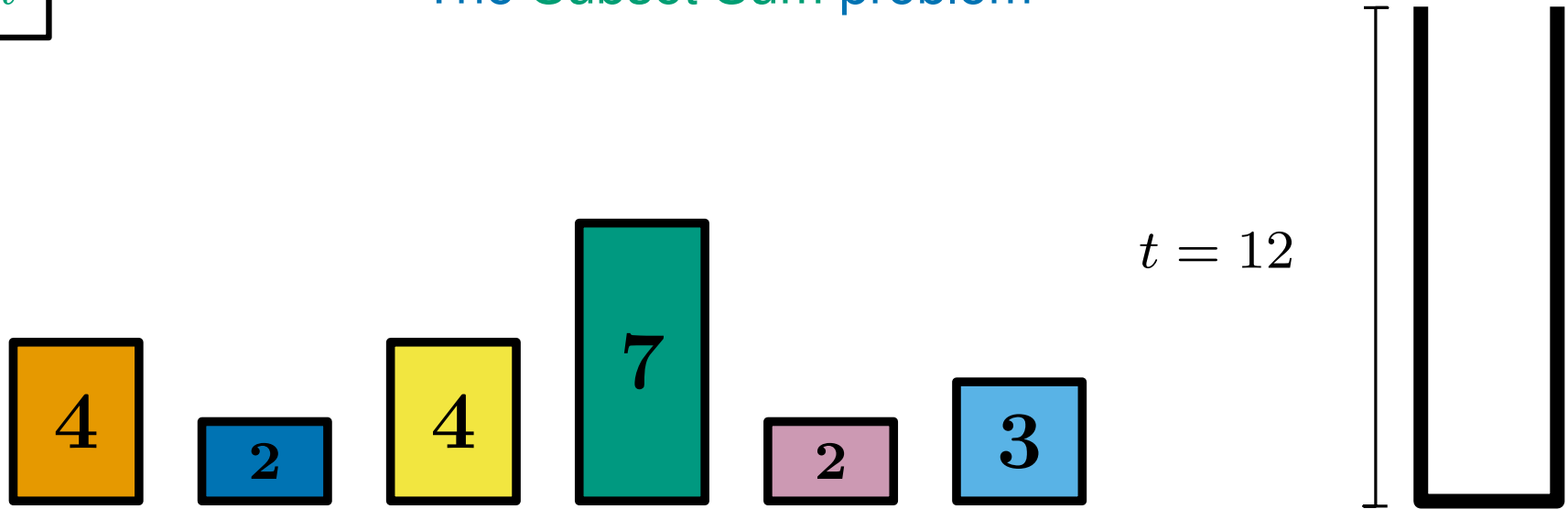
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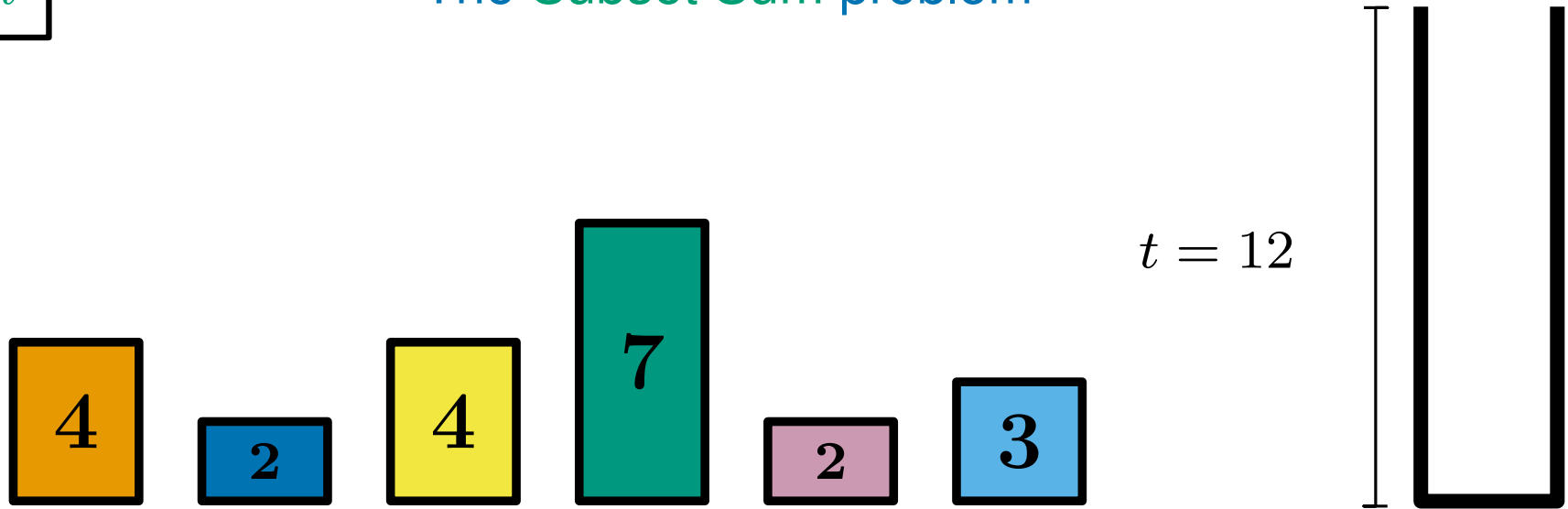
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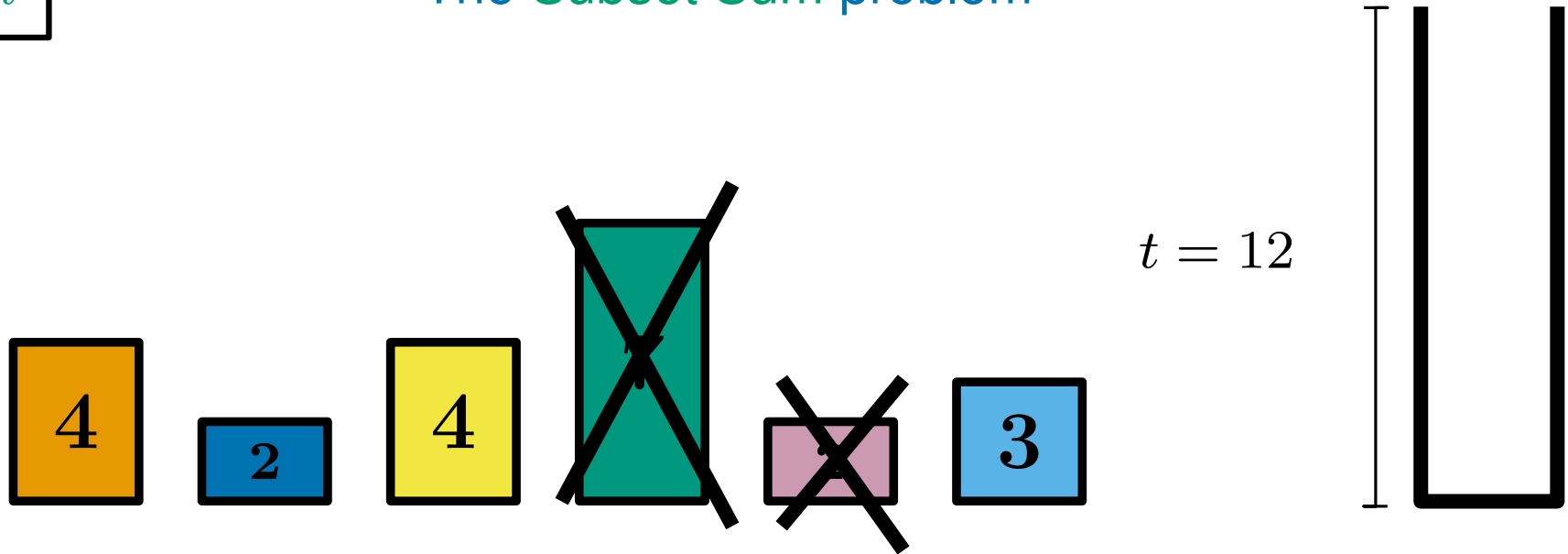
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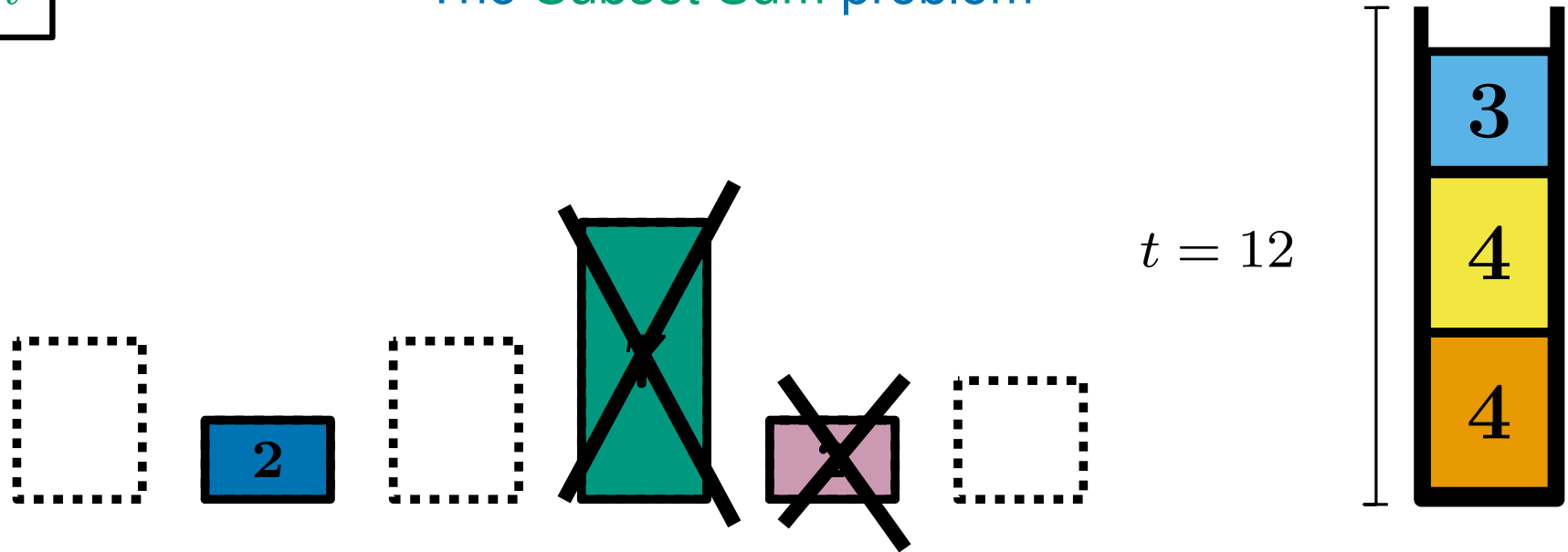
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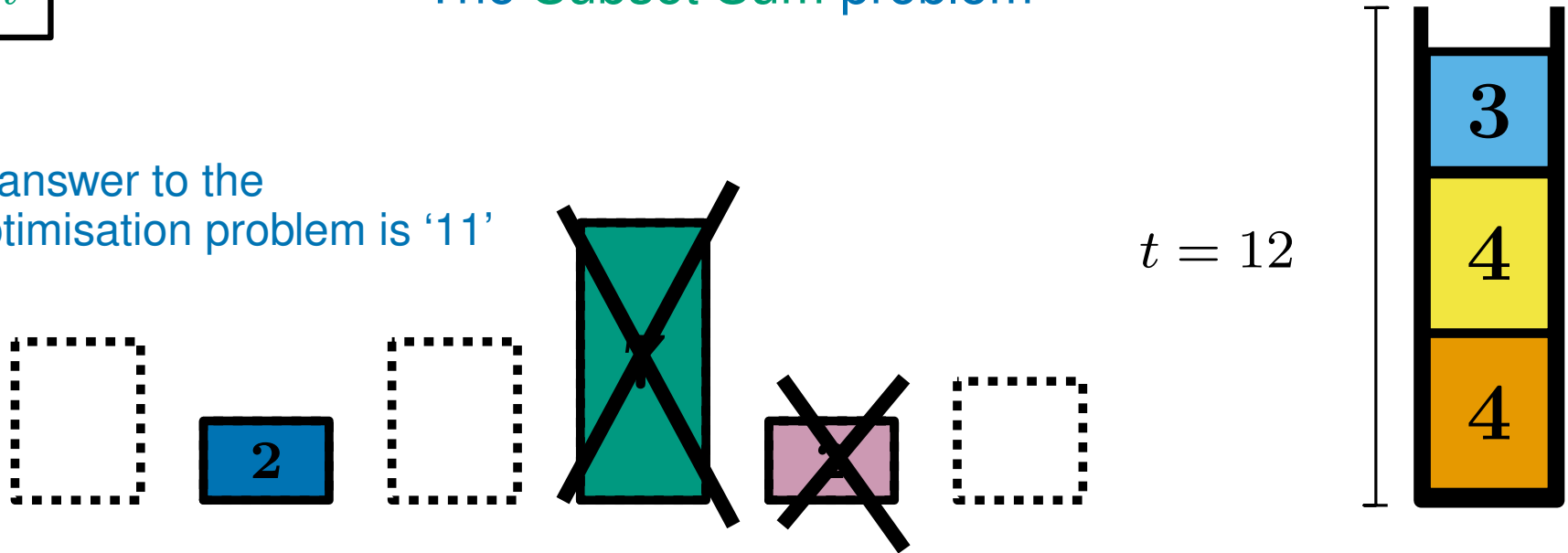
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The answer to the optimisation problem is '11'



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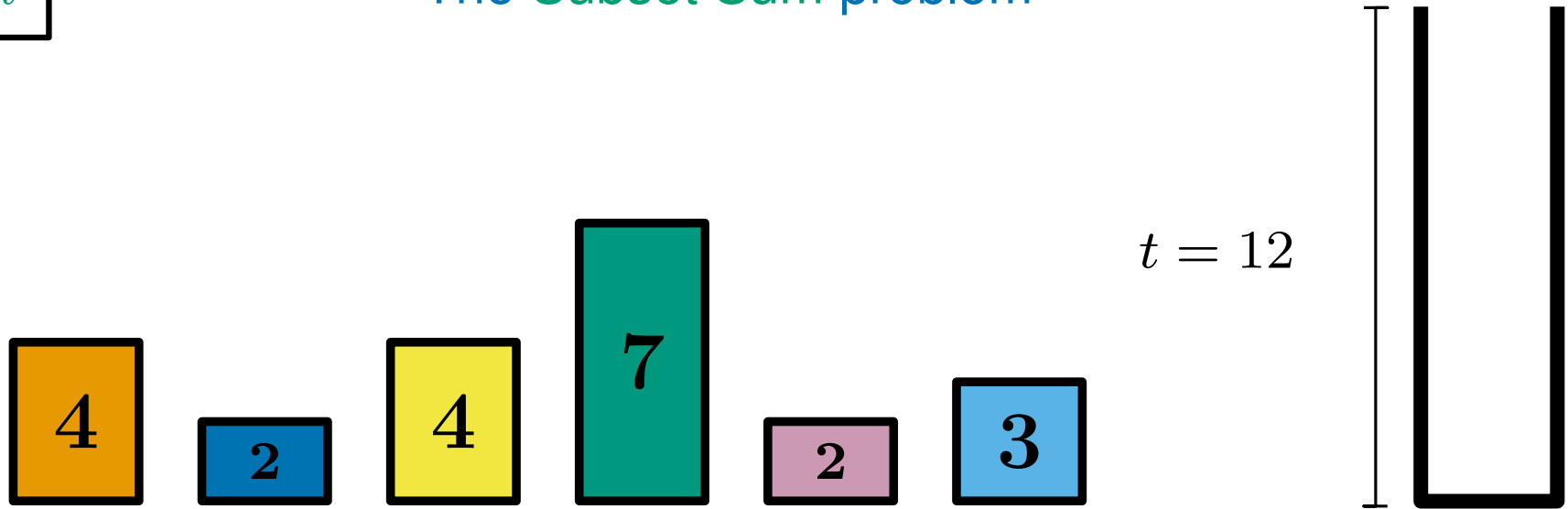
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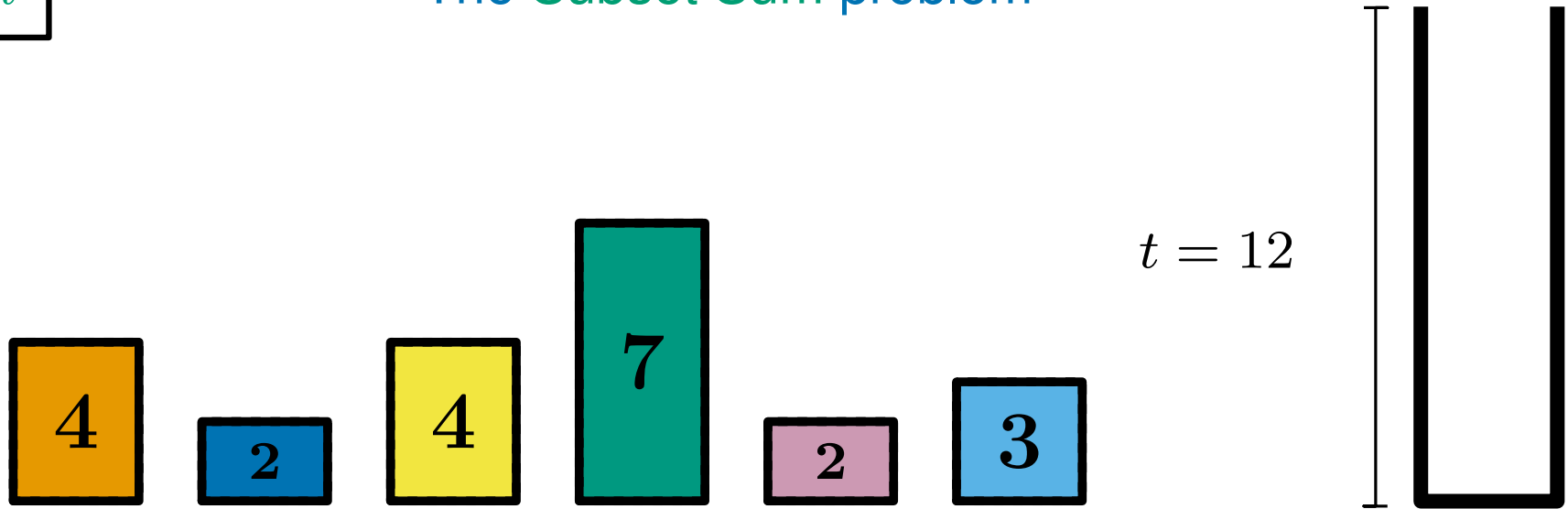
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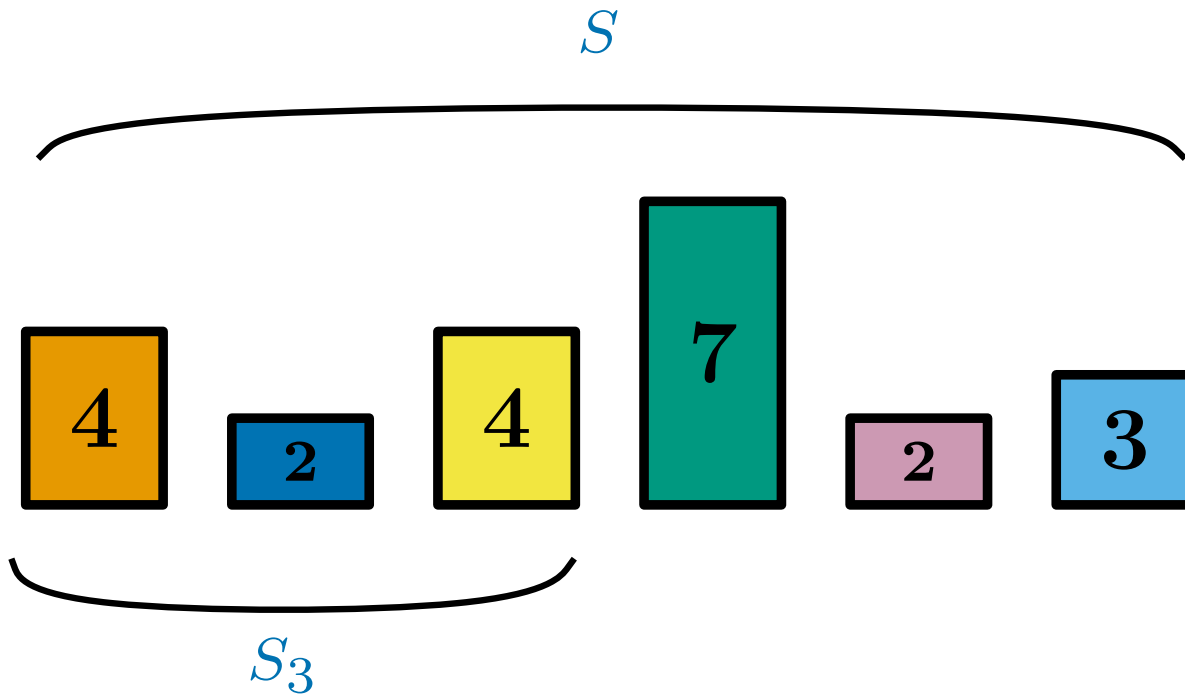
The optimisation version is NP-hard

and the decision version is NP-complete

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An exact solution

Let $S = \{s_1, s_2, s_3 \dots s_m\}$ be the set of items and $S_i = \{s_1, s_2, \dots, s_i\}$

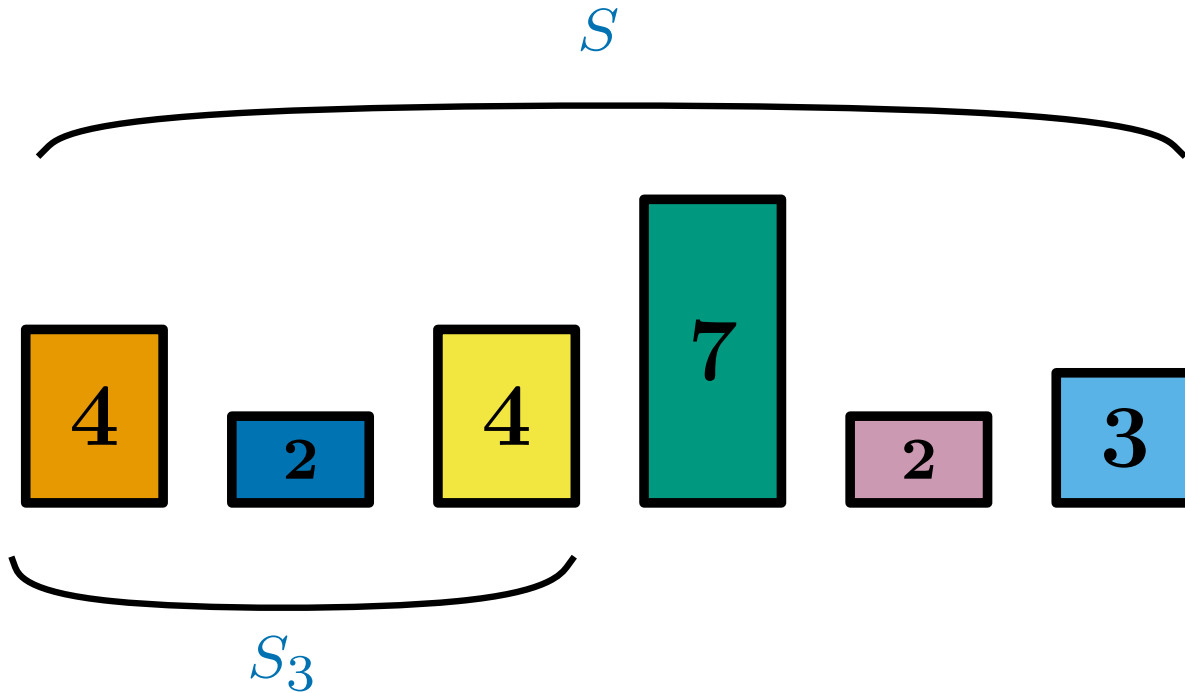


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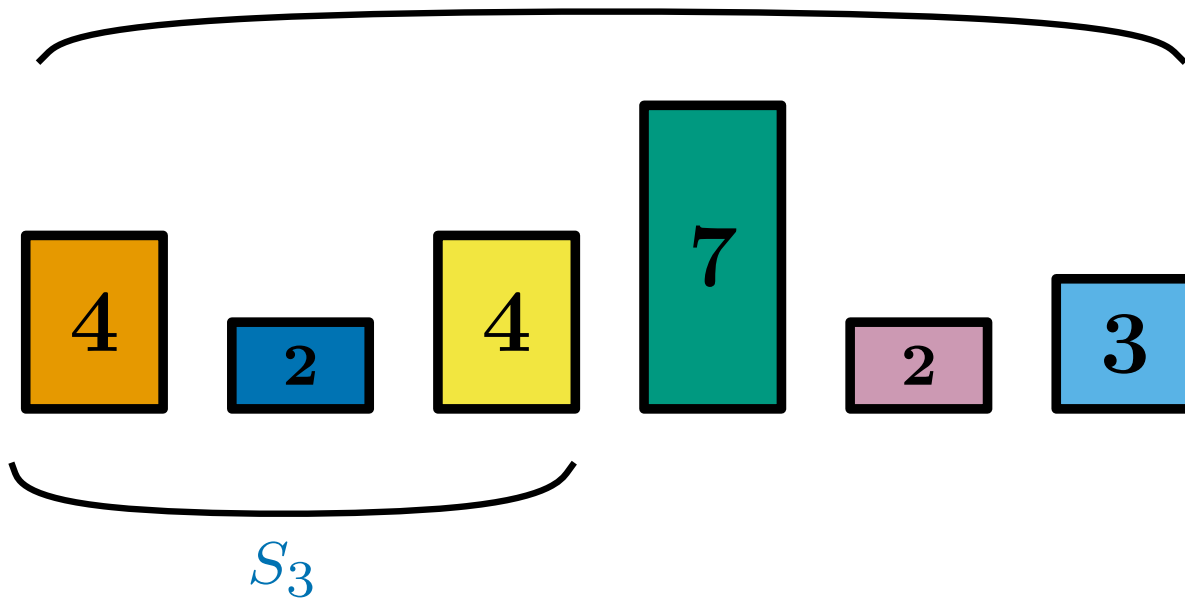
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$\{0, 2, 4, 6, 8, 10\}$

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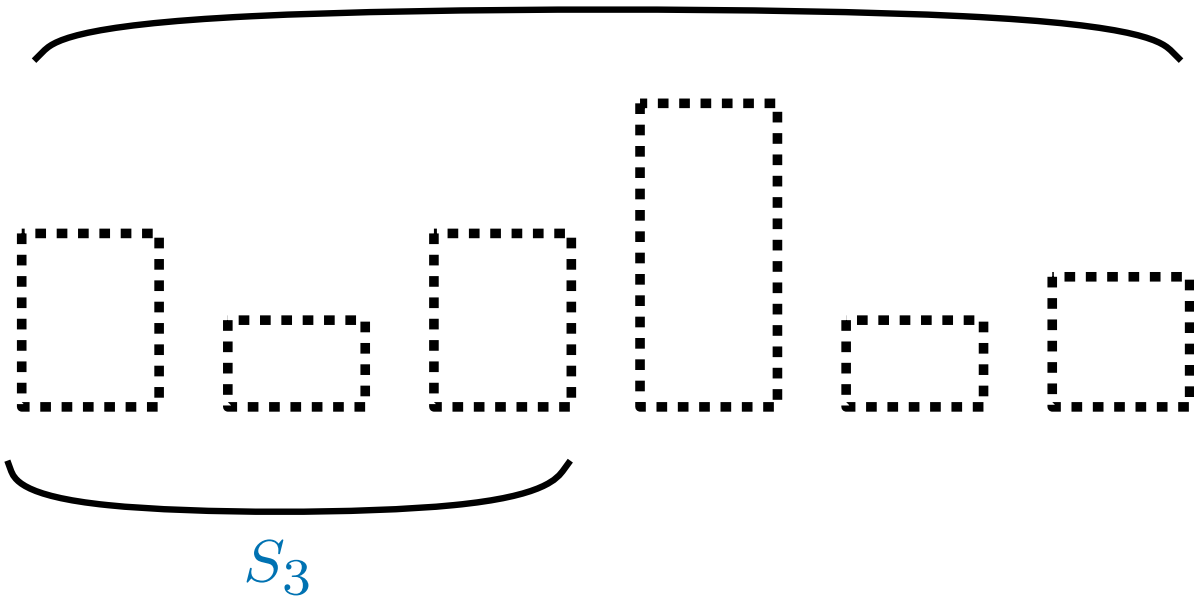
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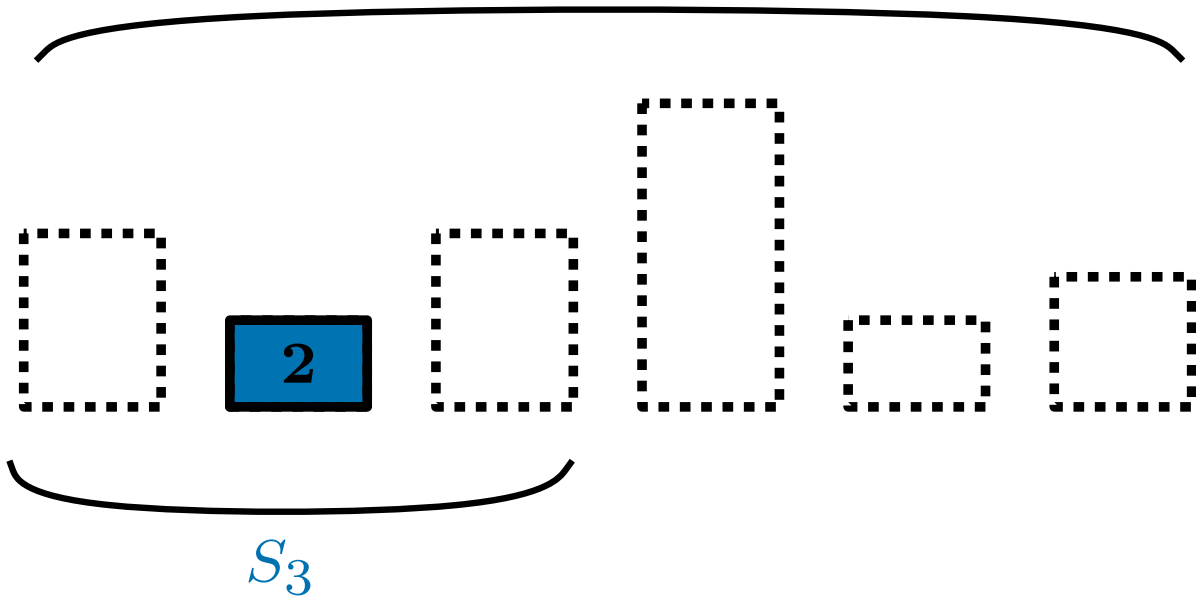
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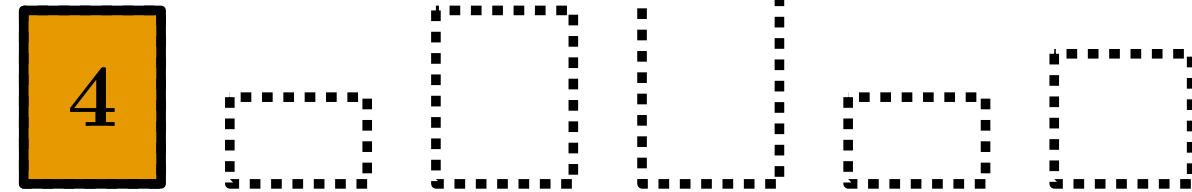
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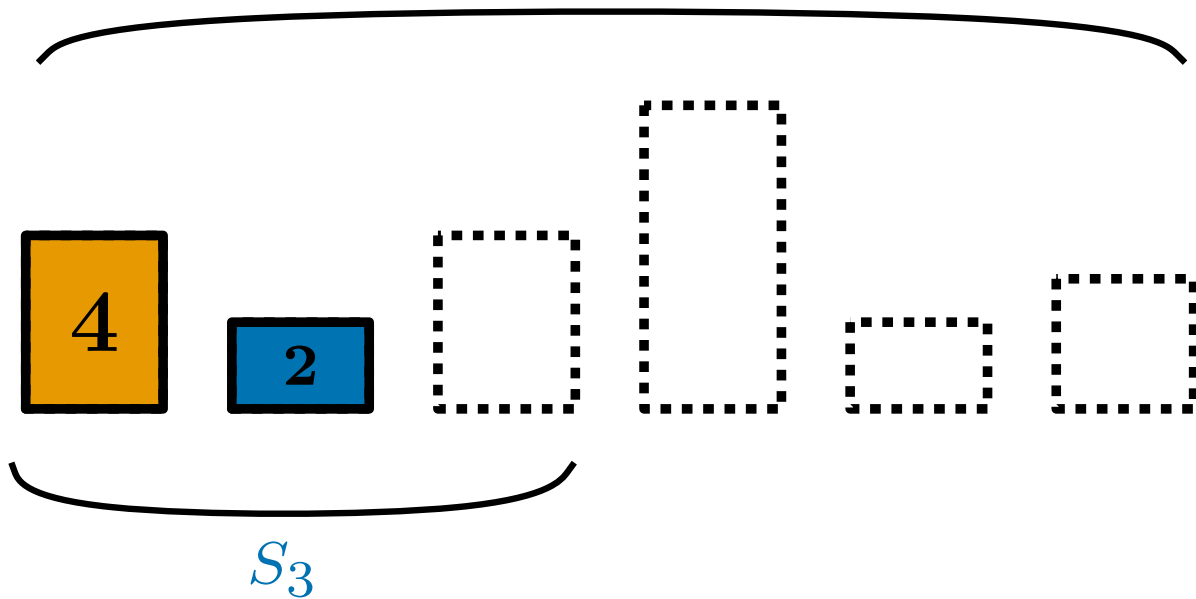
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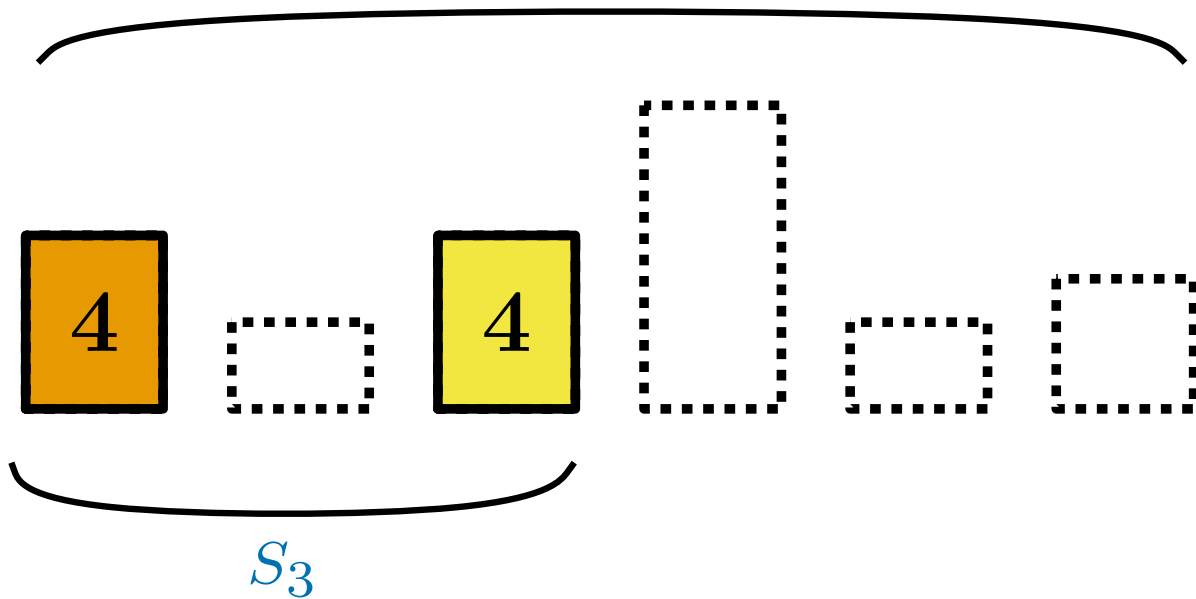
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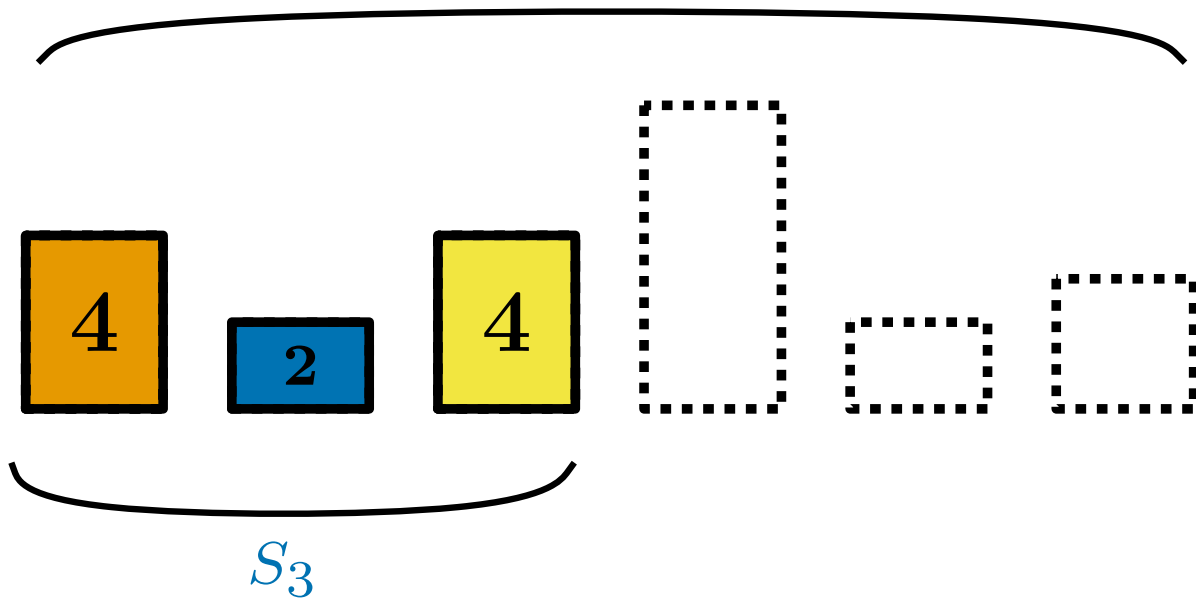
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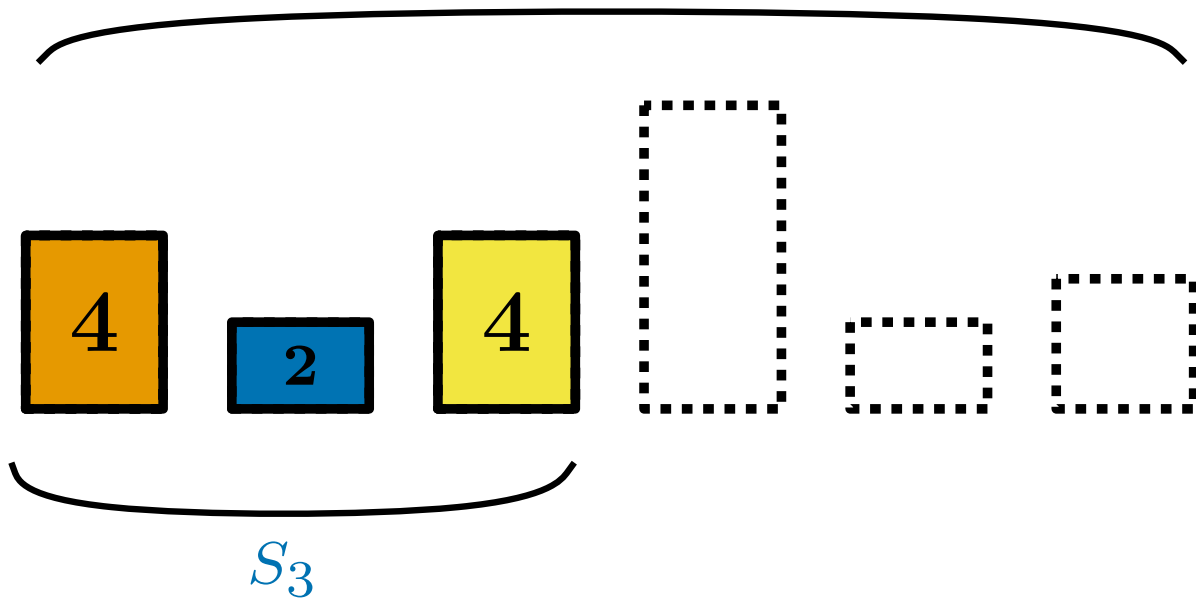
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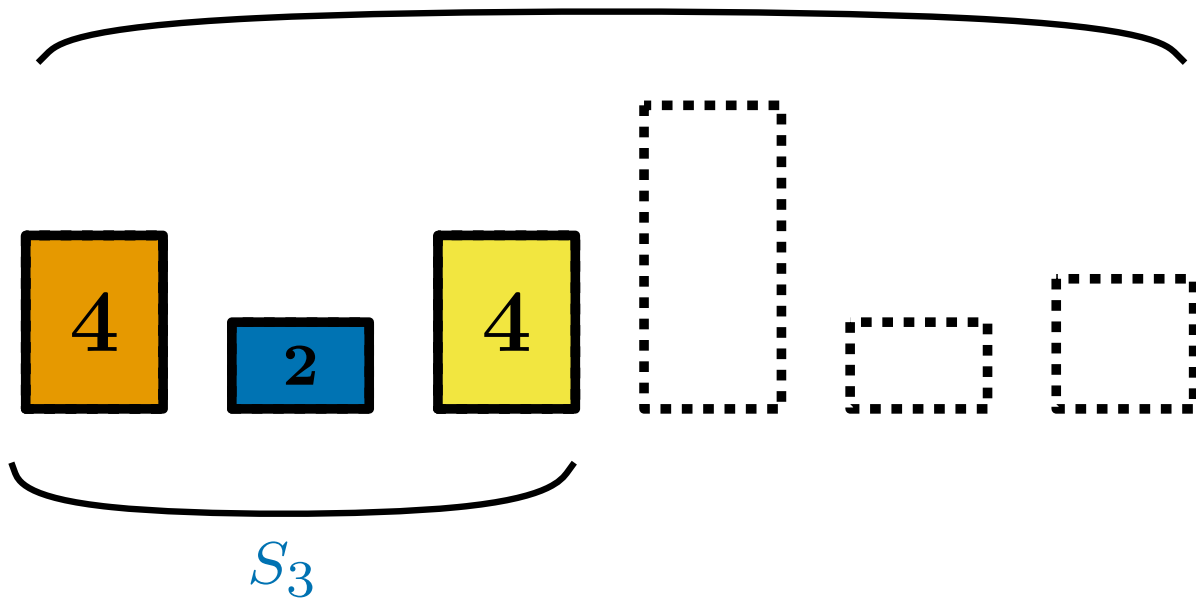
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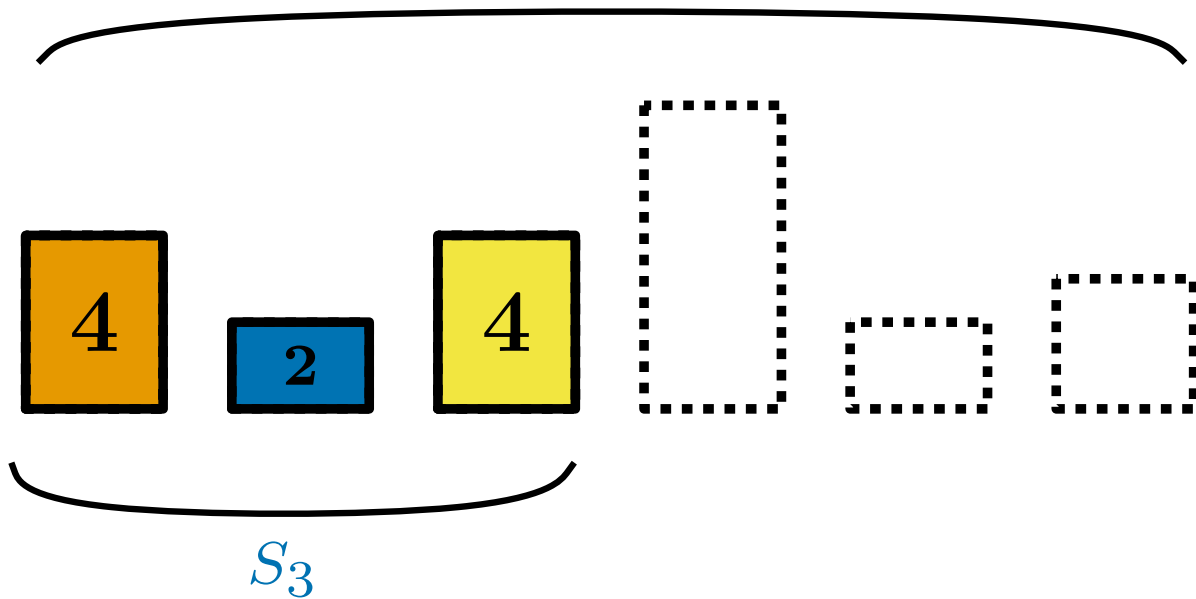
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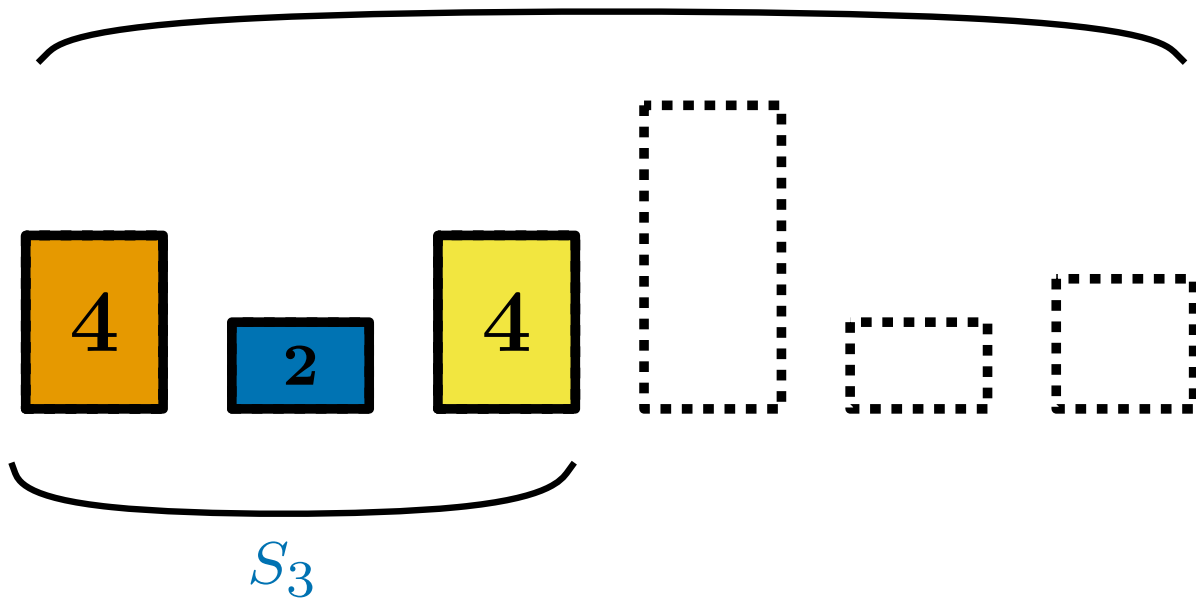
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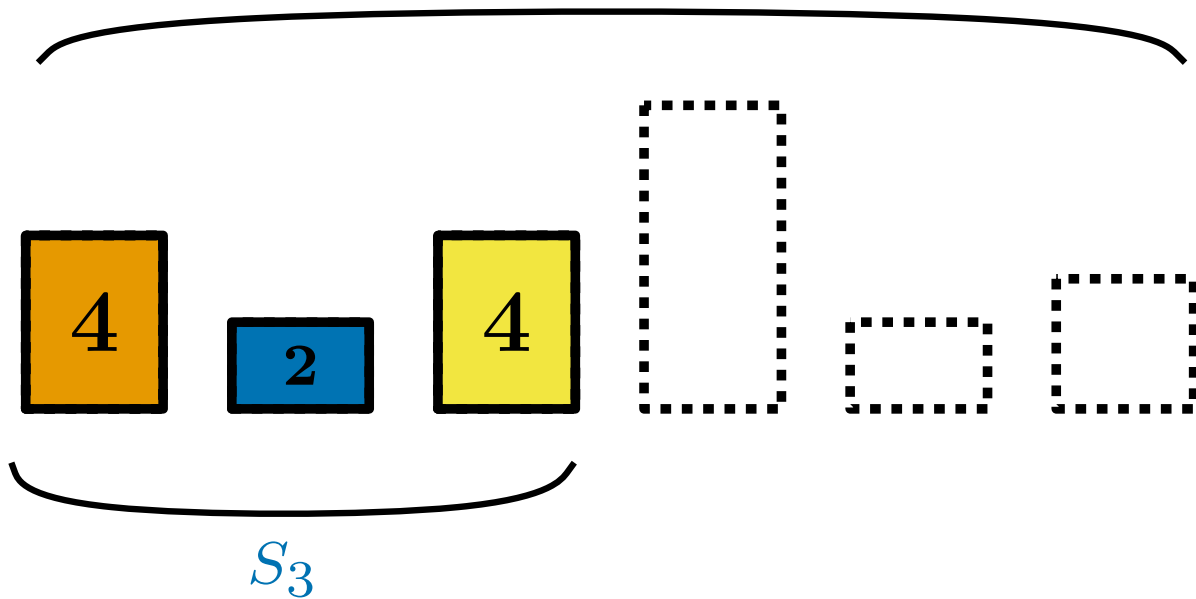
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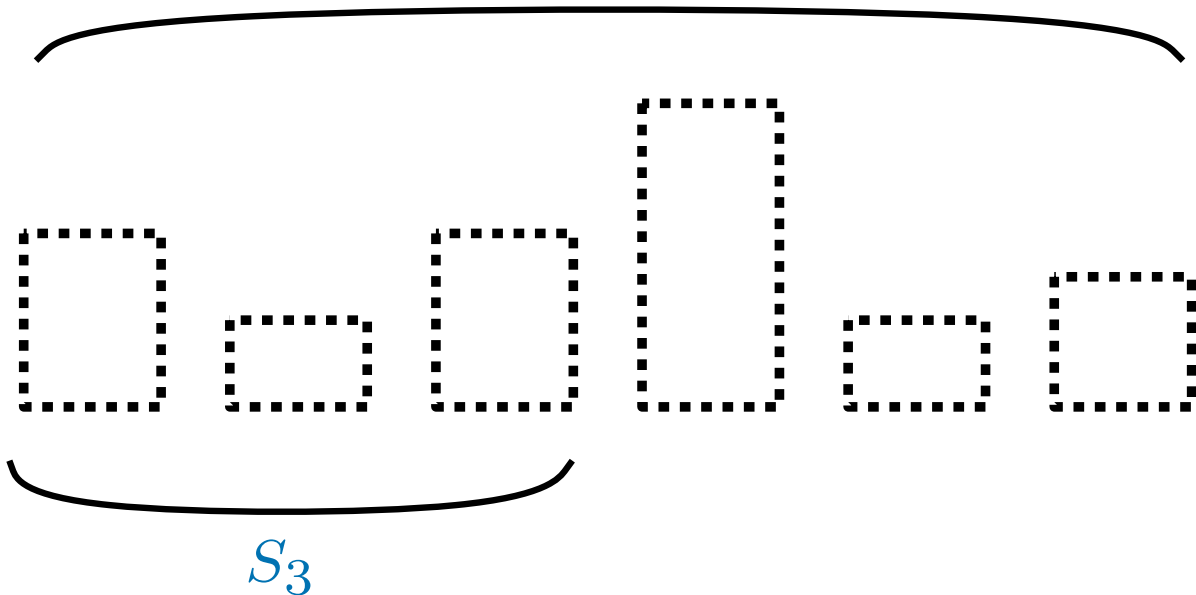
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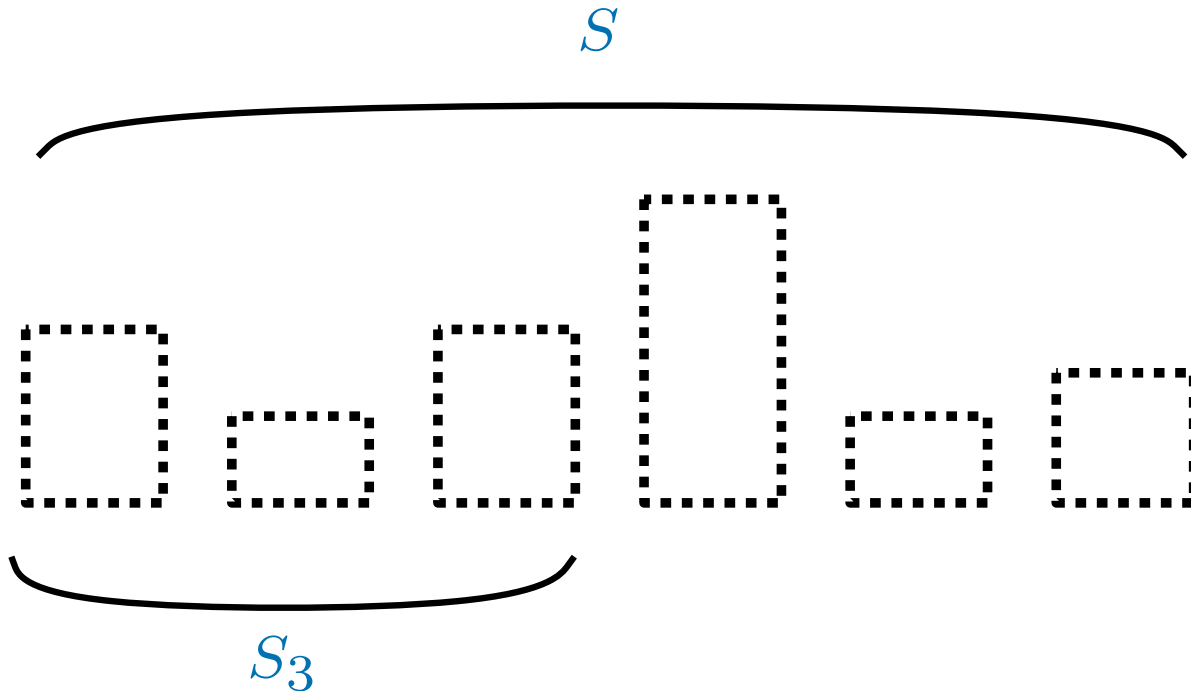
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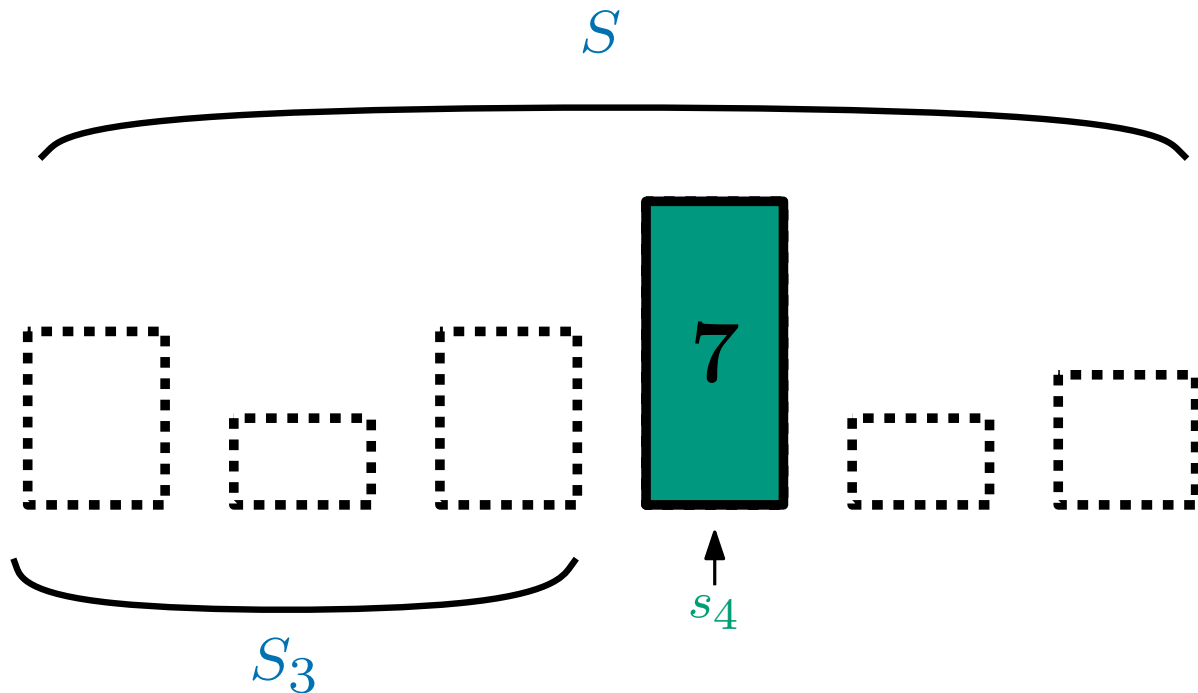
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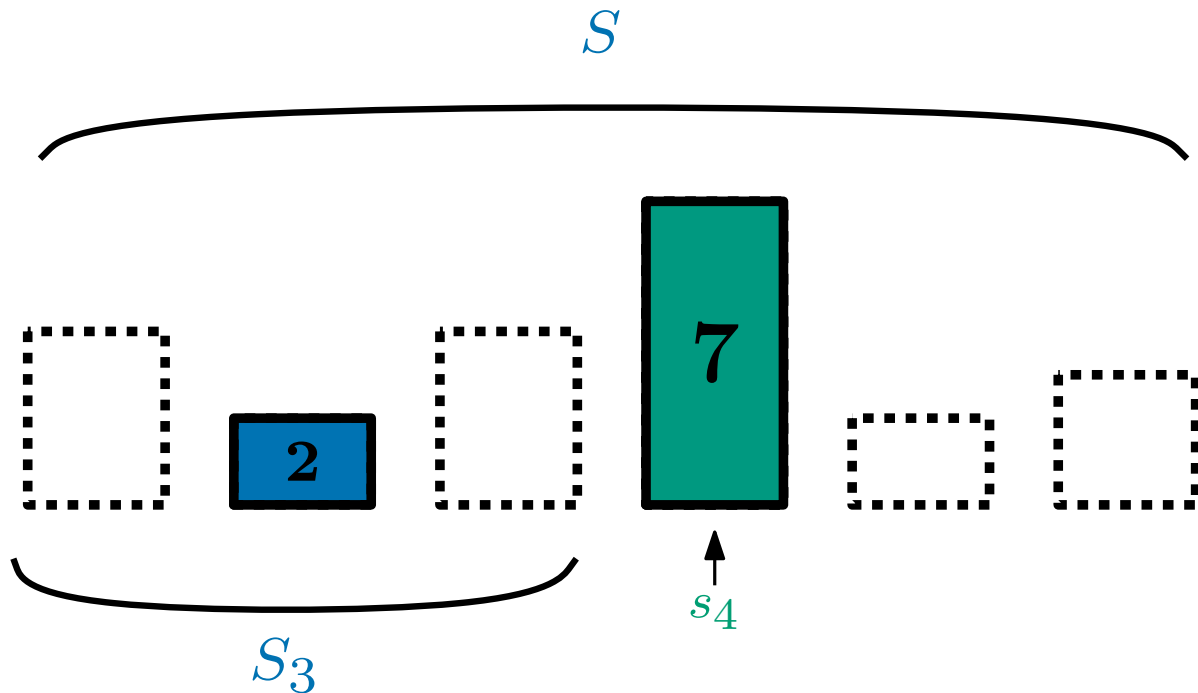
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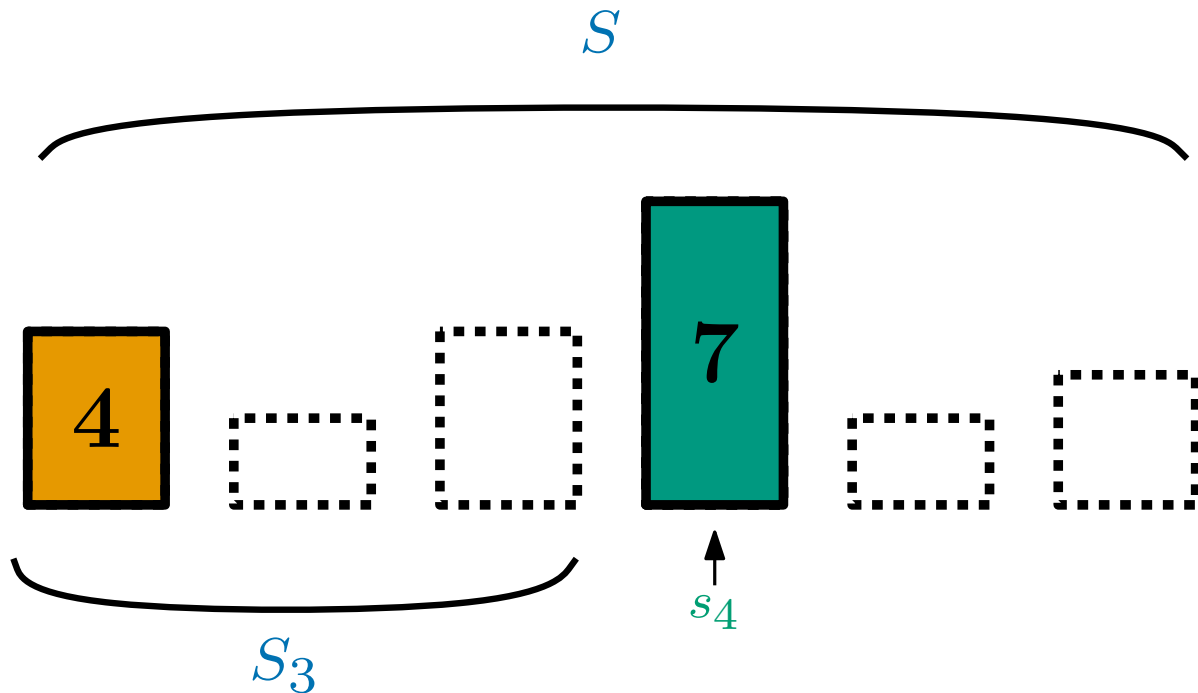
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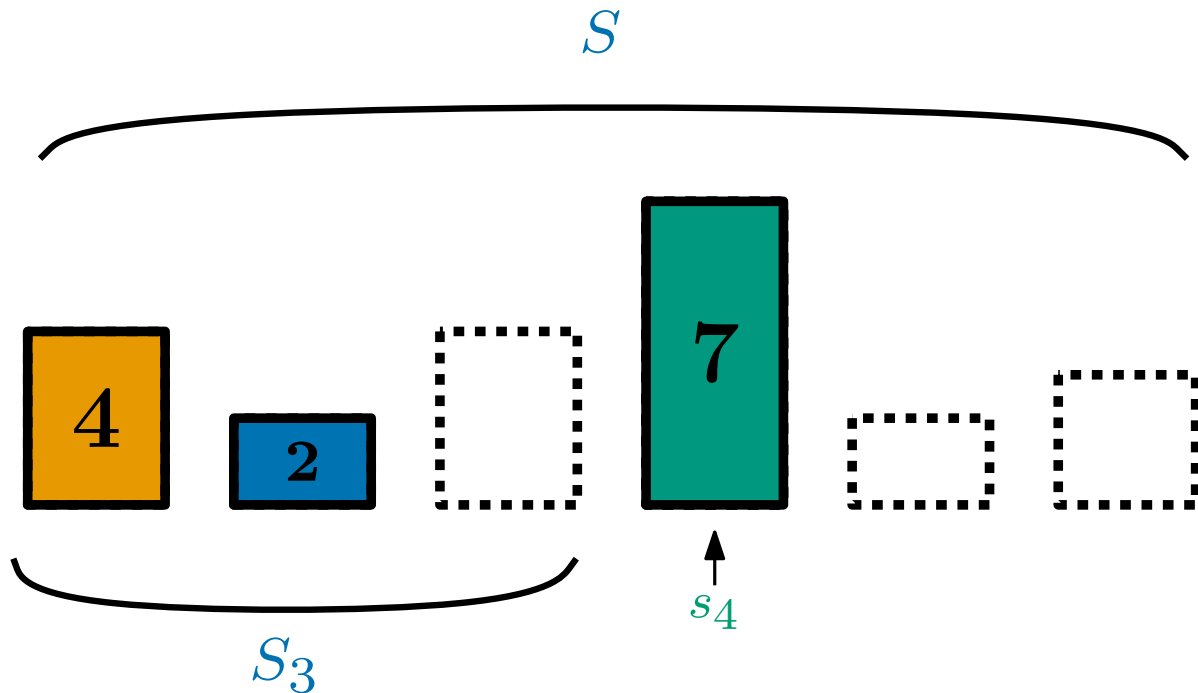
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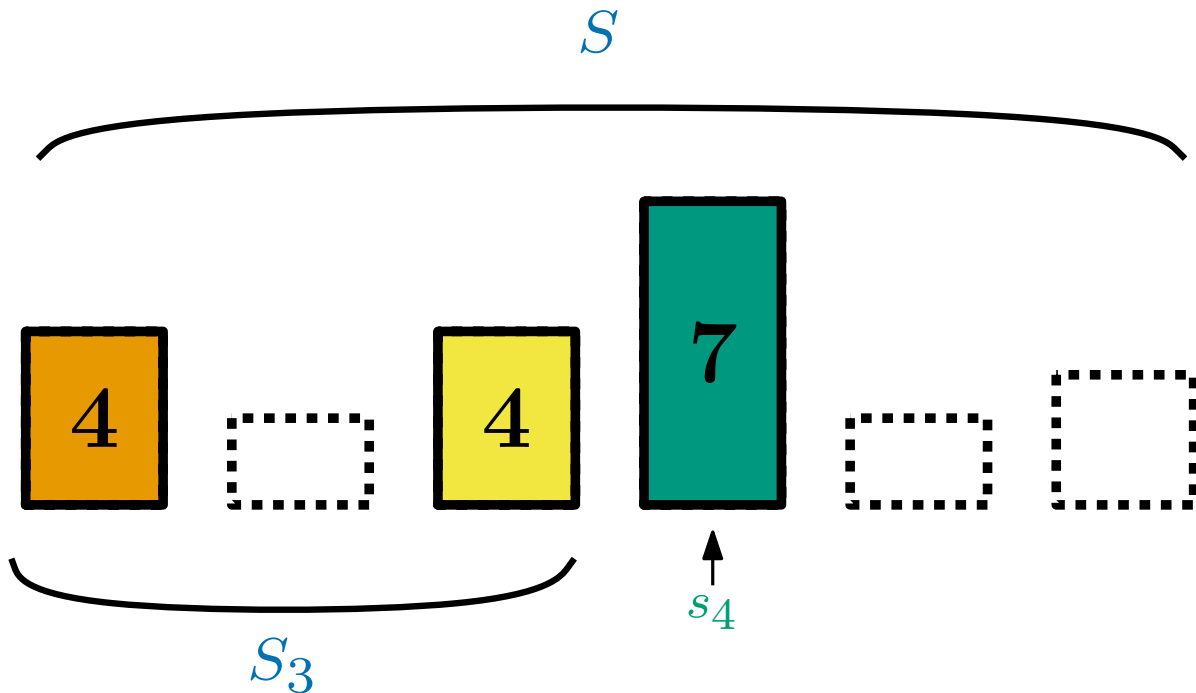
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Let $S = \{s_1, s_2, s_3 \dots s_m\}$ be the set of items and $S_i = \{s_1, s_2, \dots, s_i\}$

Let L_i be the set of sizes of all $S' \subseteq S_i$ which are not larger than t (here $t = 12$)



$$L_3 =$$

$$\{0, 2, 4, 6, 8, 10\}$$

$$L_3 + s_4 = L_3 + 7 =$$

$$\{7, 9, 11\}$$

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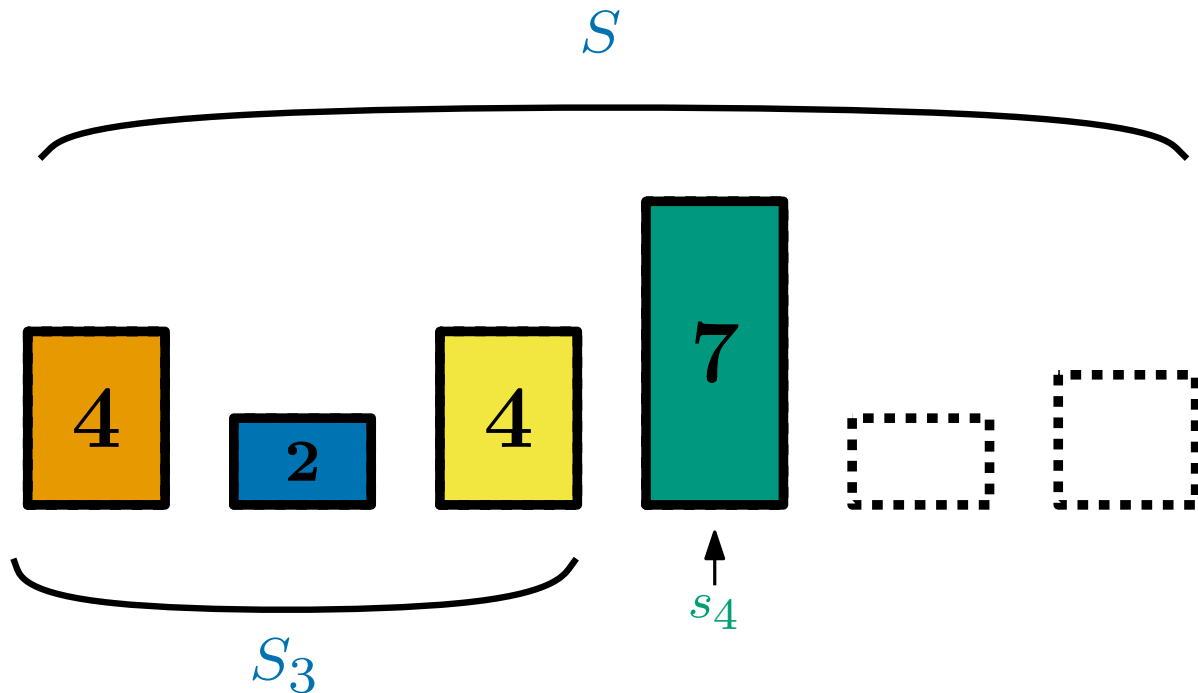
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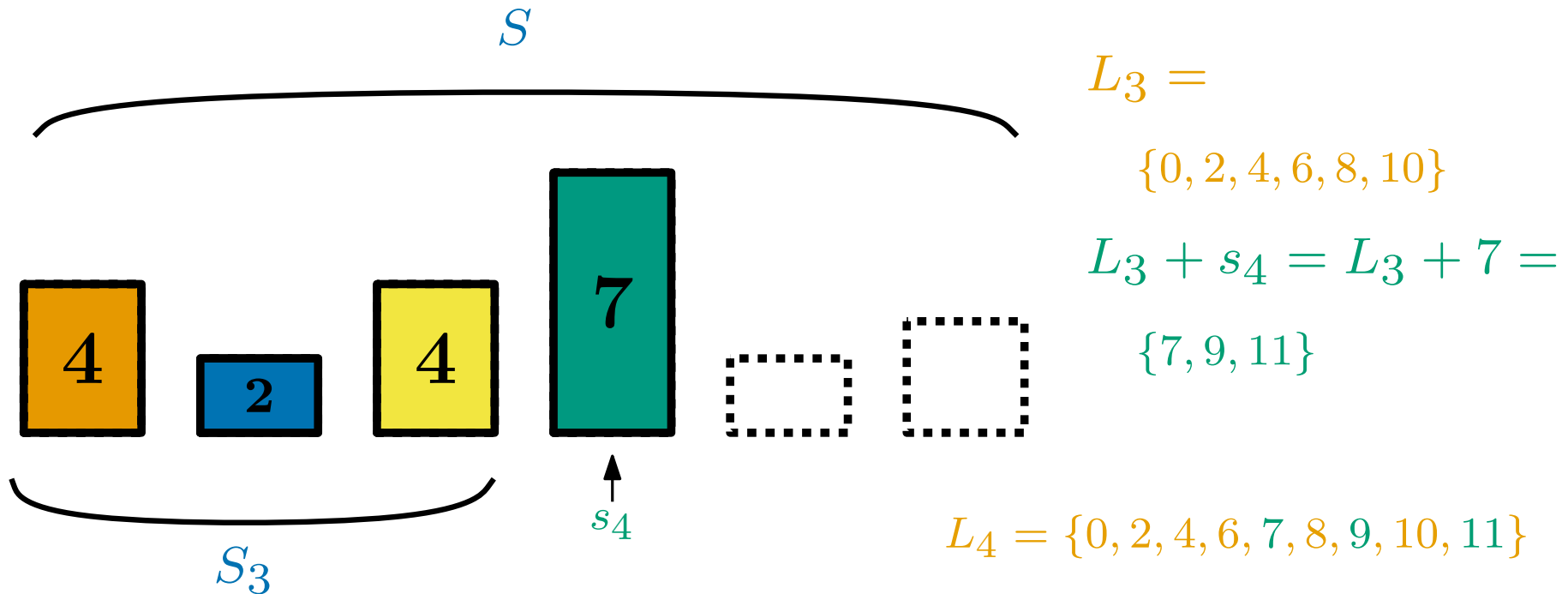
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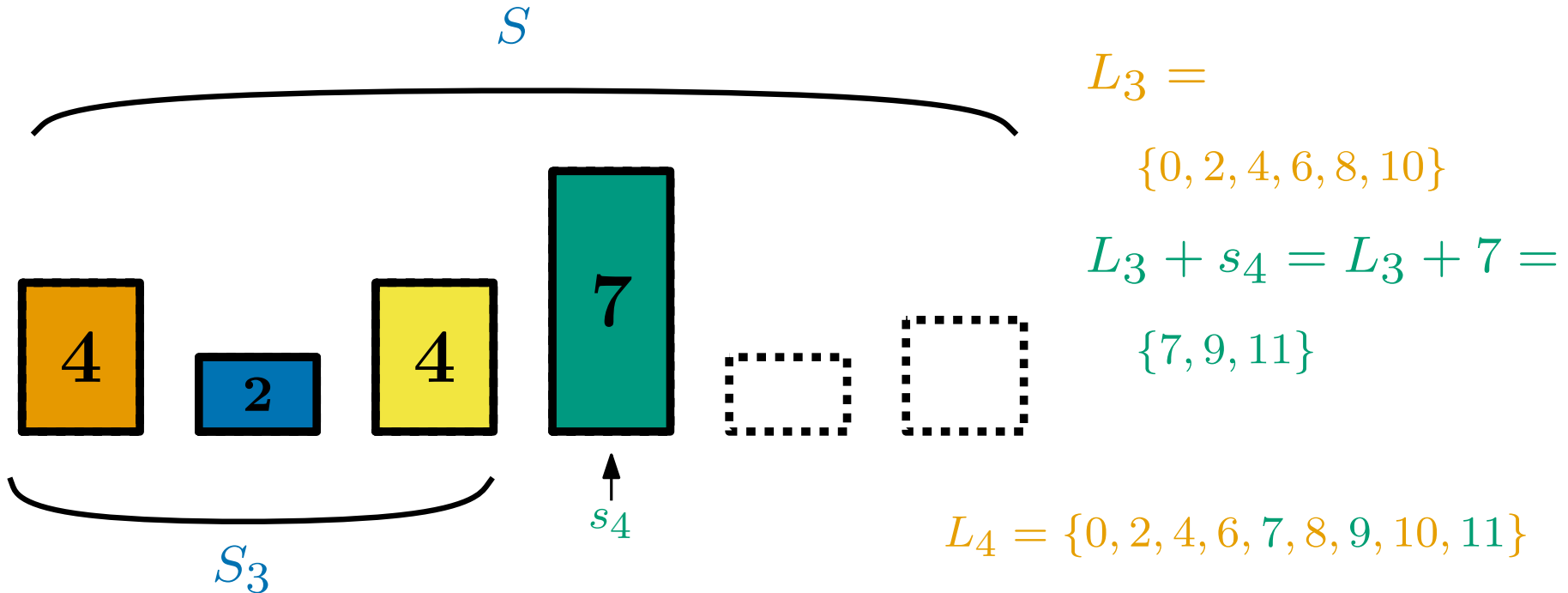
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where $(x + s_i) \in (L_{i-1} + s_i)$ iff $x \in L_{i-1}$ and $x + s_i \leq t$

We don't have any duplicates in L_i - so $|L_i| \leq t$

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An exact solution

The algorithm

- Let $L_0 = \{0\}$
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$O(1)$ time

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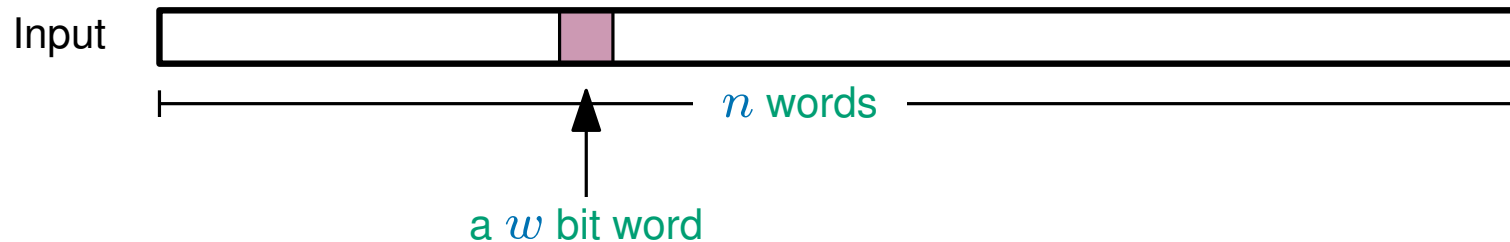
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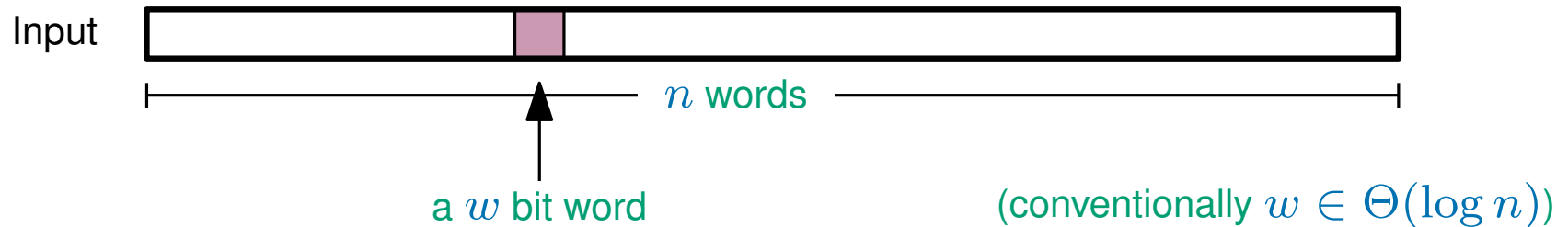
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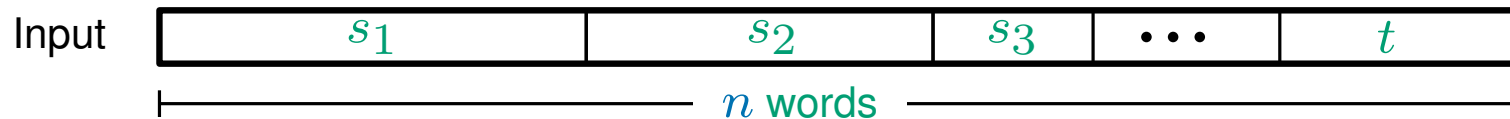
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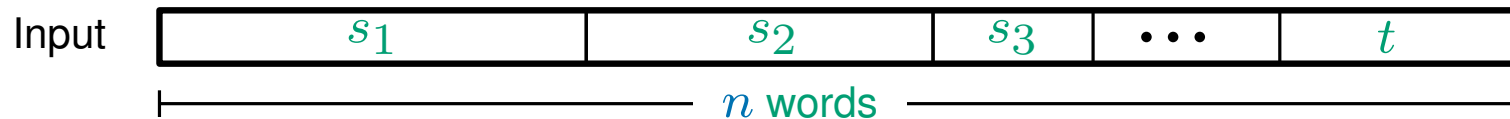
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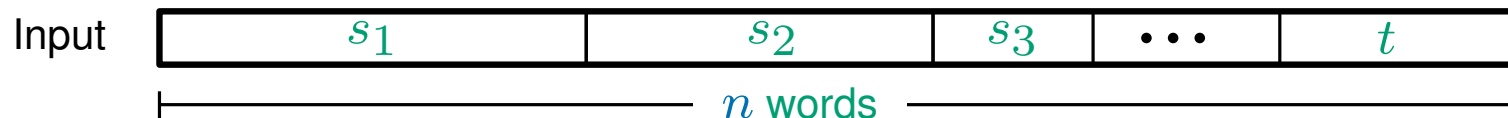
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Pseudo-polynomial time algorithms

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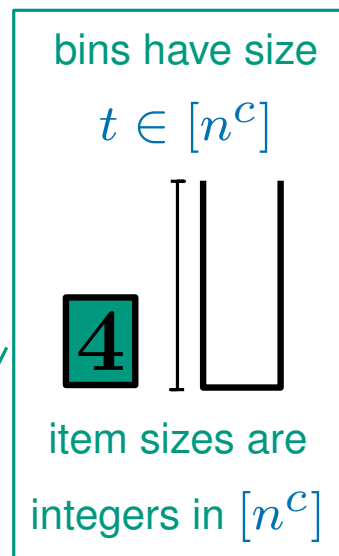
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A **Polynomial Time Approximation Scheme (PTAS)** for problem P

is a family of algorithms:

For any constant $\epsilon > 0$ there is an algorithm in the family, A_ϵ

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A_ϵ can have a time complexity of $O(n^{\frac{c}{\epsilon}})$ for example

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A **PTAS** does not have to have a time complexity which is polynomial in $1/\epsilon$

A_ϵ can have a time complexity of $O(n^{\frac{c}{\epsilon}})$ for example

$O(n^{10c})$ vs. $O(n^{100c})$ vs. $O(n^{1000c})$ in our example


 $\epsilon = 0.1$ $\epsilon = 0.01$ $\epsilon = 0.001$

Polynomial time approximation schemes

A **Polynomial Time Approximation Scheme (PTAS)** for problem P

is a family of algorithms:

For any constant $\epsilon > 0$ there is an algorithm in the family, A_ϵ

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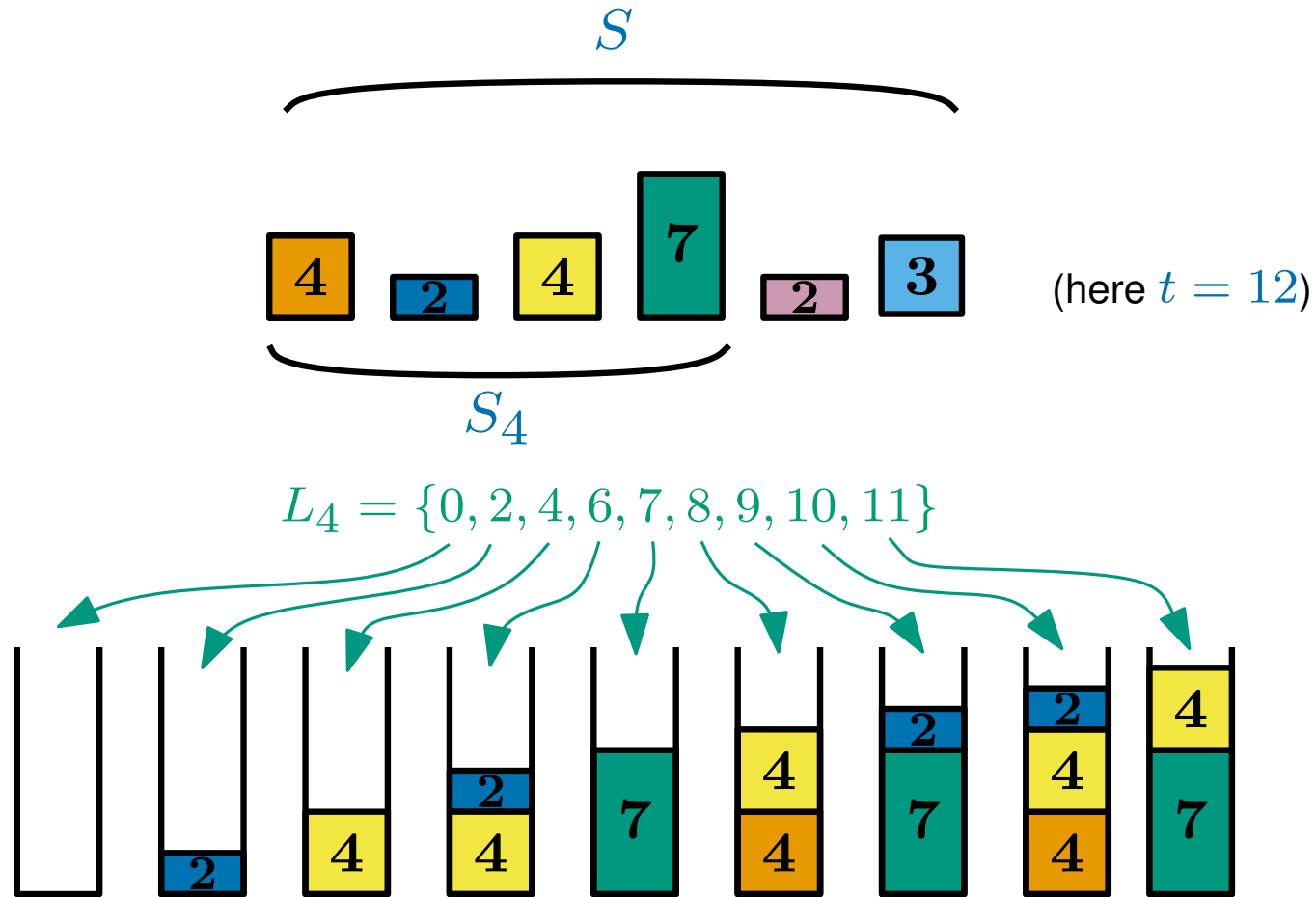
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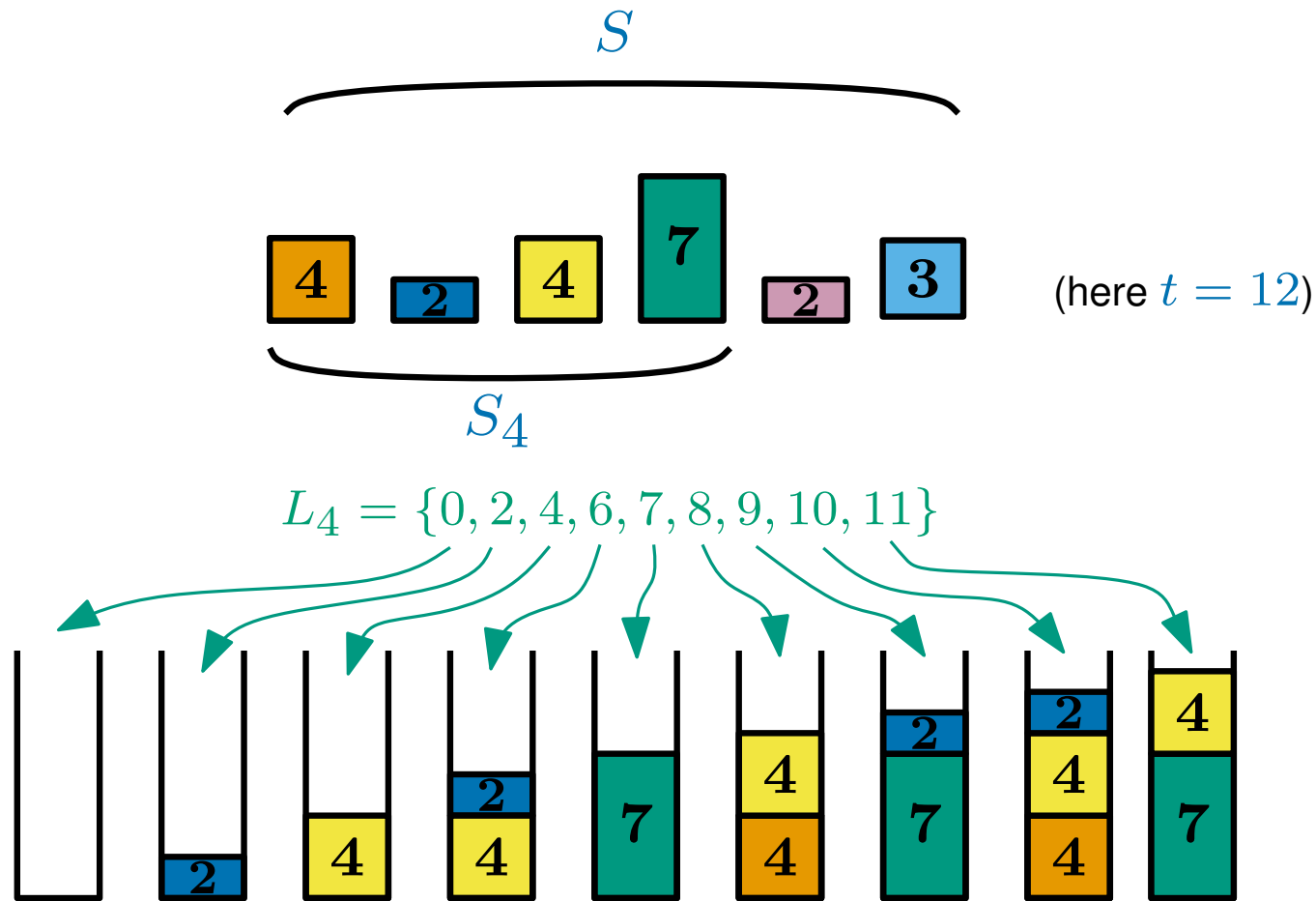
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Recall that L_i is the set of sizes of all $S' \subseteq S_i$ which are not larger than t
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The exact algorithm for **Subset Sum** was slow (in general) because
 each list of possible subset sizes L_i could become *very large*

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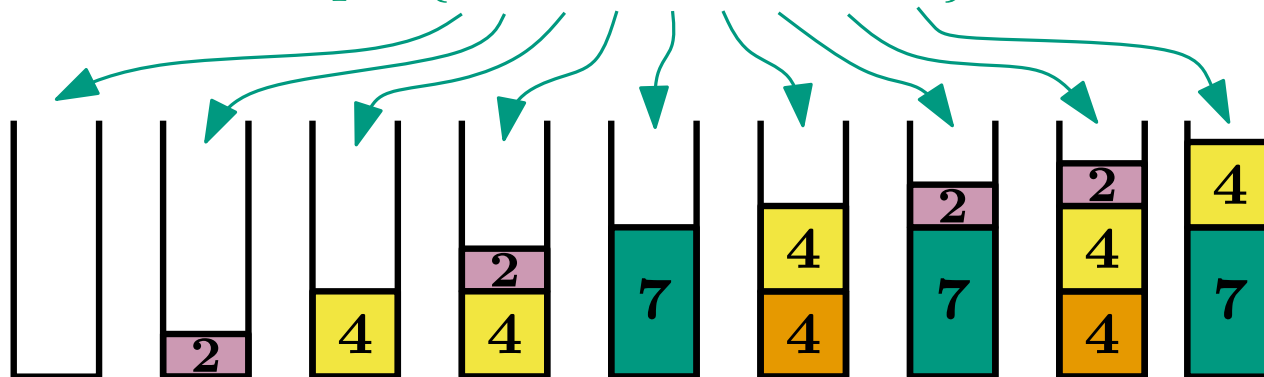
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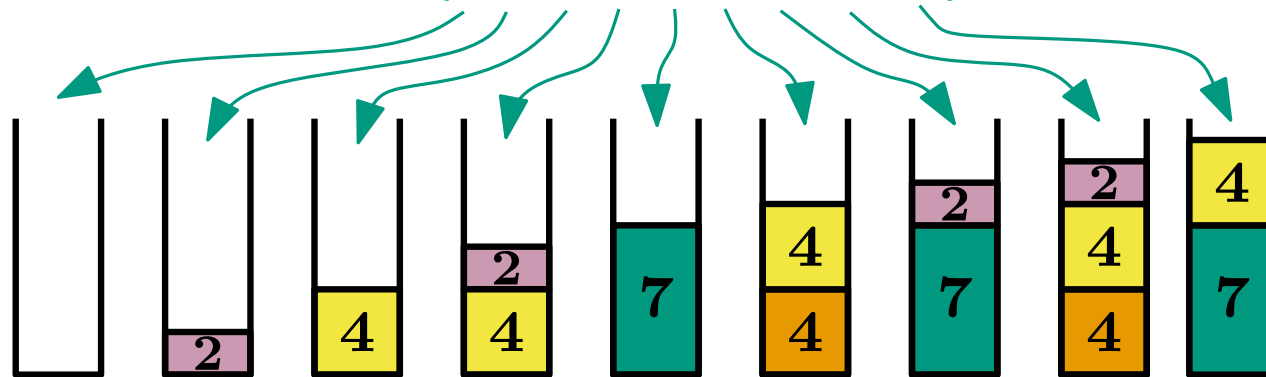
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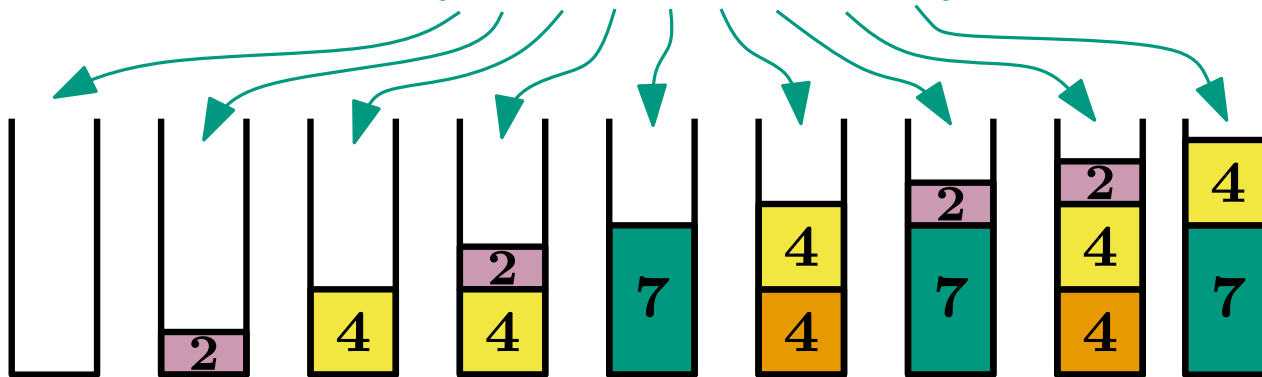
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L'_4 is a small subset of L_4 and for any $y \in L_4$,
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Instead, we will **trim** as we go along...

$$|S| = m$$

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The algorithm

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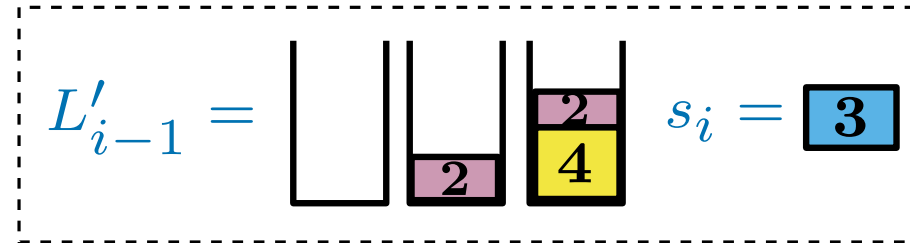
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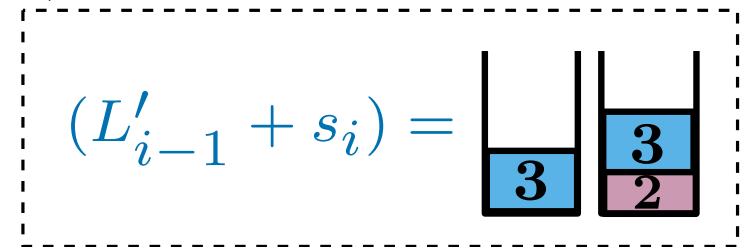
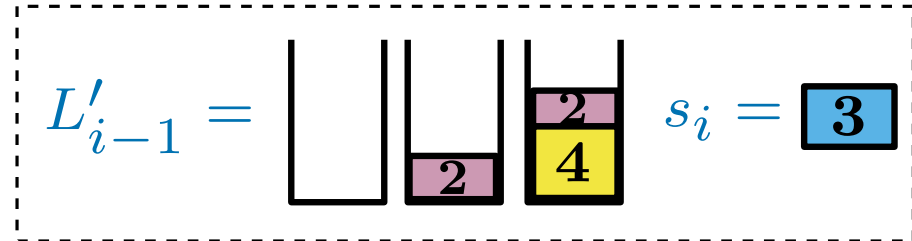
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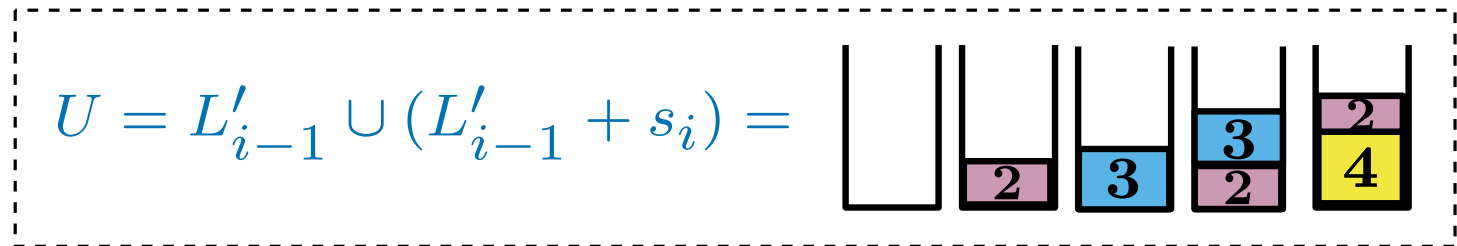
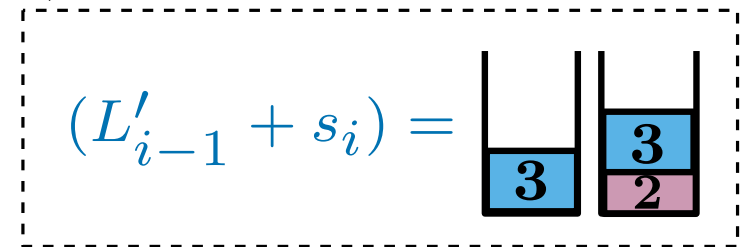
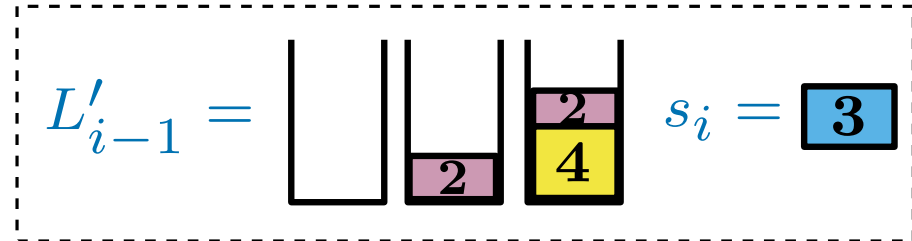
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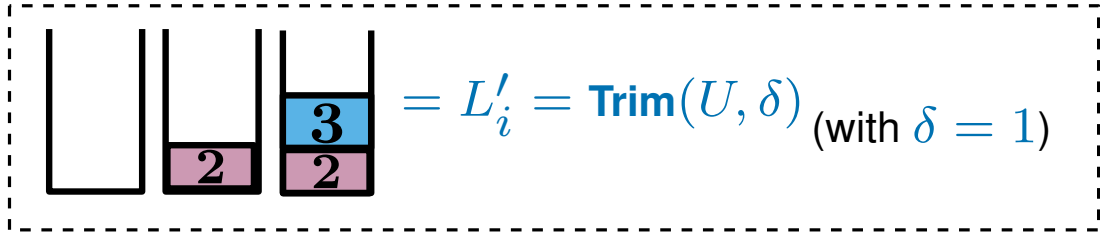
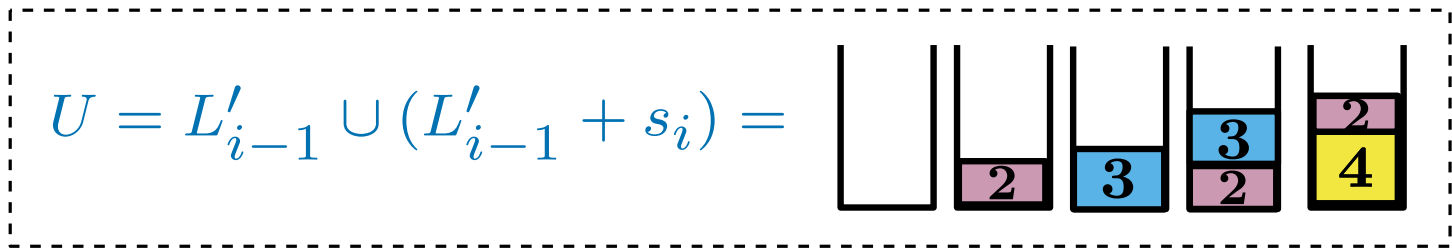
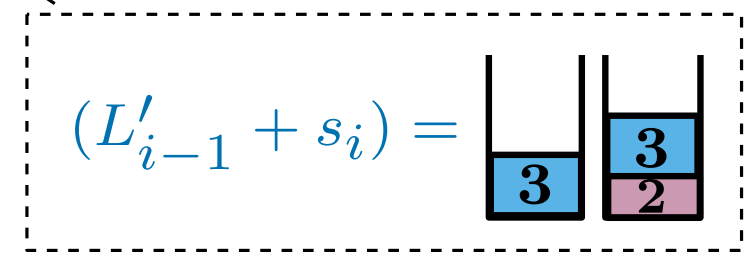
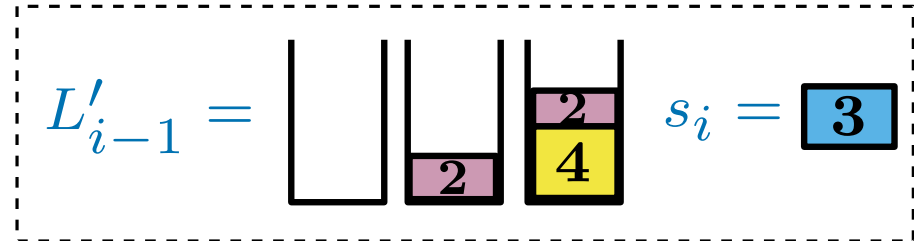
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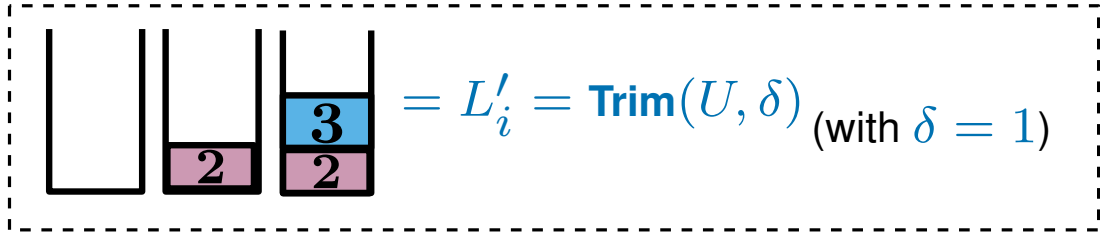
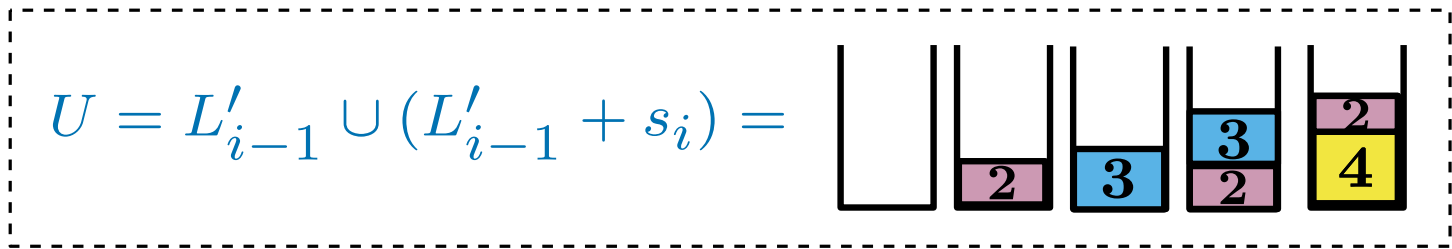
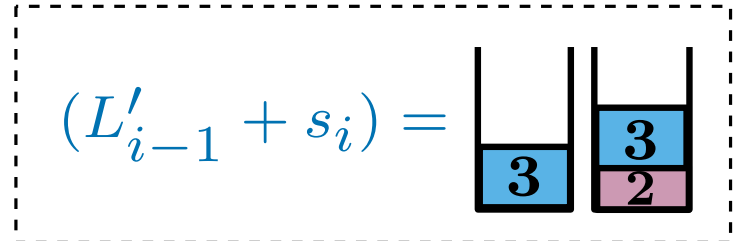
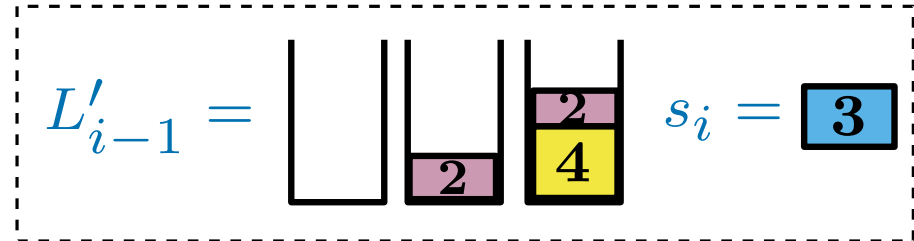
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$$|S| = m$$

A PTAS for Subset Sum

Let L_i be the set of sizes of all $S' \subseteq S_i$ which are not larger than t

- L'_i is the *trimmed* version of L_i

The algorithm

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Trim(U, δ): Include $U[j]$ in L'_i iff $U[j] > (1 + \delta) \cdot \text{prev}$
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This algorithm throws away some possible subsets,

but it always outputs a *valid* subset (but probably not the largest one)

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This algorithm throws away some possible subsets,

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Two questions remain...

How big is $|L'_i|$?

How good is the solution given?

$$|S| = m$$

L_i vs. L'_i

Lemma For any $y \in L_i$ there is an $z \in L'_i$ with $\frac{y}{(1+\delta)^i} \leq z \leq y$

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Lemma For any $y \in L_i$ there is an $z \in L'_i$ with $\frac{y}{(1+\delta)^i} \leq z \leq y$

For any entry in the original set (L_i) ...

there is one in the trimmed set (L'_i) ...

of a '*similar*' size (δ is very small)

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Further, $\text{Opt} \in L_m$ meaning there is a $z \in L'_m$ with

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$$\frac{\text{Opt}}{1 + \epsilon} \leq z \leq \text{Opt} \quad \text{vs} \quad \frac{\text{Opt}}{(1 + \frac{\epsilon}{2m})^m} \leq z \leq \text{Opt}$$

Recall that the output of the algorithm is the largest number in L'_m ...

We only need to show that $(1 + \frac{\epsilon}{2m})^m \leq 1 + \epsilon$...

$$|S| = m$$

L_i vs. L'_i

We need to show that $(1 + \frac{\epsilon}{2m})^m \leq 1 + \epsilon$ (for $0 < \epsilon \leq 1$)

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$$\left(1 + \frac{\epsilon}{2m}\right)^m \leq e^{\epsilon/2} \leq 1 + \frac{\epsilon}{2} + \left(\frac{\epsilon}{2}\right)^2 \leq 1 + \epsilon$$

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This follows from the following facts:

$$e^x \geq \left(1 + \frac{x}{m}\right)^m \text{ for all } x, m > 0$$

$$e^x = \sum_{i=0}^{\infty} \frac{x^i}{i!} \leq 1 + x + x^2$$

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But how long does it take to run?

$$|S| = m$$

How big is L'_i ?

The time complexity depends on $|L'_i| \dots$

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By the definition of **Trim** we have that,

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Further, all elements are no greater than t

So L'_i contains at most $O(\log_{(1+\delta)} t)$ elements

$$|S| = m$$

How big is L'_i ?

The time complexity depends on $|L'_i| \dots$

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another fact:

$$\ln(1+x) > \frac{x}{x+1}$$

(here $x = \epsilon/2m$)

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A PTAS for Subset Sum

The algorithm

- Let $L'_0 = \{0\}$, $\delta = \epsilon/(2m)$
- For $i = 1 \dots m$:
 - Compute $(L'_{i-1} + s_i)$ from L'_{i-1} $O(|L'_{i-1}|)$ time
 - Compute $U = L'_{i-1} \cup (L'_{i-1} + s_i)$ $O(|L'_i|)$ time
 - Let $L'_i = \mathbf{Trim}(U, \delta)$ $O(|L'_i|)$ time
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$m \leq n$ $\log t = O(n \log n)$

Recall that n is the *length of the input* (measured in words)

$$|S| = m$$

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So this is in fact an **FPTAS** for **Subset Sum**

Polynomial time approximation schemes

A **Polynomial Time Approximation Scheme (PTAS)** for problem P is a family of algorithms:

For any constant $\epsilon > 0$ there is an algorithm in the family, A_ϵ
 such that A_ϵ is a $(1 + \epsilon)$ -approximation algorithm for P

We have seen an **FPTAS** for **Subset Sum**
 which runs in $O(n^3 \log n / \epsilon)$ time

The output z is such that $\frac{\text{Opt}}{1 + \epsilon} \leq z \leq \text{Opt}$

A **PTAS** does not have to have a time complexity which is polynomial in $1/\epsilon$

e.g. the time complexity could be $O(n^{\frac{c}{\epsilon}})$ (for example)

A **fully PTAS (FPTAS)** has a time complexity which is polynomial in $1/\epsilon$ (as well as polynomial in n)

i.e. the time complexity is $O((n/\epsilon)^c)$ for some constant c